

# VEHICLE MODELING FOR USE IN THE CAFE MODEL

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PROCESS DESCRIPTION AND MODELING ASSUMPTIONS

Energy Systems Division

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Energy Systems Division

June 2016

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AER	all-electric range	
APRF	Argonne Advanced Powertrain Research Facility	
AU	Automatic	
BEV	battery electric vehicle	
BISG	belt-integrated starter generator	
BMEP	brake mean effective pressure	
BSFC	brake-specific fuel consumption	
C1,2,3,4	Clutches 1 through 4	
CAFE	Corporate Average Fuel Economy	
CISG	crank-integrated starter generator	
CVT	continuously variable transmission	
DOT	U.S. Department of Transportation	
EOL	end of life	
EPA	U.S. Environmental Protection Agency	
EREV	extended range electric vehicle	
EV	electric vehicle	
EV2	two-motor electric vehicle	
FTP	Federal Test Procedure	
GVW	gross vehicle weight	
HEV	hybrid electric vehicle	
HFET	Highway Fuel Economy Test	
Hi Mode	compound mode	
HV	hybrid vehicle	
I/O	input(s)/output(s)	
IACC1,2	improved accessories package 1, 2	
ICE	internal combustion engine	
INC	incremental	
IVM	Initial vehicle movement	
Lo Mode	input-split mode	
MC1,2	Electric Machines 1 and 2MHEV	micro hybrid electric vehicle
MY	model year	
NHTSA	National Highway Traffic Safety Administration	
PEV	pure electric vehicle	
PHEV	plug-in hybrid electric vehicle	

SAE	Society of Automotive Engineers
SOC	state of charge
SUV	sport utility vehicle
CAFE model	CAFE Compliance and Effects Modeling System
VPA	vehicle powertrain architecture

## Units of Measure

Ah	ampere-hour(s)
h	hour(s)
kg	kilogram(s)
km	kilometer(s)
kW	kilowatt(s)
m <sup>2</sup>	square meter(s)
mpg	mile(s) per gallon
mph	mile(s) per hour
rad	radian(s)
rpm	rotation(s) per minute
s, sec	second(s)
V	volt(s)
W	watt(s)
Wh	watt-hour(s)

## 1. Introduction

In 1975, Congress passed the Energy Policy and Conservation Act (EPCA), requiring standards for Corporate Average Fuel Economy (CAFE), and charging the U.S. Department of Transportation's (DOT) with the establishment and enforcement of these standards. The Secretary of Transportation has delegated these responsibilities to the National Highway Traffic Safety Administration (DOT/NHTSA). Following an extended period of stable CAFE standards, NHTSA increased standards in 2003 for light trucks produced during 2005-2007 and developed new attribute-based standards in 2006 for light trucks produced during 2008-2011. In 2007, Congress passed the Energy Independence & Security Act (EISA), which updated EPCA by requiring, among other things, maximum feasible attribute-based CAFE standards that achieve at least 35 mpg by 2020. Rulemakings completed in 2009 and 2012 established new fuel economy standards for light vehicles produced during 2012-2016 and 2017-2021, respectively.

The Volpe Center provides analytical support for NHTSA's regulatory and analytical activities related to fuel economy standards, which, unlike long-standing safety and criteria pollutant emissions standards, apply to manufacturers' overall fleets rather than to individual vehicle models. In developing the standards, DOT/NHTSA made use of the CAFE Compliance and Effects Modeling System (the "Volpe model" or the "CAFE model"), which was developed by DOT's Volpe National Transportation Systems Center for the 2005-2007 CAFE rulemaking and continuously updated since. The model is the primary tool used by the agency to evaluate potential CAFE stringency levels by applying technologies incrementally to each manufacturer's fleet until the requirements under consideration are met. The CAFE model relies on numerous technology-related and economic inputs such as a market forecasts, technology cost, and effectiveness estimates; these inputs are categorized by vehicle classification, technology synergies, phase-in rates, cost learning curve adjustments, and technology "decision trees". The Volpe Center assists NHTSA in the development of the engineering and economic inputs to the CAFE model by analyzing the application of potential technologies to the current automotive industry vehicle fleet to determine the feasibility of future CAFE standards and the associated costs and benefits of the standards.

Part of the model's function is to estimate CAFE improvements that a given manufacturer could achieve by applying additional technology to specific vehicles in its product line. To inform decisions regarding the design of specific vehicles, manufacturers may apply techniques such as vehicle and component testing, combustion simulation, powertrain simulation, computational fluid dynamics simulation (CFD),

and full vehicle simulation. Because CAFE standards apply to the average fuel economy across manufacturers' entire fleets of new passenger cars and light trucks, the model, when simulating manufacturers' potential application of technology, considers the entire range of each manufacturer's product line. This typically involves accounting for more than 1,000 distinct vehicle models and variants, many more than can be practically examined using full vehicle simulation (or the other techniques mentioned above). Instead, the model uses estimates of the effectiveness of specific technologies for a representative vehicle in each vehicle class, and arranges technologies in decision trees defining logical progressions from lower to higher levels of cost, complexity, development requirements, and/or implementation challenges.

DOT/NHTSA has made use of vehicle simulation results to update technology effectiveness estimates used by the model. In recent rulemakings, the decision trees have been expanded so that DOT/NHTSA is better able to track the incremental and net/cumulative cost and effectiveness associated with each technology, which substantially improves the "accounting" of costs and effectiveness for CAFE rulemakings. A detailed description of the CAFE model can be found in NHTSA's Final Regulatory Impact Analysis (FRIA) supporting the 2012 rule establishing CAFE standards applicable beginning MY 2017. The FRIA and all other rulemaking documents, the model, source code, model documentation, and all model inputs and outputs are available at <http://www.nhtsa.gov/fuel-economy>.

A significant number of inputs to Volpe's decision tree model are related to the effectiveness (fuel consumption reduction) for each fuel-saving technology. Because the model is intended for fleet-level analysis, precision in vehicle-level results is less important than in, for example, the vehicle design process. However, even in this fleet-level context, minimization of systematic bias is important. Because some combinations of technology are likely to yield overall fuel savings different from levels that would be applied by simply multiplying factors applicable to individual technologies, the model uses "synergy factors" to make corresponding adjustments. Although vehicle testing could be used to estimate these factors, vehicle testing spanning many vehicle types and technology combinations could be prohibitively resource-intensive. Another alternative, either as a substitute for or a complement to vehicle testing, would be to make greater use of vehicle simulation. Full vehicle simulation tools use physics-based mathematical equations, engineering characteristics (e.g., including engine maps, transmission shift points, hybrid vehicle control strategy), and explicit drive cycles to predict the effectiveness of individual fuel-saving technologies and the effectiveness of combinations of fuel-saving technologies.

Argonne National Laboratory, a DOE national laboratory, has developed a full-vehicle simulation tool named Autonomie. Autonomie has become one of the industry's standard tools for analyzing vehicle energy consumption and technology effectiveness.

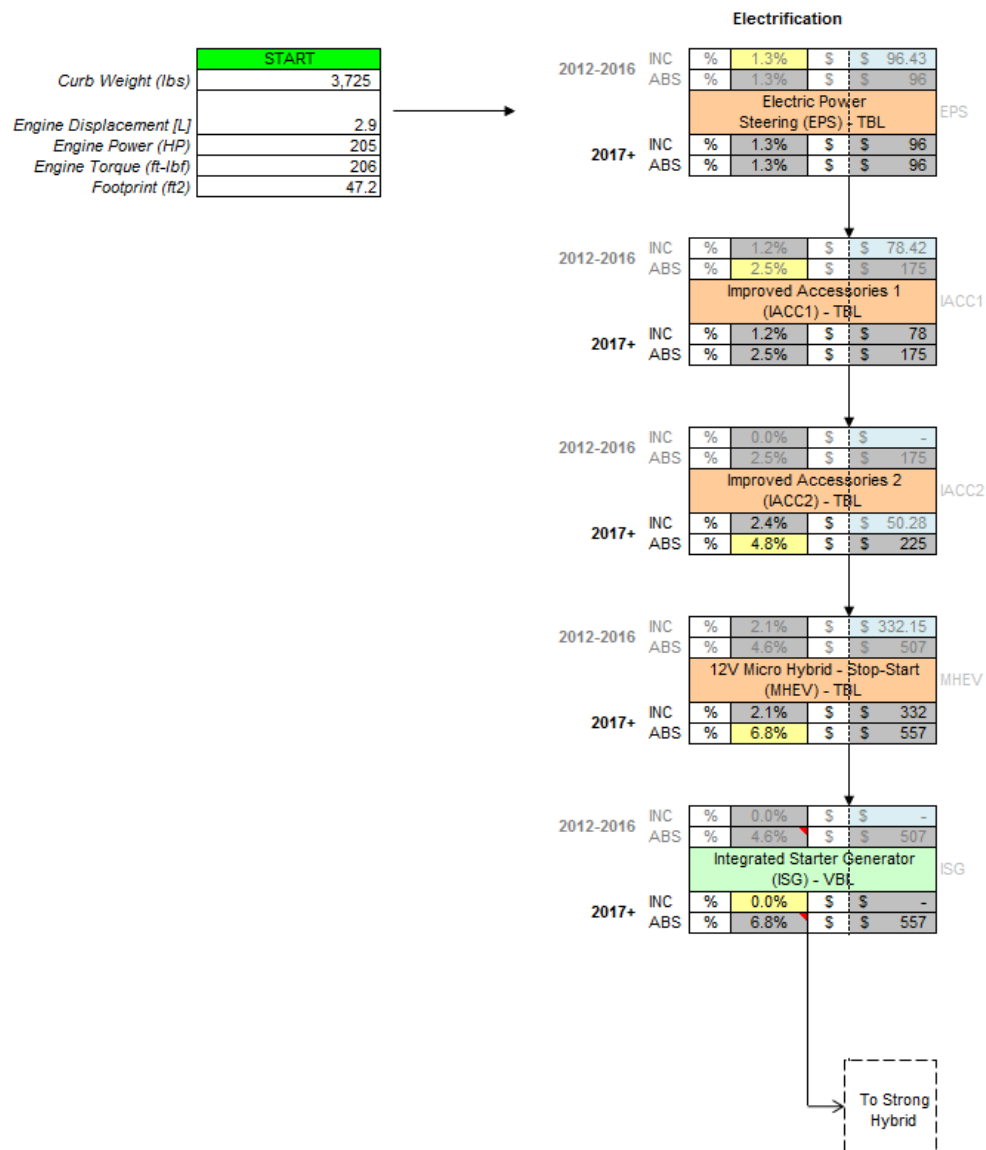
The objective of this project is to develop and demonstrate a process that, at a minimum, provides more robust information that can be used to calibrate inputs applicable under the CAFE model's existing structure. The project will be more fully successful if a process can be developed that minimizes the need for decision trees and replaces the synergy factors by inputs provided directly from a vehicle simulation tool. The report provides a description of the process that was developed by Argonne National Laboratory and implemented in Autonomie.

## 2. Project Statement

The CAFE model currently relies on multiple decision trees to represent component technology options, including:

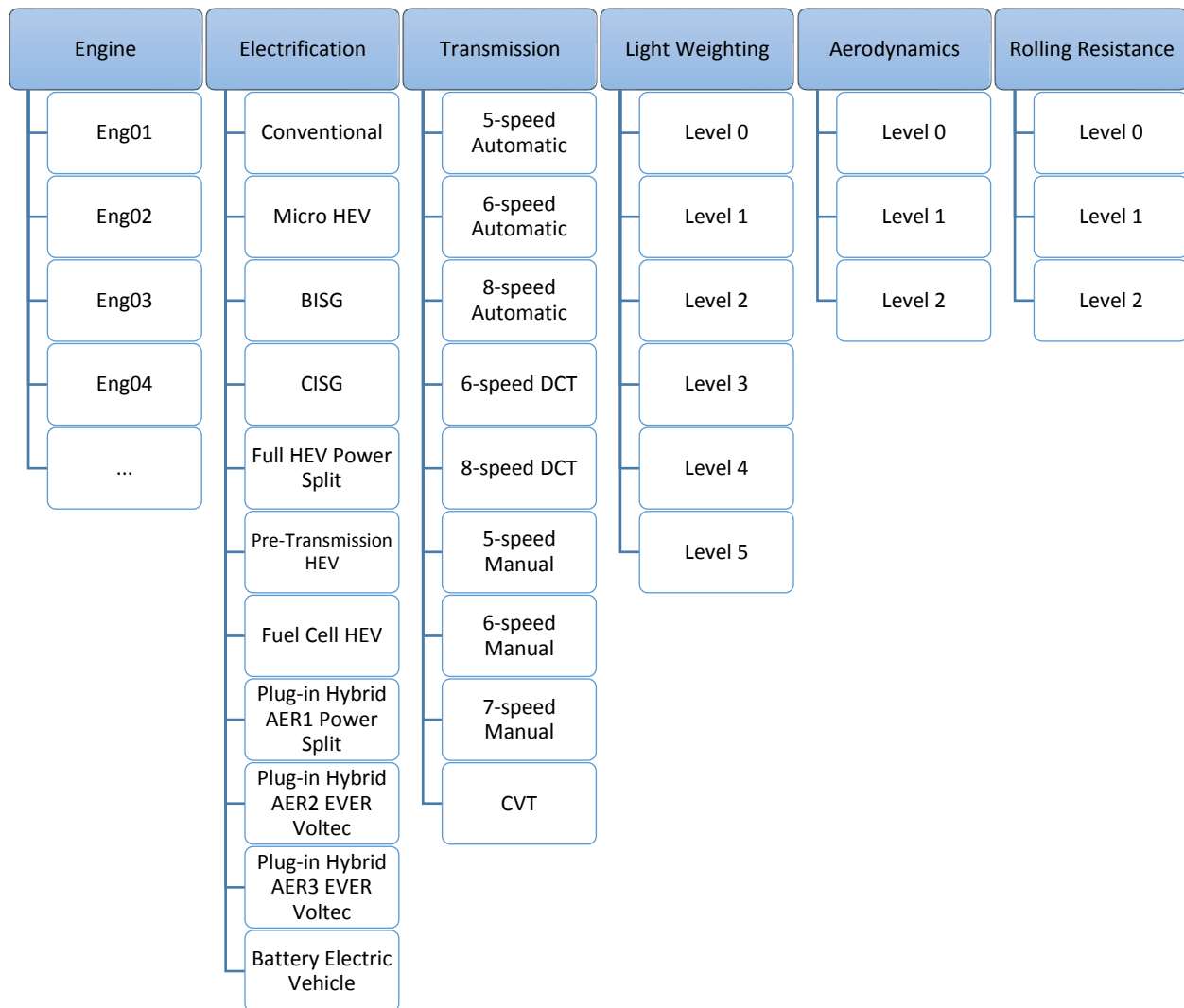
- Powertrain Electrification
- Engine
- Transmission
- Light-weighting
- Aerodynamics
- Rolling resistance

Figure 1 shows an example of the vehicle electrification decision tree. During the simulation, the CAFE model walks through each decision tree to find the technology that should be selected next to provide the best fuel energy improvement at the lowest cost.



**Figure 1 – Hybrid Technology Decision Tree**

Figure 2 shows example decision trees selected equivalent to the number of technology combinations adapted to represent current and future potential technologies.



**Figure 2 – Example Technological Decision Trees in the CAFE Model**

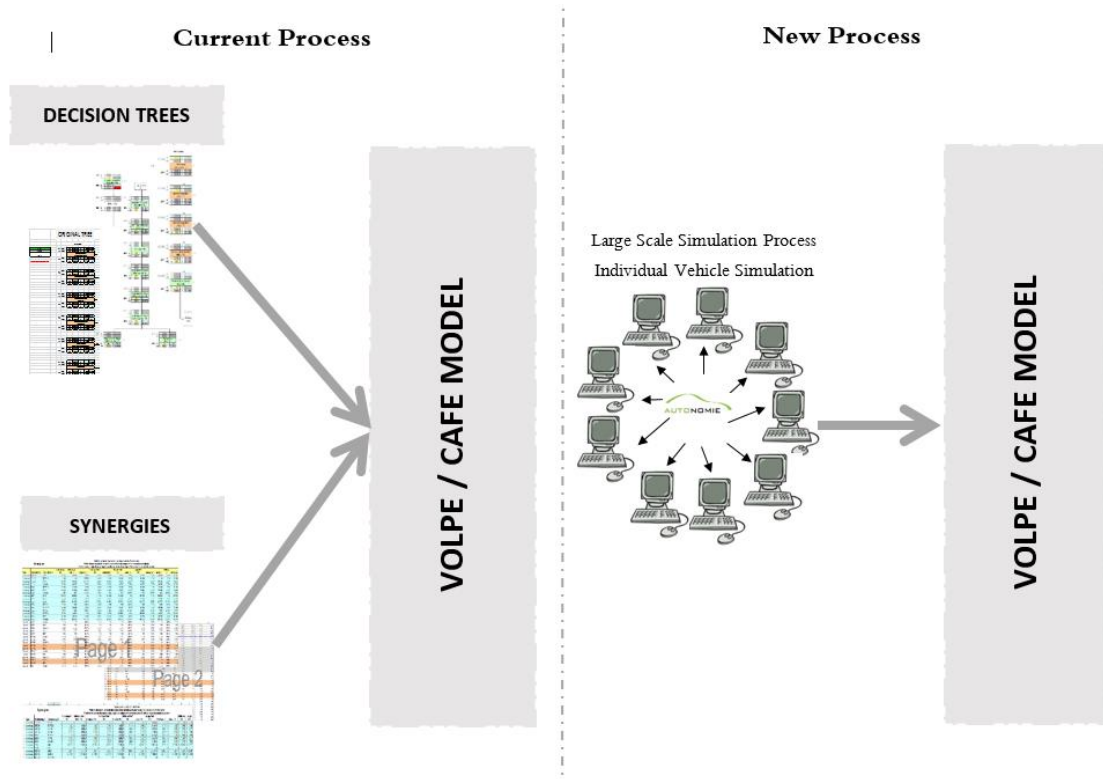
In addition to the numerous decision trees, the CAFE model currently relies on estimates of synergies between technologies, recognizing that multiple technologies can address the same inefficiencies of the component. For example, if an engine technology provides 5% fuel consumption improvement and an advanced transmission 4%, the combination of both technologies may not provide 9% improvement – the actual improvement could be lower (negative synergy) or higher (positive synergy). Developing the



relationships between multiple component technologies is challenging, but quantifying it is even more difficult, especially when more than one technology is involved. As the number of technologies increases, the number of technology combinations increases exponentially. Thus, a large number of simulations may be required in order to calculate the complete set of synergy factors for a modest number of technologies.

### 3. Process Overview

The main objective is to reduce the need for decision trees and replace the synergy factors by individual vehicle simulations as shown in Figure 3.



**Figure 3 – Model Input: Replacing Decision Trees and Synergies with Individual Simulations**

To do so, individual vehicles have to be simulated to represent every combination of vehicle, powertrain, and component technologies. The preliminary decision trees evaluated here include:

- 5 vehicles Classes (Compact, Midsize, Small SUV, Midsize SUV, Pickup)
- 14 engine technologies
- 11 electrification levels (Conventional equivalent to no electrification level)
- 9 transmissions technologies (applied to Low Electrification Level Vehicles only)
- 6 Light Weighting levels
- 3 Rolling Resistance levels
- 3 Aerodynamics levels

For one vehicle class:

4 Low Electrification Level Vehicles x 14 Engines Levels x 9 Transmissions Levels x 6 Light-Weighting Levels x 3 Rolling Resistance Levels x 3 Aerodynamics Levels = 27,216 vehicles
+
7 hybridized vehicles x 6 light weighting x 3 rolling resistance x 3 aerodynamics = 378 vehicles
=
<b>~27,600 vehicles for each vehicle class</b>

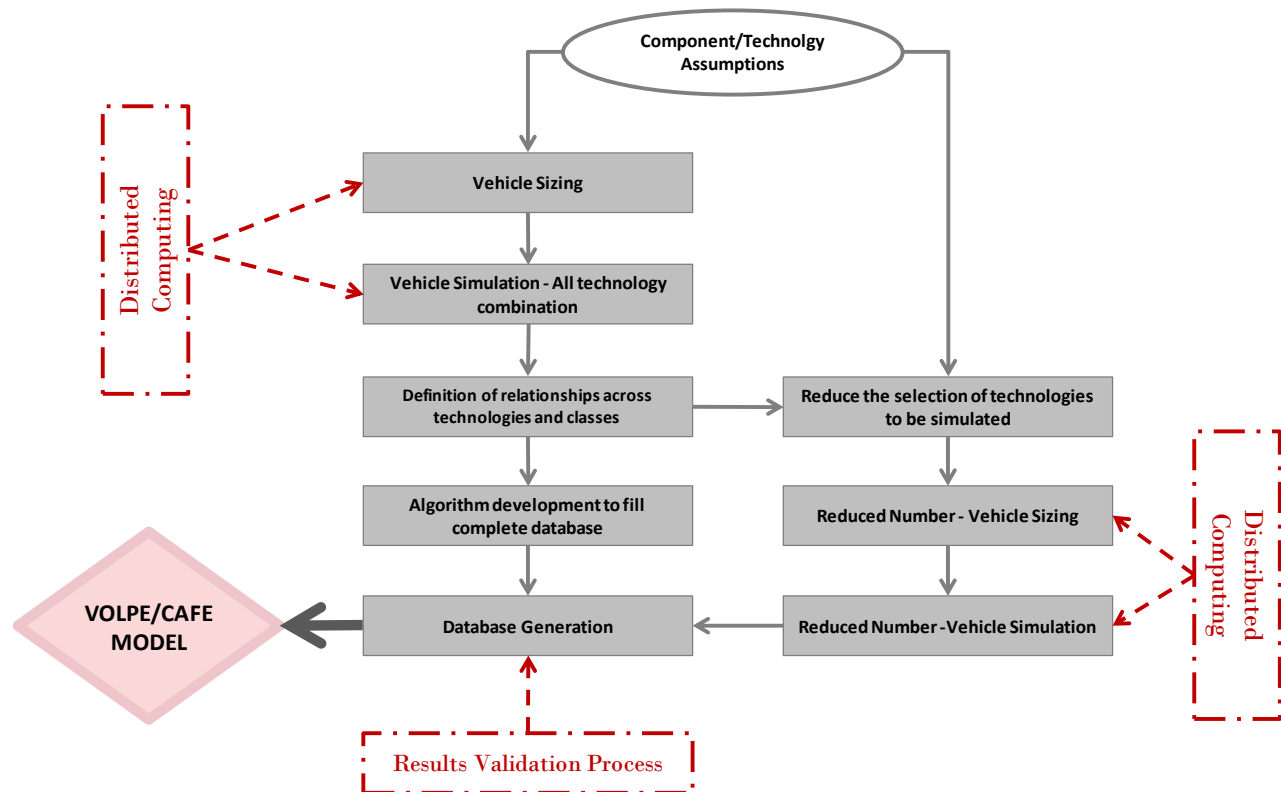
The combination of the technologies from each decision tree leads to ~27,600 simulations for a single vehicle class (or ~140,000 for 5) in order to fully populate inputs to the CAFE model. It is explained later that each vehicle combination needs to go through sizing algorithm routines + procedure runs => over a million simulations are required.

The process developed includes the following steps as shown in Figure 4:

1. Collect/develop all the technology assumptions.
2. Develop a process to automatically create the vehicle models.
3. Size the individual vehicles to all meet the same vehicle technical specifications.
4. Run each vehicle model on the specified driving cycles.
5. Create a database with all the required input for the CAFE model.
6. Create post-processing tool to validate the database content.

Since this process has to be performed in an acceptable amount of time, several additional processes were developed and implemented:

- Use of distributed computing for vehicle sizing and simulation
- Use of statistical analysis to minimize the number of simulations that need to be performed



**Figure 4 – Overview of Large-Scale Simulation Process (LSSP) Overview**

The following sections of the report will describe each step in detail.

## 4. Autonomie

### 4.1. Overview

Autonomie is a MATLAB®-based software environment and framework for automotive control-system design, simulation, and analysis. The tool, sponsored by the U.S. Department of Energy Vehicle Technologies Program, is designed for rapid and easy integration of models with varying levels of detail (low to high fidelity), abstraction (from subsystems to systems to entire architectures), and processes (e.g., calibration, validation). Developed by Argonne in collaboration with General Motors, Autonomie was designed to serve as a single tool that can be used to meet the requirements of automotive engineers throughout the development process — from modeling to control. Autonomie was built to accomplish the following:

- Support proper methods, from model-in-the-loop, software-in-the-loop (SIL), and hardware-in-the-loop (HIL) to rapid-control prototyping (RCP);
- Integrate math-based engineering activities through all stages of development — from feasibility studies to production release;
- Promote re-use and exchange of models industry-wide through its modeling architecture and framework;
- Support users' customization of the entire software package, including system architecture, processes, and post-processing;
- Mix and match models with different levels of abstraction to facilitate execution efficiency with higher-fidelity models, for which analysis and high-detail understanding are critical;
- Link with commercial off-the-shelf software applications, including GT-POWER®, AMESim®, and CarSim®, for detailed, physically based models;
- Provide configuration and database management; and
- Protect proprietary models and processes.

By building models automatically, Autonomie allows the simulation of a very large number of component technologies and powertrain configurations. Autonomie offers the following capabilities:

- Simulate subsystems, systems, or entire vehicles;
- Predict and analyze fuel efficiency and performance;

- Perform analyses and tests for virtual calibration, verification, and validation of hardware models and algorithms;
- Support system hardware and software requirements;
- Link to optimization algorithms; and
- Supply libraries of models for propulsion architectures of conventional powertrains, as well as electric-drive vehicles.

Autonomie is used in this study to assess the fuel consumption of advanced powertrain technologies in numerous vehicle categories and configurations. Autonomie has been validated for several powertrain configurations and vehicle classes using vehicle test data from Argonne's Advanced Powertrain Research Facility (APRF).<sup>1</sup>

With more than 400 different pre-defined powertrain configurations, Autonomie is an ideal tool to analyze the advantages and drawbacks of the different options within each vehicle category, including conventional, parallel, series, and power-split hybrid vehicles.

Autonomie allows users to evaluate the impact of component sizing on fuel consumption for different powertrain technologies, as well as to define the component requirements (e.g., power, energy) to maximize fuel displacement for a specific application. This is important for the current study because the use of validated plant models, vehicle controls, and complete vehicle models is critical to properly evaluating the benefit of any specific technology. The vehicle-level control algorithms (e.g., engine ON/OFF logic, component operating conditions algorithm) are critical to properly evaluating any powertrain configuration or component-sizing impact, especially for electric drives. Argonne has extensive experience in developing shifting algorithms for conventional vehicles based on the different component characteristics (e.g., engine fuel rate, gear ratios).

The ability to simulate a large number of powertrain configurations, component technologies, and vehicle-level controls over numerous drive cycles has been used to support a large number of studies, focusing on fuel efficiency, cost-benefit analysis, or greenhouse gases.

More than 150 companies and research entities, including major automotive companies and suppliers, are also using Autonomie to support advanced vehicle development programs.

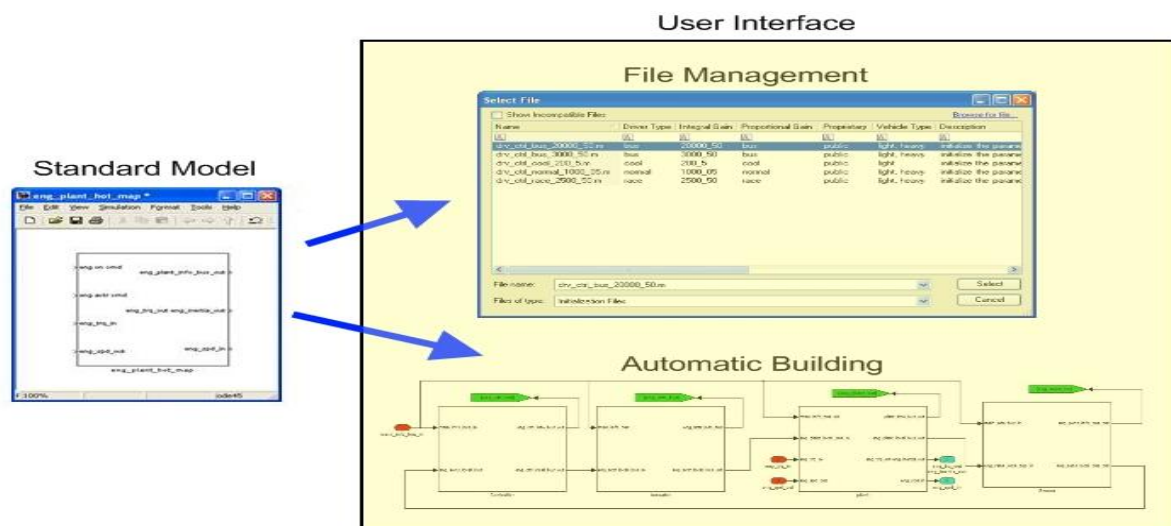
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<sup>1</sup> Autonomie Model Validation [http://www.autonomie.net/projects/model\\_valid\\_21.html](http://www.autonomie.net/projects/model_valid_21.html)

## 4.2. Structure

Autonomie was designed for full plug-and-play support. Models in the standard format create building blocks, which are assembled at run time into a simulation model of a vehicle, system, or subsystem. All parts of the user interface are designed to be flexible to support architectures, systems, subsystems, and processes not yet envisioned. The software can be molded to individual uses, so it can grow as requirements increase and technical knowledge expands. This flexibility also allows for implementation of legacy models, including plant and controls.

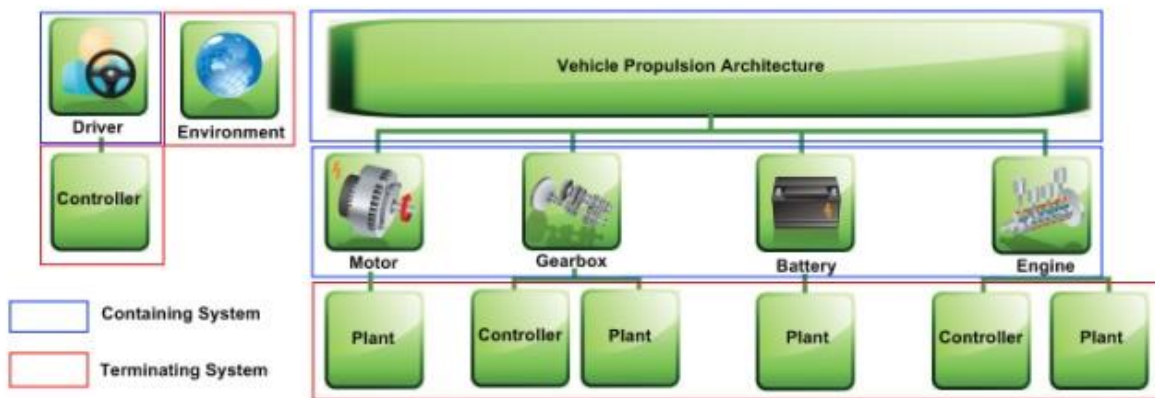
Autonomie is based on standardized modeling architecture, on-demand model building, associated extendible markup language (XML) definition files, and user interfaces for managing models, including a file-versioning database (Figure 5).



**Figure 5 – Simulation Management Concepts**

All systems in the vehicle architecture can be logically categorized as either a “containing system” or a “terminating system” (Figure 6). Containing systems consist of one or more subsystems, as well as optional files to define that system. They do not contain models; they only describe the structure of the interconnections among systems and subsystems. Terminating systems consist of a model that defines the behavior of the system and any files needed to provide inputs or calculate outputs. Terminating system models contain the equations that describe the mathematical functions of a system or subsystem.

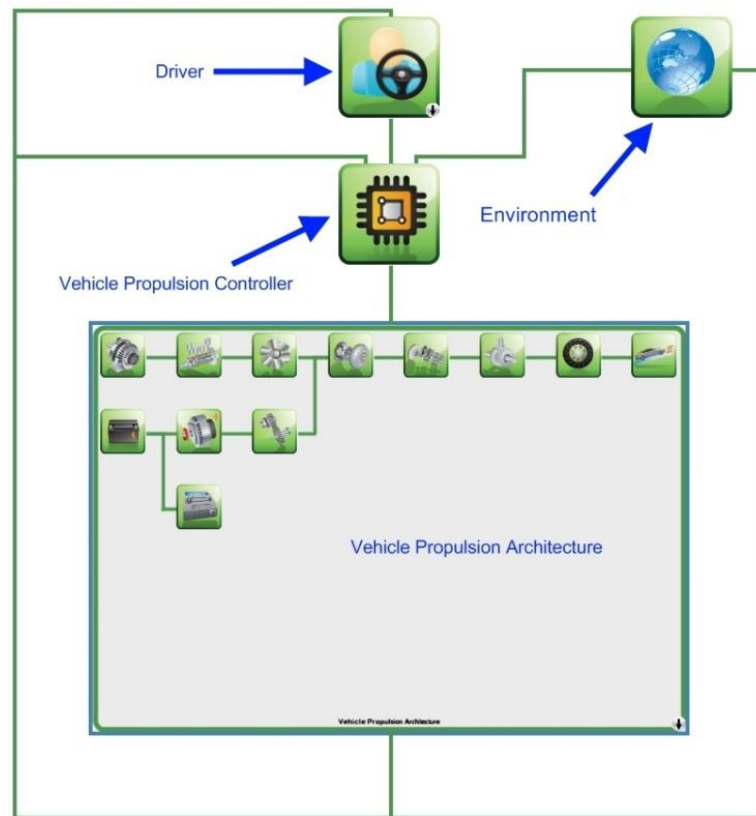
Both types of systems are arranged in a hierarchical fashion to define the vehicle to be simulated. To avoid confusion, it is a best practice to mimic the structure of the hardware as much as possible. For example, low-level component controllers should be grouped with the components that they control, at different levels of the hierarchy (where applicable). Only systems that actually appear in the vehicle should be represented; in other words, there is no need for unused components or empty controllers. In addition to simplifying the architecture, this philosophy will allow for easy transfer of systems among users and will fully support HIL, SIL, and RCP.



**Figure 6 – Class Diagram of Container and Terminating Systems**

At the top level is a vehicle system containing the following systems: environment; driver; vehicle propulsion controller for advanced powertrain vehicles such as hybrid electric vehicles (HEVs) or plug-in hybrid electric vehicles (PHEVs), which require a vehicle level controller; and vehicle propulsion architecture (VPA) (Figure 7). The VPA system will contain the powertrain components that are required to simulate the vehicle, such as engine, battery, and wheels.



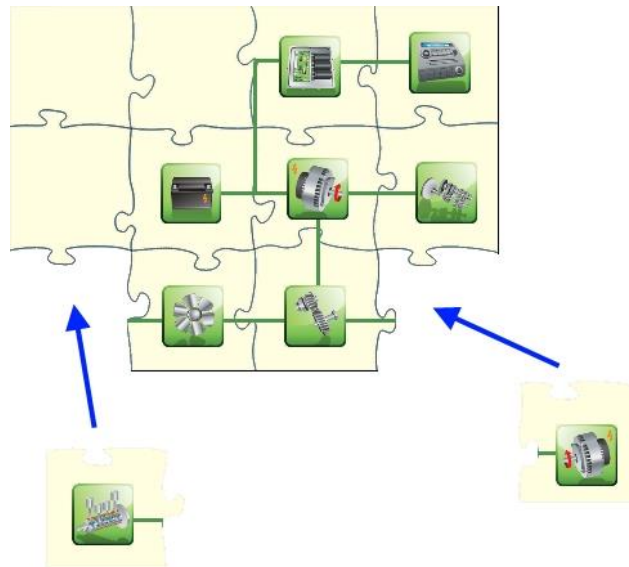


**Figure 7 – Top-Level Vehicle Layout**

The model files created for the terminating systems need to be combined in a way that allows simulation in Simulink. One option is to create every possible combination of the systems and save each complete vehicle as a separate model file. Because of the staggering number of possible combinations, this option is not feasible. Combinations involve not only many different components, but also different levels of fidelity and model versions for each component. Changing the version of a single component model would result in a new version of the entire vehicle. This method is clearly storage intensive and impractical.

A second option is to save every model in its own file and manage a library of the models. This would be an improvement over the first option; however, it still presents some difficulties. When users wish to create a new vehicle, they must select all of the appropriate models from the library and connect them by hand into a vehicle context. Not only is this manual process time consuming, but it introduces many opportunities for error. Consider an engine control unit model for auto code generation that can have more than 2,000 inputs and outputs (I/Os). Manually connecting all I/Os almost guarantees errors. It also requires some outside solution for model library management (e.g., searching, versioning, and ensuring compatibility).

Autonomie uses a novel approach that combines the second option with an automated building process, giving the user the flexibility of saving and versioning models independently without the potential pitfalls of manual connections. Users select the correct files in a user interface, and the automatic building uses metadata associated with the models to create the correct connections, as shown in Figure 8.



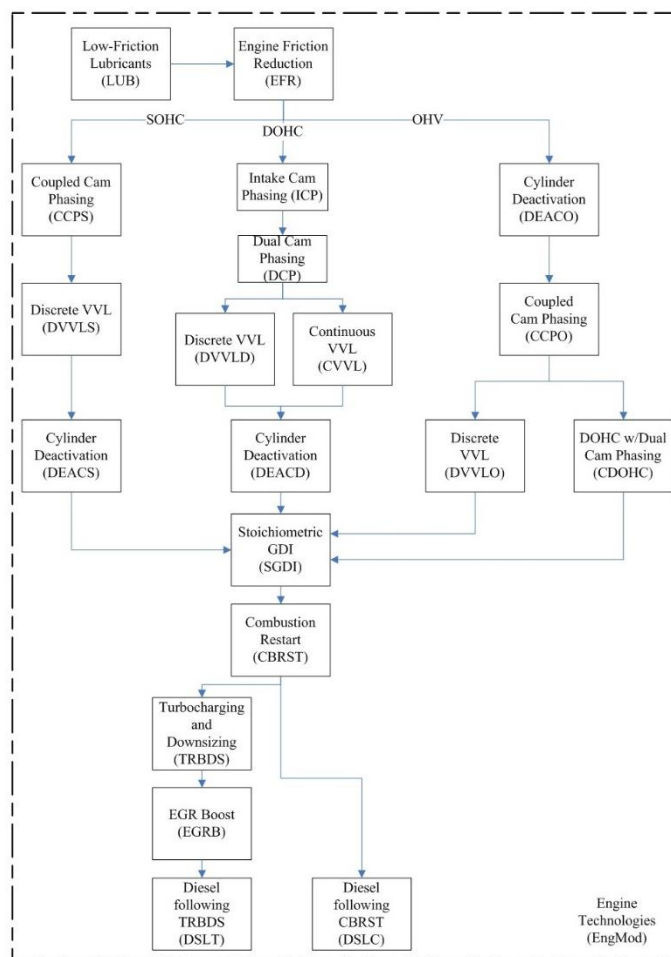
**Figure 8 – Models are Automatically Built**

## 5. Technology Selection

Manufacturers have been considering many technology options for improving vehicle energy efficiency. The objective of this phase is to define and collect, if necessary, the performance assumptions for each technology that were in the original CAFE decision trees.

### 5.1. Engine

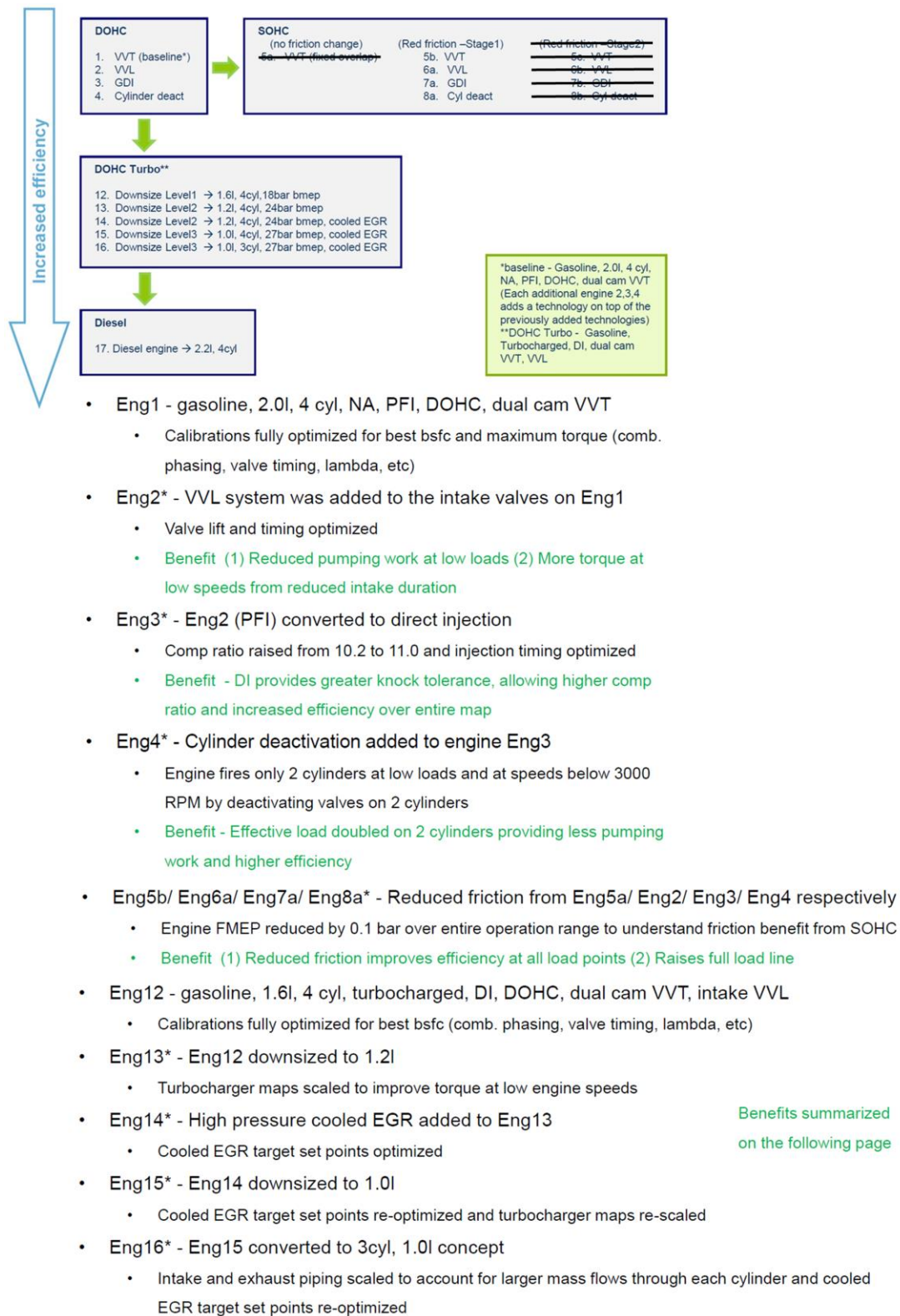
Figure 9 shows the original engine decision tree provided by the Volpe Center.



**Figure 9 – Original CAFE Model Engine Decision Tree**

A consulting contract has been completed for obtaining a wide range of different engine maps with technological breakdown, as shown in Figure 10. The contractor has provided wide-open-throttle engine performance values and brake-specific fuel consumption (BSFC) maps for future engine concepts. In order

to provide sufficient and realistic results, the list of incremental technologies have been modeled using GT-POWER®, and validated with existing dynamometer measurements. The models were trained over the entire engine operating range and have predictive combustion capability. This is essential, since the BSFC prediction needs to be accurate while the engine setup is subject to change. These maps are used in the process described herein.



\*All inputs/parameters are held constant unless specifically mentioned

**Figure 10 – Example Engine Technologies**

For this example, 14 different BSFC engine maps were developed and selected to be used to evaluate the fuel consumption benefits of advanced engines. The baseline engine technology is the PFI 2.0-L gasoline engine with VVT. The baseline BSFC engine map (Engine 1) was generated from engine dynamometer data. Each subsequent engine (BSFC map) represents an incremental increase in technology advance over the previous technology. For example, Engine 2 is a 2.0-L engine with Variable Valve Lift (VVL) in addition to VVT for a PFI engine. Engine 3 is a direct injection (DI) engine with VVT and VVL, and so on. Figure 10 lists the four NA technologies considered.

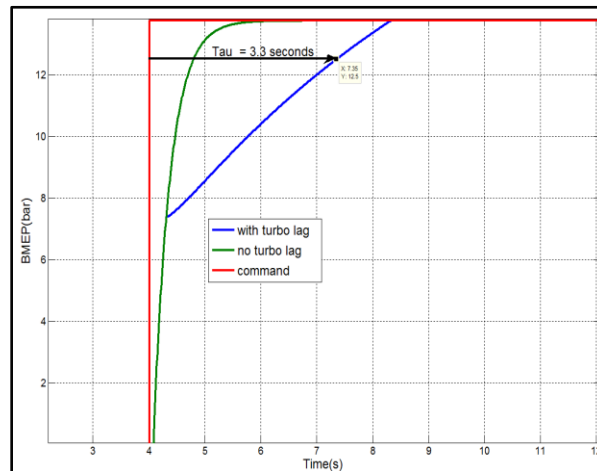
Friction reduction has been shown to offer significant improvements in vehicle fuel consumption. Therefore, in order to evaluate the potential of friction reduction, each of the above engine technologies was subjected to two levels of reduction in friction mean effective pressure (FMEP).

1. A reduction in FMEP by 0.1 bar across the entire engine speed range.
2. An extreme friction reduction (25% FMEP) across the entire speed range.

For this example the first level of friction reduction has been considered.

In addition to the naturally aspirated engines, maps for turbo technologies were also developed using GT-POWER®. With turbo engines, there is a 'lag' in torque delivery due to the operation of the turbo charger. This impacts vehicle performance, and could impact the vehicle shifting on aggressive cycles. Turbo lag has been modelled for the turbo systems based on principles of a first order delay, where the turbo lag kicks in after the naturally aspirated torque limit of the turbo engines has been reached.

Figure 11 below shows the response of the turbo engine model for a step command.



**Figure 11 – Turbo charged engine response for the one liter engine**

It should be noted that the turbo response changes with engine speed, i.e. at higher speeds, the turbo response is faster due to higher exhaust flow rates.

It should also be noted that the baseline engine maps (Engine 1 and Engine 12) for the naturally aspirated and the turbo engines were generated using test data. The baseline GT-POWER© models were hence validated against test data.

### **Assumptions for cylinder deactivation**

Due to NVH considerations in production vehicles, cylinder deactivation operation is not performed in several vehicle operation modes, like vehicle warm-up, lower gear operation, idle, and low engine speed. In order to provide a realistic evaluation of the benefits of cylinder deactivation technology, cylinder deactivation has not been used under the following vehicle and engine conditions:

1. Cylinder deactivation is disabled if the engine is at idle or any speed below 1000 RPM or above 3000 RPM.
2. Cylinder deactivation is disabled if the vehicle is in the 1st or the 2nd gear.
3. Cylinder deactivation is disabled if the engine load is above half the max BMEP of the engine (and a certain hysteresis is maintained to prevent constant activation and deactivation).

Typically, cylinder deactivation is not performed during the vehicle warm up phase, i.e. for a cold start. Since all the simulations considered in this study assume a 'hot start', where in the engine coolant

temperature is steady around 95 degrees C, the cold start condition was not a factor for the simulations.<sup>2</sup> In addition, changes in the transmission shifting calibration (like lugging speed limits) and additional torque converter slippage during cylinder deactivation have also been disregarded.

## 5.2. Transmission

To provide more fuel-efficient vehicles to customers, manufacturers have introduced a number of transmission improvements over the past couple of years, including incorporating a higher number of gears and new technologies such as the dual-clutch transmission. The following configurations are used in this example eV, to represent market need:

- 5-speed automatic (reference vehicle)
- 6-speed automatic
- 8-speed automatic
- 6-speed dual-clutch
- 8-speed dual-clutch
- Continuously variable
- 5-speed manual
- 6-speed manual
- 7-speed manual

Progressive transmission gear ratios have been designed for each transmission type considering trends in gear span and ratios, as well as expected differences in vehicle performance and fuel consumption based on the transmission technology. In order to properly evaluate engine operation for different component technologies and powertrain configurations, a rigorous selection of transmission gear ratios, final drive ratios, and gear shift parameters is important. On the basis of a literature review and evaluation of chassis dynamometer test data for conventional vehicles, the following criteria were selected for the design of transmission gear ratios, final drive ratios, and shift parameters.

1. The vehicle should shift to top gear around 45 mph.
2. In top gear, the engine should operate at or above 1,250 rpm to prevent engine lugging.
3. The number of gear shifts for a 6-speed transmission, Urban Dynamometer Driving Schedule (UDDS) cycle, should be around 110 to 120 based on a review of chassis dynamometer test data

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<sup>2</sup> See section 6.10.



to balance operating at best engine efficiency and shift frequency which impacts customer satisfaction.

4. Gear span and final drive ratios should be based on industry trends for the compact vehicle class.
5. Engine operation will be restricted in the low-speed/high torque region to prevent noise, vibration, and harshness (NVH) issues and ensure drive quality.
6. The span of the 8-speed transmissions is higher than that of the 6-speed transmission.
7. The span of the 8-speed DCT is slightly higher than the span of the 8-speed AU to compensate for the lack of torque multiplication of the torque converter for the AU.
8. The vehicle should be able to meet or exceed Vehicle Technical Specifications (VTSs) related to grade (in first and top gear) and passing performance for a compact car.

Figure 12 shows the expected fuel economy and performance differences between the 6- and 8-speed AU and 8-speed DCT based on the literature survey.

Transmission	6 Speed Auto	8 Speed Auto	8 Speed DCT
Performance	+	++	+
Fuel Economy	+	++	+++

**Figure 12 – Expected Differences in Performance and Fuel Economy for the Different Engines.**

The 8-speed automatic is expected to have a better performance in Initial Vehicle Movement (IVM) -60 mph) test when compared to a 6-speed automatic (AU) given that the “ideal” tractive effort parabola is better matched by an 8-speed than a 6-speed. Naturally, the higher number of shifts in an IVM-60 test for an 8-speed could reduce the performance difference. An 8-speed DCT with the same gear ratios and final drive as an 8-speed AU is expected to have slower performance because of the absence of the initial torque multiplication of the torque converter.

The 8-speed AU is expected to provide better fuel efficiency than a 6-speed because of its higher gear span, and therefore, a lower engine speed range of operation. The 8-speed DCT provides the highest fuel economy because of the absence of torque converter losses when it is in the unlocked state.

Dual clutch transmissions with torque converters are being introduced in the market. But, based on the 2014 EPA Report on light –duty vehicles, a significant majority of the DCT transmissions in the market today are without the use of a torque converter device. Therefore, in this example, it is assumed that the torque converter is not used with the DCT.

Based on publicly available data, the gear spans, transmission gear ratios, and final drive ratios for several vehicles in the compact car segment for MY 2013 were reviewed. Table 1 lists the minimum and maximum values for gear ratio span, final drive ratio, and engine speed in top gear at 45 mph (indicator of top gear ratio). The table also lists the selected values for the 6-speed transmission. A similar selection was made for the 8-speed case, as well.

**Table 1 – Gear Ratio, Final Drive Information for Sample 6-Speed Automatic Transmission Vehicles – MY 2013.**

	Minimum Value	Maximum Value	Selected Value for Study
<b>Span</b>	5.6	6.15	6.00
<b>Final Drive</b>	3.2	4.58	3.74
<b>Engine Speed (45 mph)</b>	1,234 RPM	1,604 RPM	1,420 RPM

A gear span of 6 has been selected for the 6-speed case, because current trends in transmission technology reflect increasing gear spans, thus driving selection of a span closer to the maximum observed value.

Similarly, span and final drive ratios for the 8-speed AU transmission were chosen, considering available transmissions in the market today as well as the criteria listed above. It should be noted that there are very few compact cars currently in the market with 8-speed transmissions, and most of the available data suggest the use of 8-speed transmissions in the large sedan (and higher) segments, luxury cars, and sports cars. Therefore, the decision on gear span and final drive ratio was made so as to meet the criteria listed above.

Table 2 lists the span, final drive ratio, and engine speed at 45 mph for the 6-speed AU, 8-speed AU, and 8-speed DCT transmissions. With a start-stop (BISG) powertrain configuration, the electric motor provides additional torque during vehicle launch, thus aiding in vehicle acceleration and performance. Therefore, it is possible to have a final drive ratio lower than one for a conventional powertrain with the same transmission. A very small final drive ratio would result in increased transmission gear ratios to attain the same performance and grade ability requirements, and therefore, an inherent trade-off exists between higher transmission gear ratio and final drive ratio. Finding an optimum trade-off between transmission gear ratio and final drive ratio for the BISG is not included in this example.

**Table 2 – Gear Span, Final Drive and Engine Speed in Top Gear at 45 mph for 6-Speed AU, 8-Speed AU, and 8-Speed DCT.**

	<b>6-speed AU</b>	<b>8-speed AU</b>	<b>8-speed DCT</b>
<b>Span</b>	6	7.5	7.7
<b>Final Drive</b>	3.7	3.5	3.5
<b>Engine Speed (45 mph)</b>	1,420 RPM	1,290 RPM	1,290 RPM

With the gear span, final drive ratio, and expected engine speed at 45 mph in top gear all preselected, the progressive gear ratios were calculated for each transmission type using the following formula from:

$$i_n = i_z \left[ \frac{Span}{\phi_2^{0.5(z-1)(n-1)}} \right]^{\frac{z-n}{z-1}} \quad z \neq 1$$

Where:

$z$  = total number of gears,

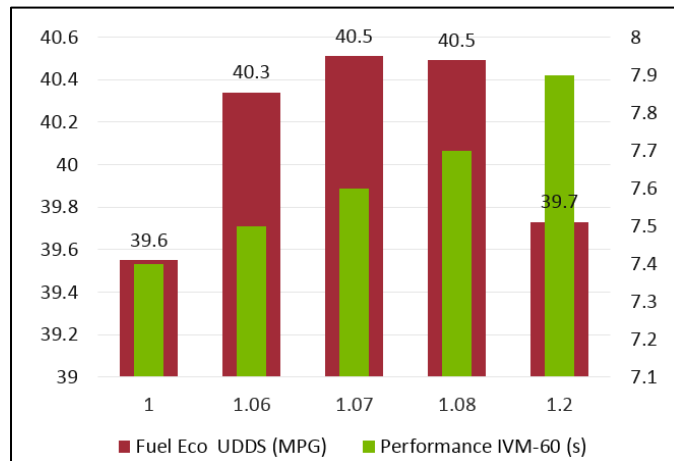
$n$  = gear number in consideration for design (varies from 1 to  $z$ ),

$\phi_2$  = progression factor (independent variable — normally between 1 and 1.2),

$i_z$  = top gear ratio, and

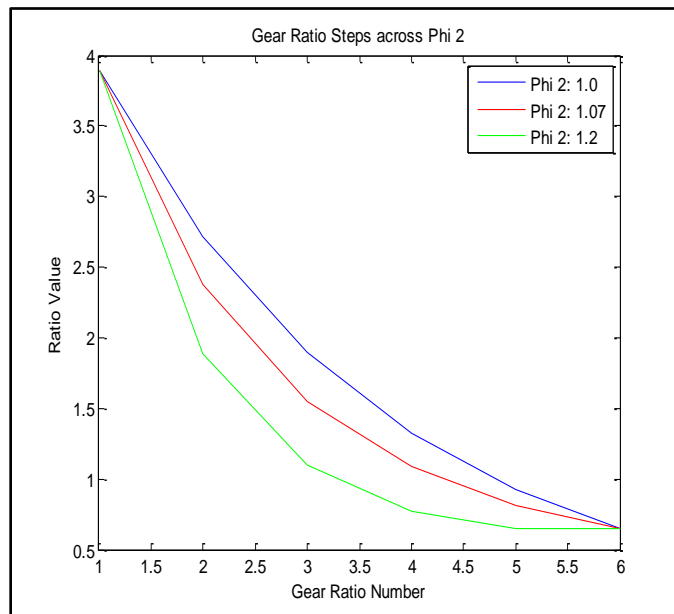
$i_n$  = nth gear ratio.

The independent variable  $\phi_2$  can normally take a value between 1 and 1.2 based on industry trends. The selection of  $\phi_2$  causes a trade-off between fuel consumption and performance. For this example, the independent variable, for each transmission, was chosen so as to minimize the fuel consumption over a combined UDDS (Urban) and HWFET (Highway) drive cycle. Figure 13 shows the fuel economy and performance (IVM-60 mph) for different values of the independent variable for a UDDS cycle.



**Figure 13 – Fuel Economy and Performance Variations with Choice of Progression Factor for a 6-Speed Transmission.**

As shown, a value of 1.07 provides the maximum fuel economy and was therefore chosen to decide the gear ratios of the 6-speed transmission for the example. Figure 14 shows the gear ratios obtained with three different values of  $\phi_2$ .



**Figure 14 – Gear Ratios Obtained with Three Values of Progression Factor for a 6-Speed Transmission.**

A similar exercise was conducted for the 8-speed transmissions, as well.

The transmission ratios thus designed may not meet the necessary criteria for practical transmission ratio design, where decisions on number of planetary gear sets, clutches, and kinematic combinations of different transmission elements have to be considered. It is assumed that with actual transmissions, the ratios would be slightly different and would have a minimal implication on vehicle fuel consumption. To validate the approach described above for selection of the intermediate gear ratios, the intermediate gear ratios calculated by the algorithm were compared to actual vehicles for two vehicles in the compact class. Gear span, final drive ratio, and top gear ratio were inputs to the equation above. As Figure 15 and Figure 16 show, with proper selection of the independent variable  $\varphi_2$ , the calculated gear ratios are very close to the actual gear ratios.

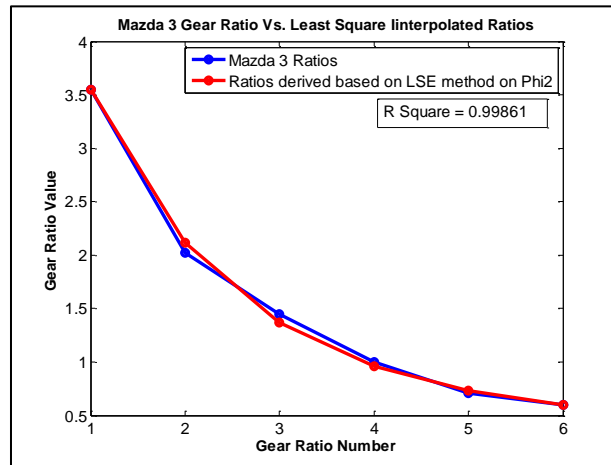


Figure 15 – Comparison of Actual Gear Ratios and Gear Ratios Calculated

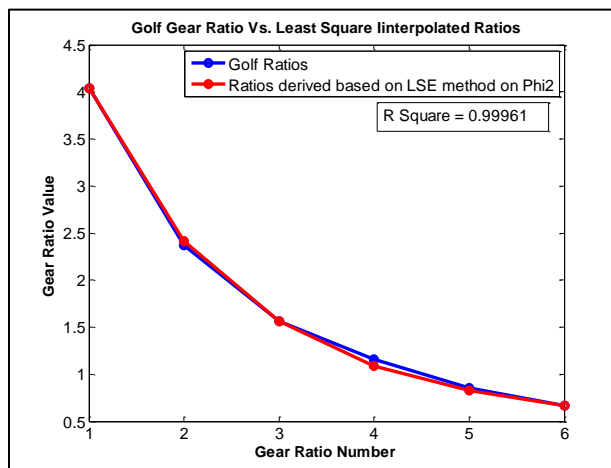


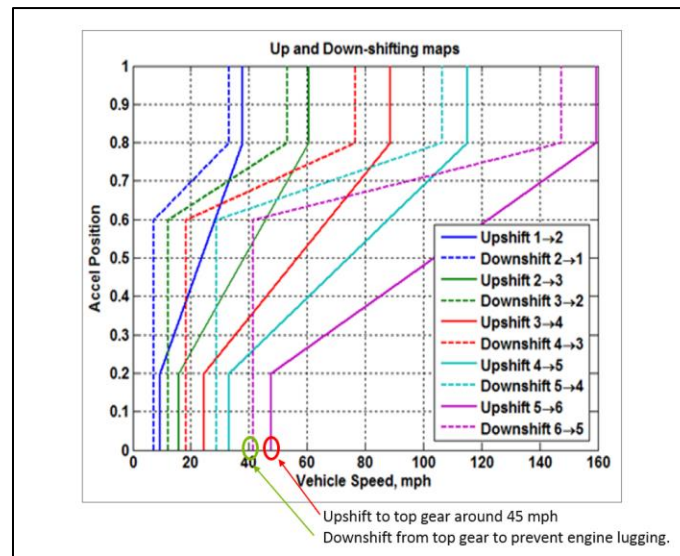
Figure 16 – Comparison of Actual Gear Ratios and Gear Ratios Calculated

A similar validation was performed with the Ford Focus and the Chevy Cruze. Table 3 shows the value of  $\varphi_2$ , which was calculated to minimize the LSE (Least Square Error) between calculated and actual gear ratios for the vehicles, in comparison to the value of  $\varphi_2$  chosen for the study.

**Table 3 – Progression Ratio for Numerous Vehicles with 6-speed AU.**

	Ford Focus	Chevy Cruze	Mazda 3	Volkswagen Golf	Study
$\varphi_2$	1.09	1.04	1.08	1.08	1.07

In order to meet the criteria listed above, proper selection of shift parameters is equally important to the selection of gear ratios. Figure 17 shows the shape of the upshift and downshift curves for a 6-speed transmission. Of particular importance are shift parameters, which determine the upshift to top gear around 45 mph and downshift from top gear to prevent lugging.



**Figure 17 – Shifting Speed Curves for a 6-Speed Transmission Vehicle in Autonomie.**

More shifting control details are described later on in this report.

#### Torque Converter Lock-up Assumptions

A quasi-static model is used to represent the torque converter. The torque converter is used as a start-up device in the first gear, with very low slip (torque ratio of 0.95) at higher speeds, in the first gear. Recent trends in torque converter technology suggest operation in locked or controlled slip mode, in the 2nd and

higher gears. In general, the torque converter is in controlled slip or mechanically locked based on vehicle speed and pedal position, for each gear apart from the 1st. In order to suggest advances in torque converter technology, it was assumed that the torque converter would be in a mechanically locked state for the 2nd and higher gears.

### 5.3. Light-weighting

Light-weighting will be associated with the glider weight. Its secondary effect (such as downsizing) will be taken into account as part of the vehicle sizing algorithm. In this example, the base vehicle and the vehicles with higher levels of mass reduction are sized (10, 15 and 20%) are sized to meet the vehicle technical performance. Vehicles with lower levels of mass reduction inherit sizing characteristics from their respective baseline. The glider percentage mass reduction values selected for the example are:

- 0% (reference vehicle)
- 5% reduction
- 7.5% reduction
- 10% reduction
- 15% reduction
- 20% reduction

### 5.4. Rolling Resistance

The following rolling resistance reduction values were selected:

- 0% (reference vehicle)
- 10% reduction
- 20% reduction

These values were chosen to bound the possible rolling resistance improvements expected in future vehicles. No sizing is performed on this dimension.

### 5.5. Aerodynamic

The following aerodynamic reduction values were selected:

- 0% (reference vehicle)
- 10% reduction
- 20% reduction

These values were chosen to bound the possible rolling resistance improvements expected in future vehicles. No sizing is performed on this dimension.

## 5.6. Electric Drive Vehicles

Interest in electric drive vehicle technologies is growing, and their development accelerating, in the automotive industry. This growth represents a shift of focus from market entry and environmental drivers to mainstream, customer-committed development.

Hybrid Vehicles (HEVs) combine at least two energy sources, such as an internal combustion engine (ICE) or fuel cell system with an energy storage system. Electric drive vehicles have the potential to reduce fuel consumption in several ways, including the following:

- Regenerative braking: A regenerative brake is an energy mechanism that reduces the vehicle's speed by converting some of its kinetic energy into a storable form of energy for future use instead of dissipating it as heat, as with a conventional friction brake. Regenerative braking can also reduce brake wear and the resulting fine particulate dust.
- Engine shutoff under various driving conditions (e.g., vehicle stopped, low power demand).
- Engine downsizing, which may be possible to accommodate an average load (not a peak load), would reduce the engine and powertrain weight. Higher torque at low speed from the electric machine also allows the vehicle to achieve the same performance as conventional vehicles with a lower vehicle specific power (W/kg).
- Optimal component operating conditions: For example, the engine can be operated close to its best efficiency line.
- Accessory electrification allows parasitic loads to run on as-needed basis.
- The energy storage systems of PHEVs and battery electric vehicles (BEVs) can also be recharged, further improving fuel displacement.

However, vehicle electrification also have disadvantages that could affect fuel consumption, including increased vehicle weight due to additional components.

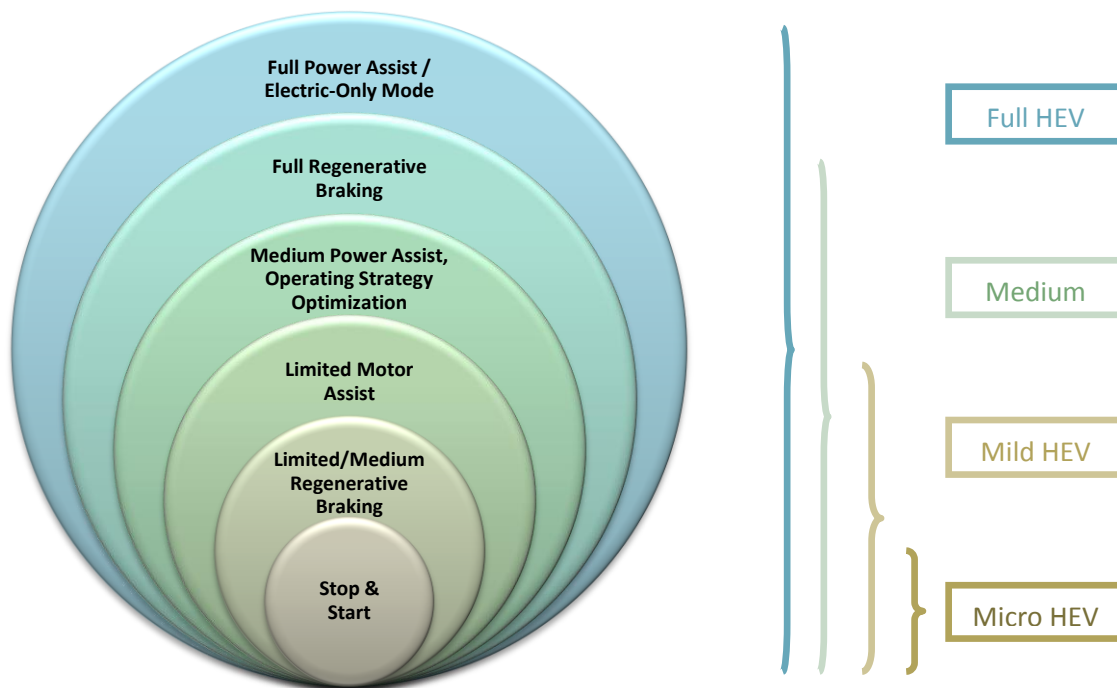


Two major types of hybrids have been considered for transportation applications: electrical and hydraulic. Since Hydraulic Hybrid Vehicles have been studied almost exclusively for medium- and heavy-duty applications, only HEVs have been used in this example.

HEVs combine electric and mechanical power devices. The main components of HEVs that differentiate them from conventional vehicles are the electric machine (motor and generator), energy storage (e.g., battery or ultra-capacitors), and power electronics. The electric machine absorbs braking energy, stores it in the energy storage system, and uses it to meet acceleration and peak power demands.

#### 5.6.1. Electric Drive Powertrain Configurations

The various HEV powertrain configurations can be classified on the basis of their hybridization degree, as shown in Figure 18. The hybridization degree is defined as the percentage of total power that can be delivered electrically. The higher the hybridization degree, the greater is the ability to propel the vehicle using electrical energy.



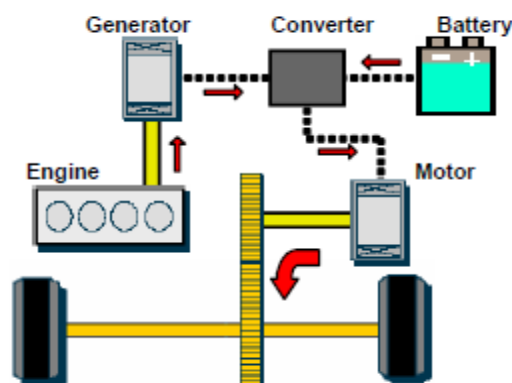
**Figure 18 – Electric Drive Configuration Capabilities**

A number of different powertrain architectures have been considered and introduced in the market for different applications. These architectures are usually classified into three categories: series, parallel, and

power split. The following sections describe some of the possible powertrain configurations for each architecture.

### 5.6.2. Series Hybrid Vehicle

The first hybrids were generally based on a series configuration. As shown in Figure 19, series hybrid vehicles are propelled solely by electrical energy. When the engine is used, it provides a generator with mechanical power, which is then converted into electricity. In the case of a fuel-cell system, the electrical energy is directly used by the electric machine. The main advantage is that the engine speed is decoupled from the vehicle speed, allowing operating conditions at or close to the engine's most efficient operating point. The main drawback is that the main components have to be oversized to be able to maintain a uniform performance, leading to higher vehicle weight. Finally, the large number of components and the energy conversion from chemical to mechanical to electrical leads to lower powertrain efficiency.



**Figure 19 – Series Hybrid Electric Vehicle**

Several variations of the series configuration have been considered. One of the important considerations in the design of a series HEV is related to the use of a single gear ratio versus a two-speed transmission. Using a single gear ratio usually leads to low maximum vehicle speed and poor performance at high speed due to the low electric machine torque in that operating regime. When applications require better performance at high speeds, a two-speed transmission is considered. If electric machines are used at each of the wheels, instead of one single electric machine, torque vectoring is possible, improving vehicle stability.

Currently, for light-duty vehicles, series configurations are essentially considered only for PHEV applications.

### 5.6.3. Parallel Hybrid Vehicle

In a parallel configuration, the vehicle can be directly propelled by either electrical or mechanical power. Direct connection between the power sources and the wheels leads to lower powertrain losses compared to the pure series configuration. However, since all of the components' speeds are linked to the vehicle's speed, the engine cannot routinely be operated close to its best efficiency curve.

Several subcategories exist within the parallel configuration:

- **MHEV:** A small electric machine is used. Control system turns the engine off when the vehicle is stopped and restarts the engine when the brake pedal is released. Examples include the Citroen C3.
- **Starter-alternator:** This configuration is based on a small electric machine directly connected to the engine (usually 5 to 15 kW) located between the engine and the transmission. Because of the low electric-machine power, this configuration is mostly focused on reducing consumption by eliminating idling. While some energy can be recuperated through regenerative braking, most of the negative electric-machine torque available is usually used to absorb the engine's negative torque. Since the electric machine speed is linked to the engine, the vehicle cannot operate in electric mode other than for extremely low speeds (e.g., creep). In addition, the electric machine is used to smooth the engine torque by providing power during high transient events to reduce emissions. The electric machine can be connected to the engine either through a belt or directly on the crankshaft. Examples include the Buick E-Assist (belt integrated), Honda Civic (crankshaft integrated), and Honda Accord (Crankshaft integrated).
- **Pre-transmission:** This configuration has an electric machine in between the engine and the transmission. The electric machine power ranges from 20 to 50kW for light duty applications, which allows the driver to propel the vehicle in electric-only mode as well as recover energy through regenerative braking. The pre-transmission configuration can take advantage of different gear ratios that allow the electric machine to operate at higher efficiency and provide high torque

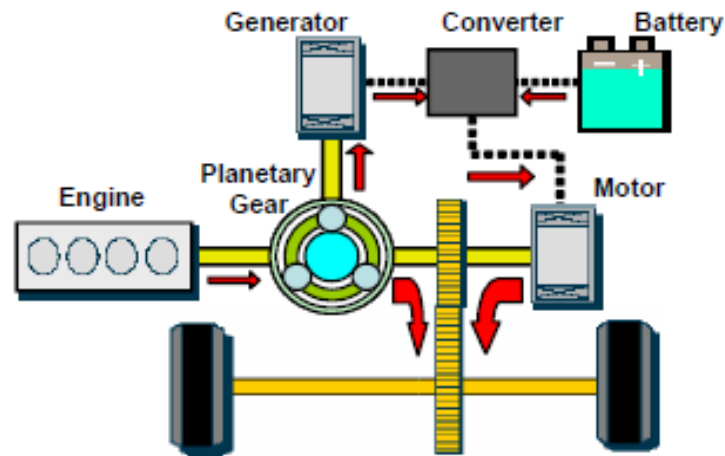
for a longer operating range. This configuration allows operation in electric mode during low and medium power demands, in addition to the ICE on/off operation. The main challenge for these configurations is being able to maintain a good drive quality because of the engine on/off feature and the high component inertia during shifting events. Examples of pre-transmission HEVs currently in production include the Hyundai Sonata Hybrid and the Infiniti M35 Hybrid.

- **Post-transmission:** This configuration shares most of the same capabilities as the pre-transmission. The main difference is the location of the electric machine, which in this case is after the transmission. The post-transmission configuration has the advantage of maximizing the regenerative energy path by avoiding transmission losses, but the electric machine torque must be higher because it cannot take advantage of the transmission torque multiplication.

#### 5.6.4. Power Split Hybrid Vehicle

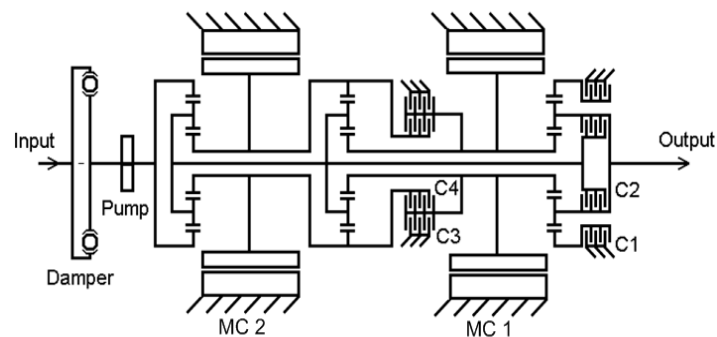
As shown in Figure 20, power split hybrids combine the best aspects of both series and parallel hybrids to create an extremely efficient system. The most common configuration, called an input split, is composed of a power split device (planetary gear transmission), two electric machines and an engine. Within this architecture, all these elements can operate differently. Indeed, the engine is not always on and the electricity from the generator may go directly to the wheels to help propel the vehicle, or go through an inverter to be stored in the battery. The operational phases for an input split configuration are the following:

- During vehicle launch, when driving, or when the state of charge (SOC) of the battery is high enough, the ICE is not as efficient as electric drive, so the ICE is turned off and the electric machine alone propels the vehicle.
- During normal operation, the ICE output power is split, with part going to drive the vehicle and part used to generate electricity. The electricity goes either to the electric machine, which assists in propelling the vehicle, or to charge the energy storage system. The generator also acts as a starter for the engine.
- During full-throttle acceleration, the ICE and electric machine both power the vehicle, with the energy storage device (e.g., battery) providing extra energy.
- During deceleration or braking, the electric machine acts as a generator, transforming the kinetic energy of the wheels into electricity to charge the energy storage system.



**Figure 20 – Power Split Hybrid Electric Vehicle**

Several variations of the power split have been implemented, including single-mode and multi-mode power splits. The Two-Mode Hybrid is a full hybrid system that enables significant improvement in composite fuel economy while providing uncompromised performance and towing capability. In city driving and stop-and-go traffic, the vehicle can be powered either by the two electric motors or by the ICE, or by both simultaneously. As shown in Figure 21, the Two-Mode Hybrid can also drive the vehicle using an input power-split range, a compound power-split range, or four fixed-ratio transmission gears. The system is flexible and efficient, with smaller motors, inverter module and battery that enable numerous cost advantages.



**Figure 21 – Two Mode Transmission with Four Fixed Gears**

The advantages of the Two-Mode Hybrid configuration are as follows:

- Transmits more power mechanically, which is more efficient and less costly.

- Delivers engine power with motors that are “right-sized” for regenerative braking and acceleration assist.
- Maintains high efficiency over a wider range.
- Has at least one fixed gear ratio available (shift ratio).
- Allows a synchronous shift between two modes.
- Uses two planetary gear sets: one for input power split and torque multiplication and both for compound power split.
- Allows high power density for an electro-mechanical infinitely variable transmission.

However, the addition of clutches to the transmission increases spin and pump losses and the engine may not be at its optimum point in the fixed-gear mode.

Examples of single-mode power split hybrids include the Toyota Prius and Ford Fusion Hybrid. An example of a multi-mode power split hybrid is the General Motors Chevrolet Tahoe.

#### 5.6.5. Voltec Hybrid Vehicle

In the past couple of years, configurations allowing different operating modes (e.g., series and parallel, parallel and power split) have been introduced in the market. The Voltec configuration from General Motors is an example of these configurations. The Voltec powertrain architecture, also called the EREV (Extended Range Electric Vehicle), provides four modes of operating, including two that are unique and maximize the powertrain efficiency and performance. The electric transaxle has been specially designed to enable patented operating modes, both to improve the vehicle’s electric driving range when operating as a BEV and to reduce fuel consumption when extending the range by operating with an ICE. The EREV powertrain introduces a unique two-motor electric-vehicle (EV) driving mode that allows both the driving motor and the generator to provide tractive effort while simultaneously reducing electric motor speeds and the total associated electric motor losses. For HEV operation, the EREV transaxle uses the same hardware that enables one-motor and two-motor operation to provide both the completely decoupled action of a pure series hybrid and a more efficient flow of power with decoupled action for driving under light load and at high vehicle speed.

It is important to note that many different variations exist within each configuration (i.e., power-split configurations can be single-mode, two-mode, three-mode, etc.) and between configurations (i.e., several

configurations are considered to be a mix of series, parallel and/or power-split). Overall, several hundred configurations are possible for electric-drive vehicles.

#### 5.6.6. Plug-in Hybrid Electric Vehicle

PHEVs differ from HEVs in their ability to recharge the energy storage system through the electric grid. PHEVs energy storage systems have usually a higher total energy compared to HEVs and they also use a larger portion of it (e.g., when most HEVs use 10 to 15% of their total battery energy, PHEVs use from 60 to 70%). Since the vehicle is designed to have a high capacity energy storage, electrochemical batteries are usually used for this application. All the HEV configurations described above can be used as PHEVs. In most cases, because of the desire to propel the vehicle using electrical energy from the energy storage system, the electric machine power is greater for a PHEV compared to an HEV.

### 5.7. Vehicle-Level Control

The task of achieving fuel savings with a hybrid architecture depends on the vehicle performance requirements and the type of powertrain selected as well as the component sizes and technology, the vehicle control strategy, and the driving cycle. The overall vehicle-level control strategy is critical to minimize fuel consumption while maintaining acceptable drive quality. During small accelerations, only the energy storage power is used (EV mode) and during braking, some of the energy is absorbed and stored. The engine does not start to operate during low power demands, owing to its poor efficiency compared to the electrical system. The engine is only used during medium and high power demands, where its efficiency is higher.

While different vehicle-level control strategy approaches have been studied for electric drive vehicles (e.g., rule based, dynamic programming, instantaneous optimization), the vast majority of current and future electric drive vehicles are using and expected to use rule-based control strategies. The vehicle level control strategies used in this example will be described later in the report.

### 5.8. Powertrain Electrification

The selection of hybridization degree and powertrain configuration is complex, since numerous options exist. On the basis of current production vehicles as well as anticipated near-future trends, the following powertrain configurations were selected for this example:

- 12-V micro-hybrid electric vehicle (micro-HEV/start-stop system – no regenerative braking.)
- Belt-integrated starter generator (BISG)
- Crank-integrated starter generator (CISG)
- Full hybrid electric vehicle (HEV), single-mode power split configuration with fixed ratio
- Full hybrid electric vehicle (HEV), Pre-Transmission configuration with 6-speed DCT.
- Fuel cell HEV, series configuration, with 320-mile range on the FTP drive cycle
- PHEV, single-mode power split configuration with fixed ratio, with 20 AER on the FTP (standard urban) drive cycle
- PHEV, Voltec extended-range electric vehicle (EREV) configuration with 30 AER on the FTP drive cycle
- PHEV, Voltec EREV configuration, with 50 AER on the FTP drive cycle
- Battery electric vehicle (BEV), with 200 AER on the FTP drive cycle

Note that the AER values are based on unadjusted electrical consumptions. In addition, the belt losses were included for both the micro-HEV and BISG cases. The pre-transmission parallel configuration was not selected for PHEVs because the single-mode power split configuration is expected to represent the highest volume of vehicles in the timeframe considered and provide a lower fuel consumption.



## 6. Vehicle and Component Assumptions

The purpose of this study is to demonstrate the feasibility of the Large Scale Simulation Process (LSSP) rather than to generate final simulation results. Therefore, the vehicle and component assumptions will only be briefly described in this section. Future studies in the next phase of this project will provide additional detail on the technology and control strategy specifications.

### 6.1. Reference Vehicle

To demonstrate feasibility of the process, a single vehicle class will be presented: Midsize vehicle. The reference vehicle is a midsize car with conventional powertrains and the specifications summarized below in Table 4.

**Table 4 – Reference Vehicle Main Specifications**

<b>Baseline Vehicle Specification</b>	<b>Values</b>
<b>Glider mass (kg)</b>	1,000
<b>Drag coefficient</b>	0.31
<b>Frontal area (m<sup>2</sup>)</b>	2.3
<b>Rolling resistance coefficient 1</b>	0.008
<b>Rolling resistance coefficient 2 (speed term)</b>	0.00012

All the mechanical losses of the components required to run the engine on the dynamometer are included in the engine maps.

### 6.2. Transmission

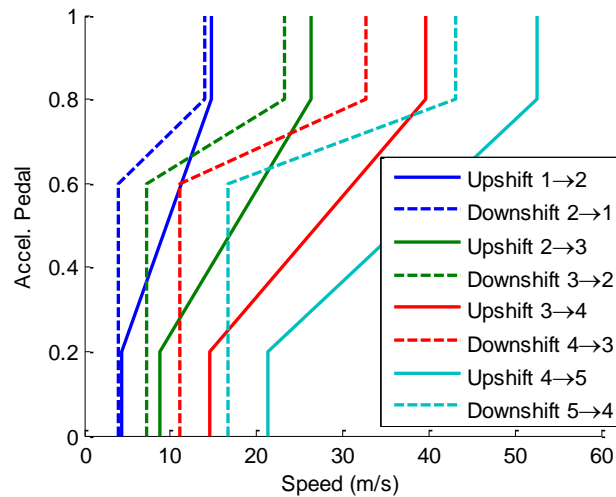
As shown previously, the transmission ratios were selected to represent typical values for high-volume vehicles currently on the market.

Power-split HEV and PHEV 20 AER transmissions have a planetary gear set with 78 ring teeth and 30 sun teeth, similar to the Toyota Prius. The PHEV 40 AER has a planetary gear set with 83 ring teeth and 37 sun

teeth, similar to the GM Voltec. Fuel cell vehicles use a two-speed manual transmission to increase the powertrain efficiency as well as allow them to achieve a maximum vehicle speed of at least 100 mph. BEVs are fixed gear.

The transmission shifting logic has a significant impact on vehicle fuel economy and should be carefully designed to maximize the powertrain efficiency while maintaining acceptable drive quality. The logic used in the simulated conventional light-duty vehicle models relies on two components: (1) the shifting controller, which provides the logic to select the appropriate gear during the simulation; and (2) the shifting initializer, the algorithm that defines the shifting maps (i.e., values of the parameters of the shifting controller) specific to a selected set of component assumptions.

Figure 22 shows an example of a complete set of shifting curves for a light-duty vehicle. Two curves of the same color (i.e., upshifting and downshifting curves) never intersect, thus ensuring that there are no shift oscillations, which is important for drivability.



**Figure 22 – Shifting Speed Curves for Light-Duty Vehicle in Autonomie**

The shifting control algorithm used for the simulation is explained in the following section.

### 6.3. Control Algorithm

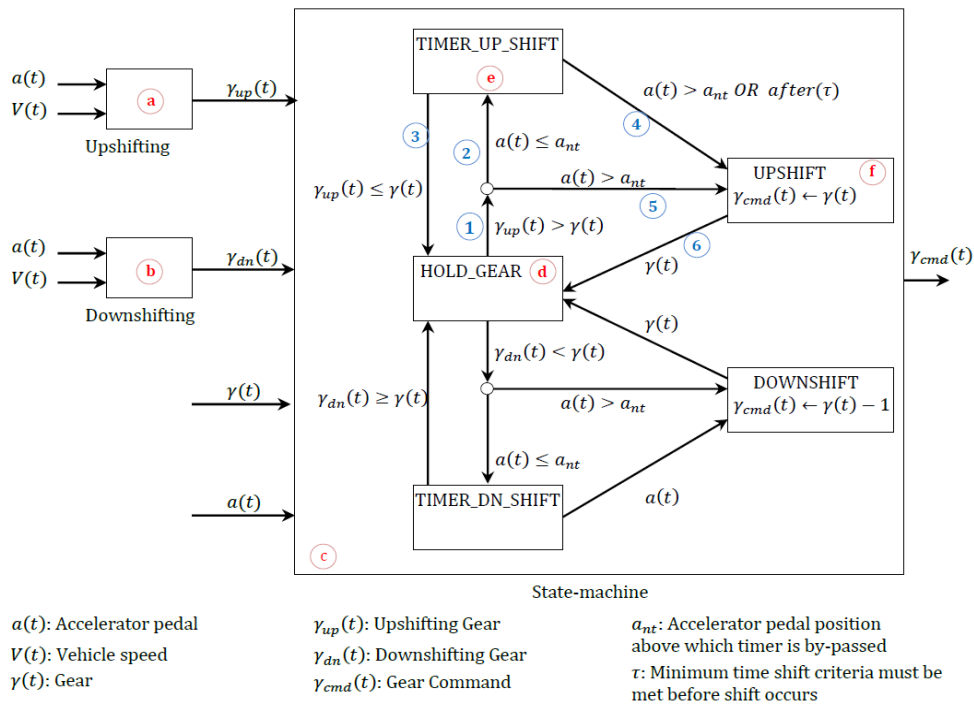
#### 6.3.1. Shifting Control Algorithms

The transmission shifting logic has a significant impact on vehicle fuel economy and should be carefully designed to maximize the powertrain efficiency while maintaining acceptable drive quality. The logic used in the simulated conventional light-duty vehicle models relies on two components:

- The shifting controller, which provides the logic to select the appropriate gear during the simulation; and
- The shifting initializer, the algorithm that defines the shifting maps (i.e., values of the parameters of the shifting controller) specific to a selected set of component assumptions.

### 6.3.1.1. Shifting Controller

The shifting controller determines the appropriate gear command at each simulation step. A simplified schematic of the controller is shown in Figure 23. The letters and numbers in the discussion that follows correspond to those shown in the figure.



**Figure 23 – Shifting Controller Schematic**

The controller is based on two main shifting maps — one for upshifting (a), moving from a lower gear to a higher gear, and another one for downshifting (b), moving from a higher gear to a lower gear — as well as a state-machine (c) that defines the status of the system (e.g., no shifting, upshifting). Each shifting map

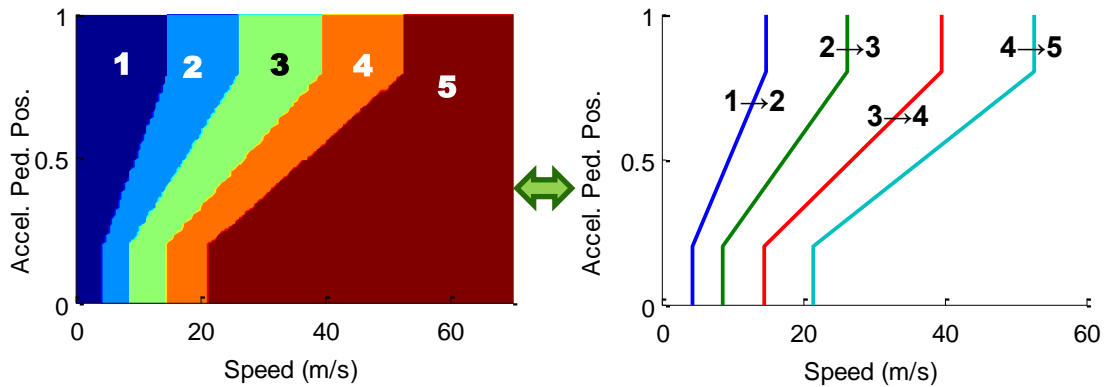
outputs a next-gear command  $\gamma_{dn}(t)$  and  $\gamma_{up}(t)$  based on the current accelerator pedal position  $a(t)$  and vehicle speed  $V(t)$ . The state machine is composed of different states, of which only one is active at any time step; a change in state occurs whenever a transition condition from the active state becomes true (i.e., an upshift will occur only if a set of conditions is true). The state that is active most of the time is the hold-gear state (d), which makes sense because, most of the time, the vehicle should be in gear and not shifting for drivability reasons. An upshift occurs when the upshifting gear  $\gamma_{up}(t)$  is strictly higher than the current gear  $\gamma(t)$  (1) (e.g.,  $\gamma_{up}(t) = 5$  and  $\gamma(t) = 4$ ). For all vehicles, the shift does not necessarily happen instantly when the command to shift is given, depending on the current pedal position. In aggressive driving, i.e., at high accelerator-pedal positions (5), the shift happens as soon as the gear transition (1) becomes true, ensuring optimal performance. In contrast, in “normal” driving, i.e., at low pedal positions (2), there is an intermediate state (e) that allows the shift only when the gear condition (1) is true for a minimum time  $\tau$ . This constraint is imposed to avoid an excessive number of shifting events, which would lead to unacceptable drive quality and increased fuel consumption. The upshifting itself is executed in state (f), in which the shift command  $\gamma_{cmd}(t)$  is incremented (i.e., the next upper gear is selected); once the shifting is completed (6), the state machine comes back to the hold-gear state (d). Downshifting occurs in a similar way.

Currently, in Autonomie, a shifting event can only result in moving one gear up or one gear down: there is no gear-skipping. Gear skipping is usually used under very specific conditions that are not encountered during the standard FTP and HFET drive cycles considered in the study. As an additional level of robustness in the Autonomie control algorithm, an upshift or downshift cannot occur if the resulting engine speed would be too low or too high, respectively. This approach ensures that the engine is not operated below idle or above its maximum rotational speed.

#### 6.3.1.2. *Shifting Initializer*

##### **Shifting Maps**

The shifting controller uses shifting maps to compute the gear command. In the controller, the shift map is a two-dimensional (2-D) look-up table indexed by vehicle speed and accelerator-pedal position. Defining such a map is equivalent to defining the “boundaries” of each gear area; those boundaries are the shifting speeds. Figure 24 illustrates that equivalence.

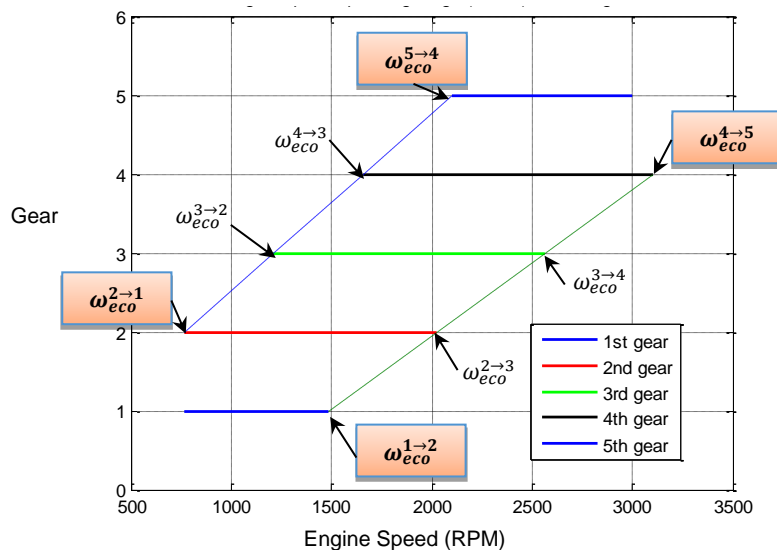


**Figure 24 – Upshifting Gear Map (left), Upshifting Vehicle Speeds (right)**

For each shifting curve, there are two key points: the “economical” shifting speed (at very low pedal position) and the “performance” shifting speed (at high pedal position). The objective of the control engineer is to combine both goals of the shifting control to fulfill the driver expectations: minimization of fuel consumption on the one hand and maximization of vehicle performance on the other.

### Economical Shifting Speeds

The economical shifting speed for an upshift or a downshift is the speed at which the upshift/downshift occurs when the accelerator pedal position is very lightly pressed.  $V_{eco}^{k \rightarrow k+1}$  is the economical vehicle speed for upshifting from gear  $k$  to gear  $k+1$ .  $V_{eco}^{k+1 \rightarrow k}$  is the downshifting speed for this same set of gears. The vehicle speed shift points are computed from the engine shift points  $\omega_{eco}^{k \rightarrow k+1}$  and  $\omega_{eco}^{k+1 \rightarrow k}$ . Figure 25 shows the engine speed shift points for an engine associated with a 5-speed transmission.



**Figure 25 – Example of Engine Speed Range in Economical Driving, and Economical Shift**

The initializing algorithm for the shifting controller computes the up- and downshifting speeds at zero pedal position based on the four “extreme” shift points: upshifting from lowest gear ( $\omega_{eco}^{1 \rightarrow 2}$ ), upshifting into highest gear ( $\omega_{eco}^{N-1 \rightarrow N}$ ), downshifting into lowest gear ( $\omega_{eco}^{2 \rightarrow 1}$ ), and downshifting from highest gear ( $\omega_{eco}^{N \rightarrow N-1}$ ).  $N$  is the number of gears. The speeds can be set by the user or left at their default values. Below is a description of their default values in Autonomie:

- $\omega_{eco}^{2 \rightarrow 1} = \omega_{idle} + \omega_{margin}$  [ $\omega_{idle}$ : engine idle speed;  $\omega_{margin}$ : speed margin,  $\approx 50$ – $100$  rpm]
- $\omega_{eco}^{1 \rightarrow 2} = \omega_{idle} \frac{k_1}{k_2} (1 + \epsilon_{ud})$  [ $k_1, k_2$ : gear ratios for gears 1,2;  $\epsilon_{ud}$ : margin to avoid overlap,  $\approx 0.05$ – $0.1$ ]
- $\omega_{eco}^{N-1 \rightarrow N}$ : Engine speed at which best efficiency can be achieved
- $\omega_{eco}^{N \rightarrow N-1} = \omega_{eco}^{N-1 \rightarrow N} - \omega_{\Delta}$  [ $\omega_{\Delta} \approx 1,000$  rpm]

Once those four speeds are computed, the remaining ones are computed by linear interpolation to allow consistent shifting patterns that are acceptable to the drivers. For example, any upshifting speed is given by Equation 1:

$$\omega_{eco}^{i \rightarrow i+1} = \frac{\omega_{eco}^{N-1 \rightarrow N} - \omega_{eco}^{1 \rightarrow 2}}{N - 2} \cdot (i - 1) + \omega_{eco}^{1 \rightarrow 2}, \quad 1 \leq i \leq N - 1$$

In a shifting map, the vehicle upshifting speed from gear  $i$  to  $i+1$  shall be strictly higher than the downshifting speed from gear  $i+1$  to  $i$ . Otherwise, the downshifting speed will always request gear  $i$  while gear  $i+1$  is engaged and vice-versa, resulting in oscillations between gears that would be unacceptable to the driver. For this study, the algorithm in the initialization file prevents that by making sure the following relation is true:

$$\omega_{eco}^{i \rightarrow i+1} > \omega_{eco}^{i+1 \rightarrow i} \cdot \frac{k_1}{k_2} (1 + \epsilon_{ud}), \quad 1 \leq i \leq N - 1$$

The values of the engine economical shifting speeds at lowest and highest gears are automatically defined on the basis of the engine and transmission characteristics.

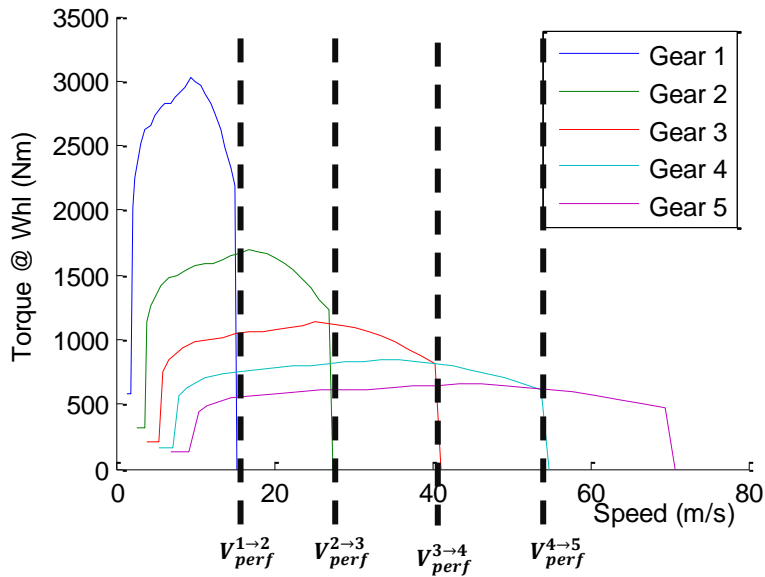
Finally, the vehicle economical up- and downshifting speeds can be computed using the engine up- and downshifting speeds, the gear ratio, the final drive ratio and the wheel radius:

$$V_{eco}^{i \rightarrow i+1} = \frac{\omega_{eco}^{i \rightarrow i+1}}{k_i k_{FD}} \cdot R_{wh},$$

where  $k_{FD}$  is the final drive ratio and  $R_{wh}$  is the wheel radius.

### Performance Shifting

During performance, the gears are automatically selected to maximize the torque at the wheel. Figure 26 illustrates that gear selection, which consists of finding the point where the engine peak torque (reported at the wheels) curve at gear  $k$  falls under the one at gear  $k+1$ .



**Figure 26 – Maximum Engine Torque at Wheels and Performance Upshift Speeds**

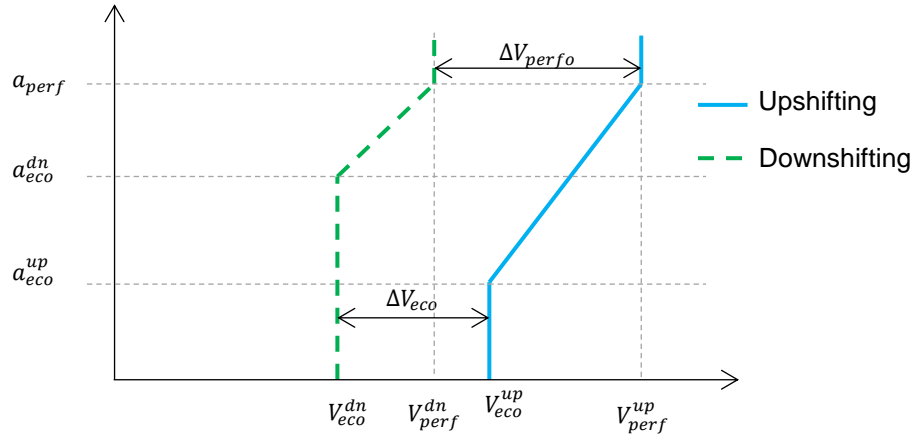
The performance downshifting speed is given by the performance upshifting speed and the difference between the economical shifting speeds:

$$\Delta V_{perf}^i = \alpha_{pf,ec} \cdot \Delta V_{eco}^i \Leftrightarrow V_{perf}^{i \rightarrow i+1} - V_{perf}^{i+1 \rightarrow i} = \alpha_{pf,ec} \cdot (V_{perf}^{i \rightarrow i+1} - V_{perf}^{i+1 \rightarrow i})$$

### Final Shifting Curves

The definition of the final shifting curves is critical to properly evaluating the benefits of transmission technologies while maintaining acceptable performance. Figure 27 shows how a set of upshifting and downshifting curves for two adjacent gears is built, based on selected vehicle speeds and accelerator pedal positions. At low pedal positions (i.e., below  $a_{eco}^{up}$ ), the upshifting speed is the economical upshifting speed. Similarly, below  $a_{eco}^{dn}$ , the downshifting speed is the economical downshifting speed. This approach ensures optimal engine operating conditions under gentle driving conditions. At high pedal positions (i.e.,

above  $a_{perf}$ ), the shifting speed is the performance shifting speed, ensuring maximum torque at the wheels under aggressive driving conditions.

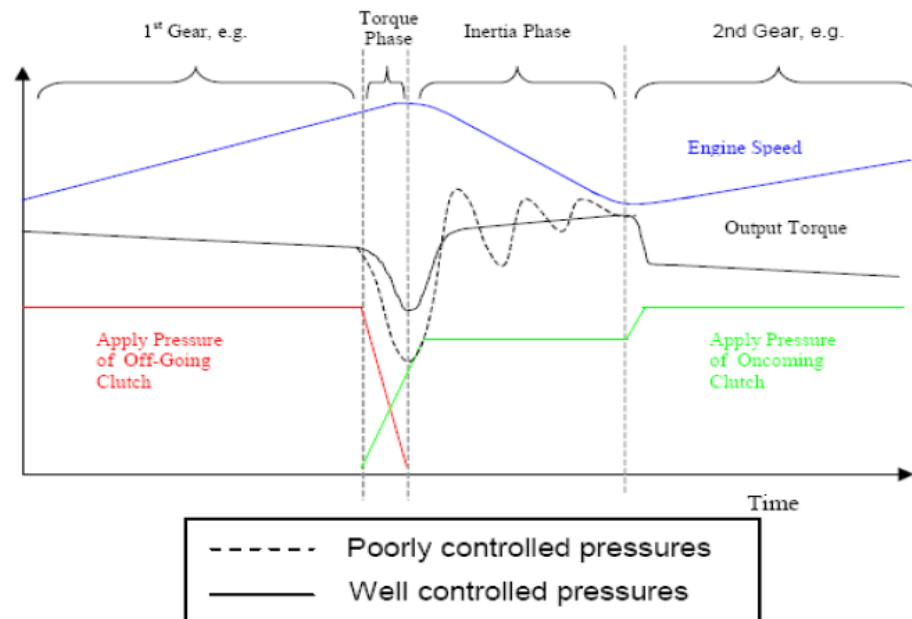


**Figure 27 – Design of Upshifting and Downshifting Speed Curves for Two Adjacent Gears**

#### 6.3.1.3. Torque Control during Shifting Events

Figure 28 shows the transmission clutch pressure, output torque, and engine speed curves during a change from 1st to 2nd gear. The output torque experienced both a trough period (lower than the torque in the original gear) and a crest period (higher than the torque in the original gear). The trough period is called a torque hole, while the crest period is called a torque overshoot. The torque hole is defined by depth and width, where the depth is the difference between minimum torque and the torque in previous gear, and the width is the half value of the maximum width of the torque hole.





**Figure 28 – Generic Shift Process for Automatic Transmission**

The bigger the torque hole, the larger the decrease of torque in torque phase, which results in a more significant reduction in acceleration. Because the decrease in acceleration causes discomfort for both the driver and passengers, the torque hole should be as shallow and narrow as possible. Torque reduction behavior is a well-known phenomenon, observed during vehicle testing and referenced in several papers and presentations.

Autonomie integrates a low-level control algorithm that reproduces the torque hole phenomenon. Figure 29 illustrates, in detail, the behavior of the vehicle model for a short period of time [205 sec to 205.8 sec]. The area highlighted by the grey circle indicated the torque hole during a shifting event.

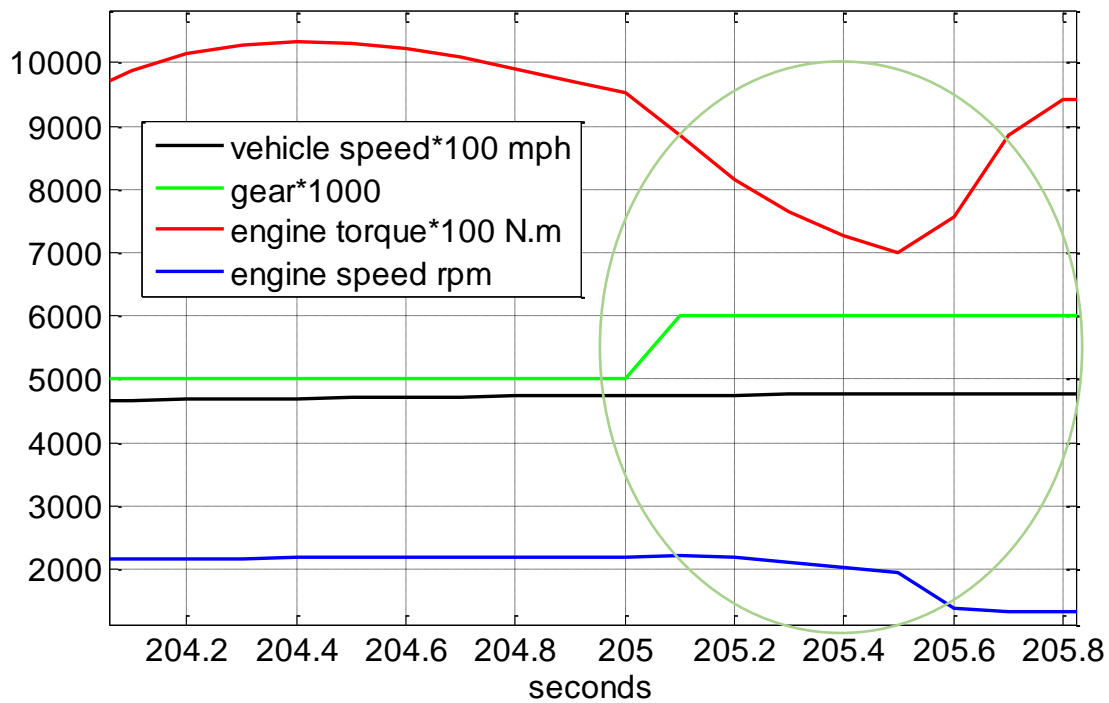


Figure 29 – Torque Hole in Autonomie during Shifting Event

#### 6.3.1.4. Shifting Maps

All shifting maps used for the simulations are presented below. The shifting maps have been developed to ensure minimum fuel consumption across all transmissions while maintaining an acceptable drivability. While plant models with higher degree of fidelity would be necessary to accurately model the impact of each technology on the drivability, using such models was not appropriate for the current study. As a result, the work related to the drive quality was focused on number of shifting events, time in between shifting events, engine time response and engine torque reserve.

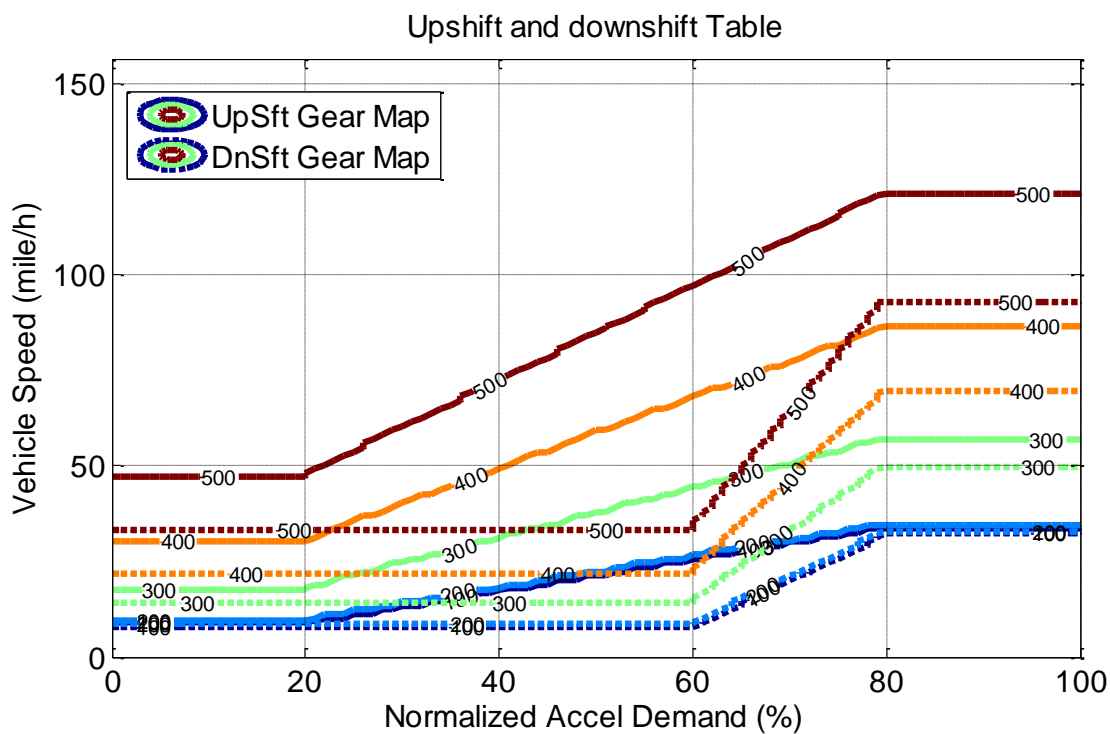


Figure 30 – 5-speed automatic up (plain lines) and down (dotted lines) shifting map

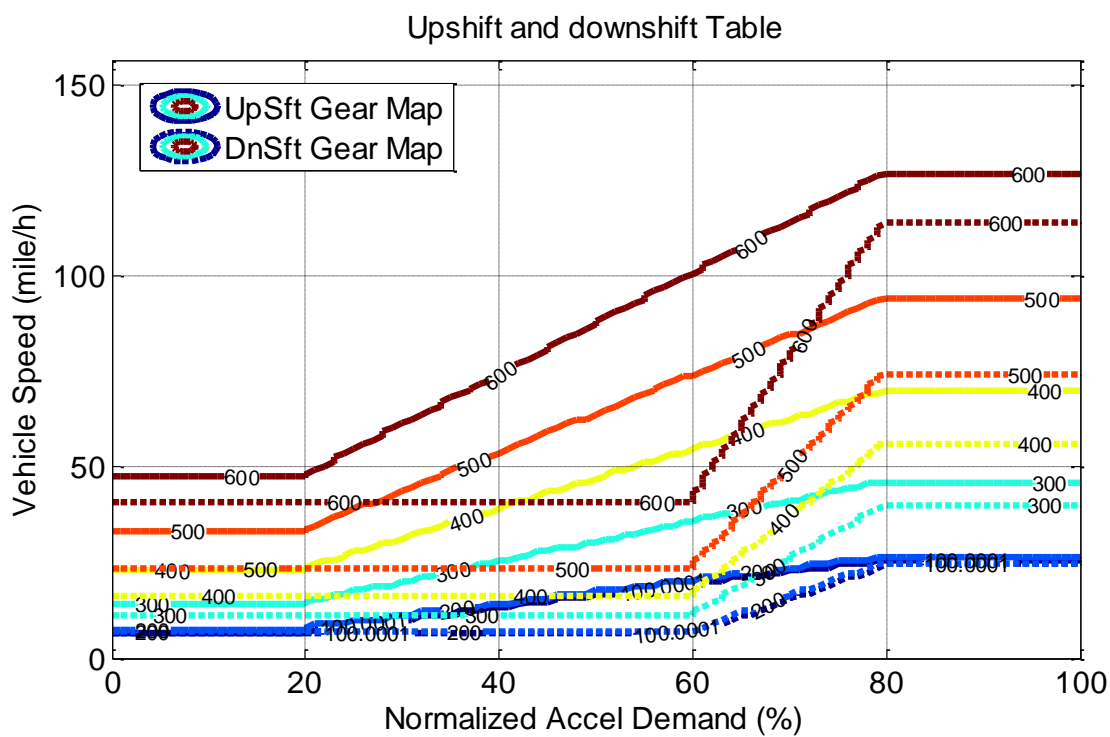
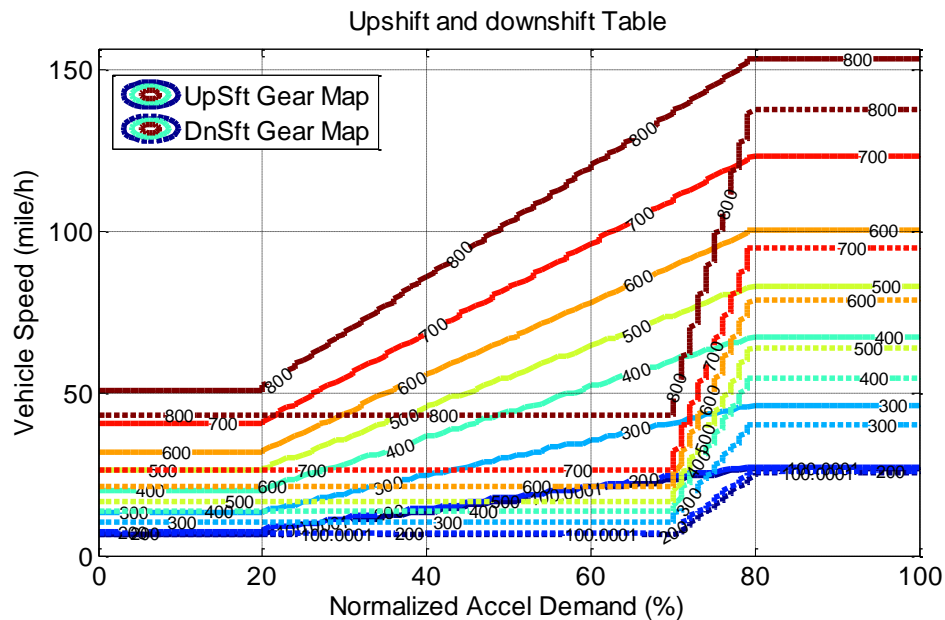


Figure 31 – 6-speed automatic up (plain lines) and down (dotted lines) shifting map



**Figure 32 – 8-speed automatic up (plain lines) and down (dotted lines) shifting map**

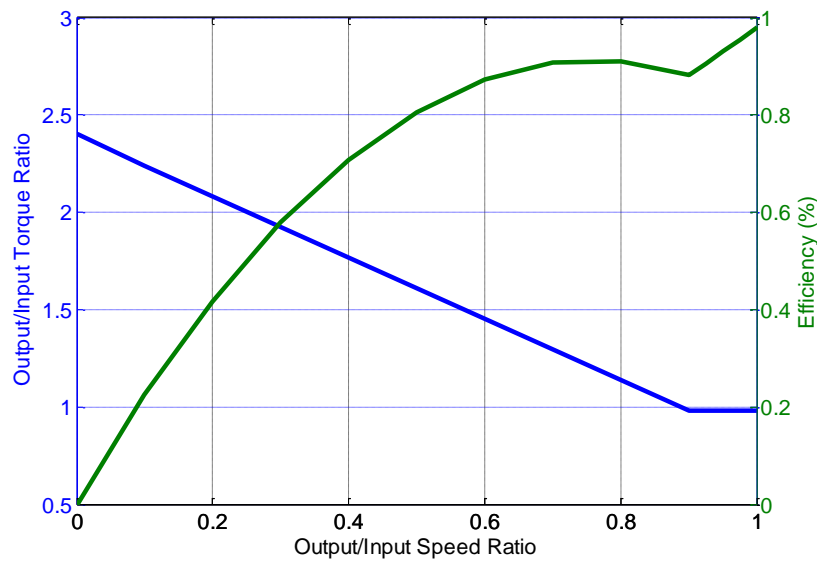
### 6.3.2. Torque Converter

A torque converter is a hydrodynamic fluid coupling used to transfer rotating power from a prime mover, such as an internal combustion engine, to a rotating driven load. It is composed of an impeller (drive element); a turbine (driven component); and a stator, which assist the torque converter function. The torque converter is filled with oil and transmits the engine torque by means of the flowing force of the oil. The device compensates for speed differences between the engine and the other drivetrain components and is therefore ideally suited for start-up function.

The torque converter is modeled as two separate rigid bodies when the coupling is unlocked and as one rigid body when the coupling is locked. The downstream portion of the torque converter unit is treated as being rigidly connected to the drivetrain. Therefore, there is only one degree of dynamic freedom, and the model has only one integrator. This integrator is reset when the coupling is locked, which corresponds to the loss of the degree of dynamic freedom. Figure 33 shows the efficiency of the torque converter used for the study.

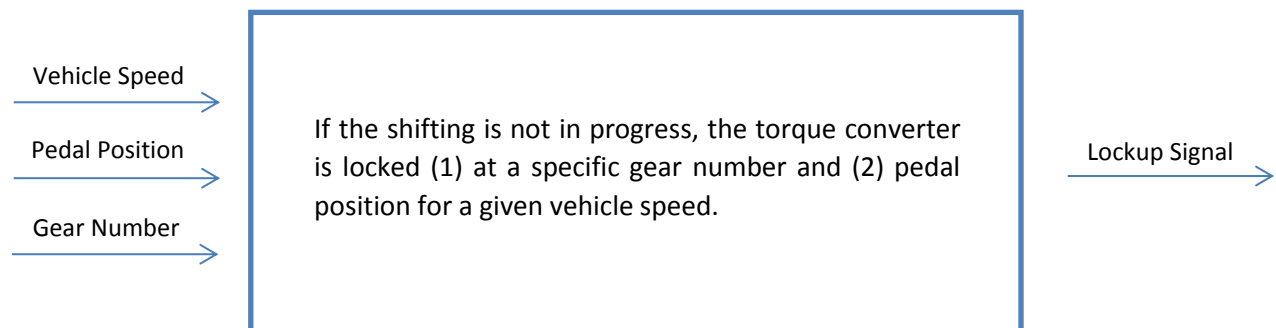
The effective inertias are propagated downstream until the point where actual integration takes place. When the coupling is unlocked, the engine inertia is propagated up to the coupling input, where it is used for calculating the rate of change of the input speed of the coupling. When the coupling is locked, the engine inertia is propagated all the way to the wheels.

The torque converter model is based on a lookup table, which determines the output torque depending on the lockup command. The upstream acceleration during slip and the downstream acceleration are taken into account in calculating the output speed.



**Figure 33 – Torque Converter efficiency**

Figure 34 describes the conditions under which the torque converter will be locked. The same algorithm is used to represent current torque converter lockup logic, as well as future aggressive lockup logic. In today's vehicles, the torque converter locks at vehicle speeds between 30 and 40 mph under most driving conditions. In the future, it is expected that it can be locked as soon as the second gear is engaged. Different sets of parameters were developed in the algorithm to represent both current and future lock-up conditions.



**Figure 34 – Torque Converter Lockup Control Algorithm**

## 6.4. Electric Machine

Electric machine performance data were provided by Oak Ridge National Laboratory and represent a synchronous permanent-magnet technology. Figure 35 is the electric machine efficiency map used for the micro-HEV, BISG, and CISG; and Figure 36 is the map for the HEV and PHEVs. The maps were developed assuming normal temperature operating conditions. Electric machine inverter losses are included in the maps.

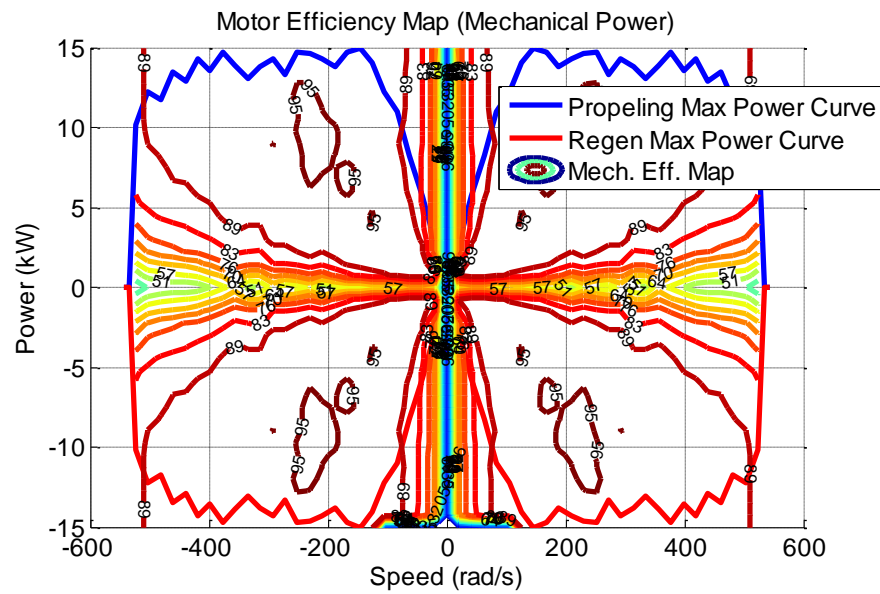
Figures 10 and 11 represent peak torque curves. A constant ratio was assumed between the continuous and peak torque curves, as follows:

- 2 for the micro-HEV, BISG, and CISG
- 2 for the motor 1 and 1.5 for the motor 2 of the power-split HEV and blended PHEV
- 1 for EREV, BEVs, and fuel cell HEV

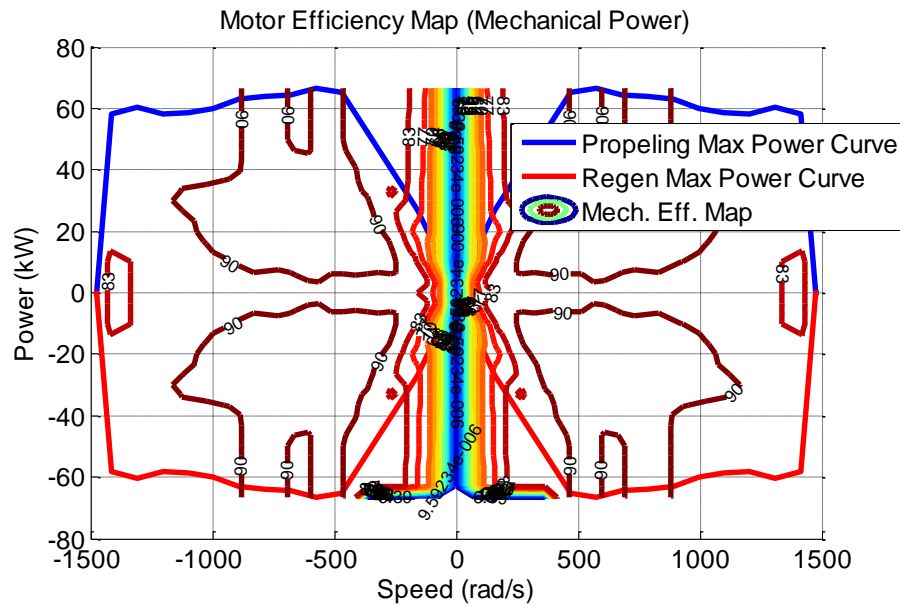
The electric machine specific weight is 1,080 W/kg and its controller 12,000 W/kg. The peak efficiency is set to 90%.

The main focus of BISG hybrid vehicles is to capture regenerative braking energy as well as provide minimal assist to the engine during high-transient operating modes. Because the electric machine is linked to the engine through a belt, its power is usually limited. A value of 7 kW is assigned to the BISG for this project.

CISG hybrid vehicles focus on the same areas of improvement as BISG vehicles. However, owing to its position, the electric machine can be larger; consequently, more benefits can be obtained from regenerative braking and assist in a CISG vehicle than in a BISG vehicle. An electric machine size of 15 kW was selected for the midsize car.



**Figure 35 – Electric Machine Map for Micro- and Mild HEV**



**Figure 36 – Electric Machine Map for Full HEV**

## 6.5. Fuel Cell System

The fuel cell system is modeled to represent the hydrogen consumption as a function of the produced power. The system's peak efficiency is 59%, including the balance of plant, and represents normal

temperature operating conditions. The data set cannot be provided here because it is proprietary. The system's specific power is 659 W/kg.

The hydrogen storage technology selected is a high-pressure tank with a specific weight of 0.04 kg H<sub>2</sub>/kg, sized to provide a 320-mile range on the FTP drive cycle.

## 6.6. Energy Storage System

The battery used for the BISG and CISG HEVs and the PHEVs is lithium-ion. Table 5 provides a summary of the battery characteristics and technologies used by each powertrain.

**Table 5 – Reference Battery Characteristics**

Powertrain Types	Technology	Reference Cell Capacity (Ah)
Micro-HEV	Lead acid	66
BISG	Li-ion	6
CISG	Li-ion	6
HEV	Li-ion	6
PHEVs	Li-ion	41

The battery capacity selected for each option to allow a global pack voltage between 200 V (full HEV case) and 350 V (BEV case). The energy storage cell weights for the PHEVs are based on 92 Wh/kg for PHEVs 30 and 50 AER; and 90 Wh/kg for the BEVs based on battery total energy. The energy storage cell weights for micro-HEV, BISG, CISG, and full HEVs are based on 2000 W/kg.

Different useable state-of-charge (SOC) ranges have also been selected depending on the powertrain configuration:

- 10% SOC range for micro, mild, and full HEVs.
- 60% SOC range for PHEVs and 95% for BEVs.

Over time, batteries lose some of their power and energy capacity. To be able to maintain the same performance at the end of life (EOL) compared with the beginning of life (BOL), an oversize factor is applied while sizing the batteries for power (HEVs) and energy (PHEV). These factors are supposed to represent the percentage of power and energy that will not be provided by the battery at the EOL compared with the initial power and energy given by the manufacturer. The performance data used to model the other components are based on normal temperature operating conditions. The vehicles are



sized with a 20% power oversize factor for all hybrid vehicles and energy oversize factors of 30% for PHEVs. BEVs 200 AER are not oversized.

Vehicle test data have shown that, for the drive cycles and test conditions considered, battery cooling does not draw a significant amount of energy, if any at all, for most of the vehicle powertrain architectures. The exception is high energy PHEVs and BEVs, for which an additional constant power draw is used to account for battery cooling.

The energy storage system block models the battery pack as a charge reservoir and an equivalent circuit. The equivalent circuit accounts for the circuit parameters of the battery pack as if it were a perfect open-circuit voltage source in series with an internal resistance and 2 RC circuits which represent the polarization time constants. The amount of charge that the energy storage system can hold is taken as constant, and the battery is subject to a minimum voltage limit. The amount of charge required to replenish the battery after discharge is affected by coulombic efficiency. A simple single-node thermal model of the battery is implemented with parallel-flow air cooling.

The voltage is calculated at  $t=0$  as  $V_{out} = V_{oc} - R_{int} * I$ , with  $V_{oc}$  = open-circuit voltage,  $R_{int}$  = internal resistance (two separate sets of values for charge and discharge), and  $I$  = internal battery current (accounts for coulombic efficiencies).

## 6.7. Accessory Loads

Electrical and mechanical accessory base loads are assumed constant over the drive cycles, with a value of 220 W. Derived from data from Argonne's Advanced Powertrain Research Facility, this value is used to represent the average accessory load consumed during the standard urban FTP and EPA's Highway Fuel Economy Test (HFET) drive-cycle testing on a dynamometer. Only the base load accessories are assumed during the simulations, similarly to the dynamometer test procedure.

## 6.8. Driver

The driver model is based on a look-ahead controller. No anticipation is imposed (0 sec anticipated time) during sizing for acceleration testing, in order to provide realistic vehicle performances.

## 6.9. Vehicle-Level Control Algorithms

All the vehicle-level control algorithms used in the study have been developed on the basis of vehicle test data collected at Argonne's Advanced Powertrain Research Facility. It is important to note that while the logic for the vehicle-level control algorithms were developed on the basis of test data, only the logic has been used for the present study, since the calibration parameters have been adapted for each vehicle to ensure fuel consumption minimization with acceptable drive quality (i.e., acceptable number of engine on/off conditions).

#### 6.9.1. Micro- and Mild HEV

The vehicle-level control strategies of the micro- and mild (i.e., BISG and CISG) micro-HEVs is similar in many aspects due to the low peak power and energy available from the energy storage system.

For the micro HEV case, the engine is turned off as soon as the vehicle is fully stopped and restarted as soon as the brake pedal is released. No regenerative braking is considered for that powertrain.

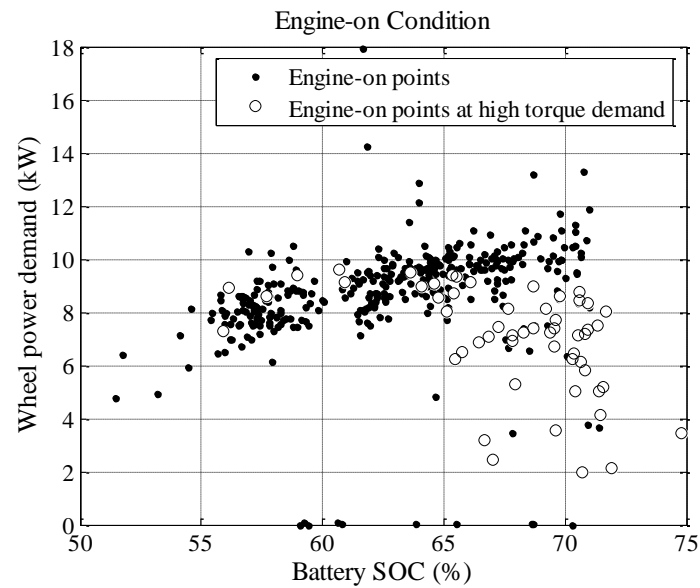
For the mild HEV cases, the engine is turned off as soon as the vehicle is fully stopped. However, since some regenerative braking energy is recovered, the vehicle is propelled by the electric machine during vehicle launch, allowing the engine to be restarted later.

#### 6.9.2. Single-Mode Power-Split HEV

The vehicle-level control strategy of a single-mode power split HEV was based on the Toyota Prius analysis. The control implemented can be divided into three areas: engine-on condition, battery SOC control, and engine operating condition. Each algorithm is described below.

##### Engine-On Condition

The operation of the engine determines the mode, such as pure electric vehicle (PEV) mode or HEV mode. The engine is simply turned on when the driver's power demand exceeds a predefined threshold. As shown in Figure 37, the engine is turned on early if the SOC is low, which means that the system is changed from PEV mode to HEV mode to manage the battery SOC.

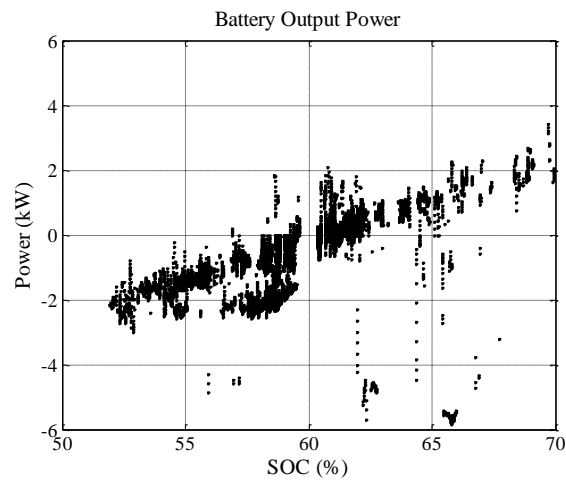


**Figure 37 – Engine-On Condition – 2010 Prius Example Based on 25 Test Cycles**

The engine is turned off when the vehicle decelerates and is below a certain vehicle speed.

#### SOC Control

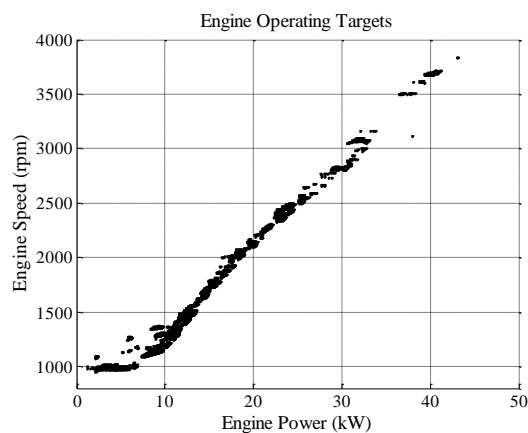
The desired output power of the battery is highly related to the energy management strategy. When the vehicle is in HEV mode, the battery power is determined by the current SOC, as shown in Figure 38. The overall trend shows that the energy management strategy tries to bring the SOC back to a regular value of 60%. Both the engine on/off control and the battery power control are robust approaches to manage the SOC in the appropriate range for an input-split hybrid. If the SOC is low, the engine is turned on early, and the power split ratio is determined to restore the SOC to 60% so that the SOC can be safely managed without charge depletion. In summary, the battery SOC is controlled by raising (low SOC) or lowering (high SOC) the engine power demand required to meet the vehicle speed trace.



**Figure 38 – SOC Regulation Algorithm – 2010 Prius Example Based on 25 Test Cycles**

### Engine Operation

The two previously described control concepts determine the power split ratio. The concepts do not, however, generate the target speed or torque of the engine because the power split system could have infinite control targets that produce the same power. Therefore, an additional algorithm is needed to determine the engine speed operating points according to the engine power, as shown in Figure 39. An engine operating line is defined on the basis of the best efficiency curve to select the optimum engine speed for a specific engine power demand.



**Figure 39 – Example of Engine Operating Target – 2010 Prius Example Based on 25 Test Cycles**

In summary, the engine is turned on based on the power demand at the wheel along with the battery SOC. If the engine is turned on, the desired output power of the battery is determined on the basis of the current SOC and the engine should provide appropriate power to drive the vehicle. The engine operating targets are determined by a predefined line, so the controller can produce required torque values for the motor and the generator on the basis of the engine speed and torque target.

### 6.9.3. Voltec PHEV

The Voltec system has four different operating modes.

During EV operation:

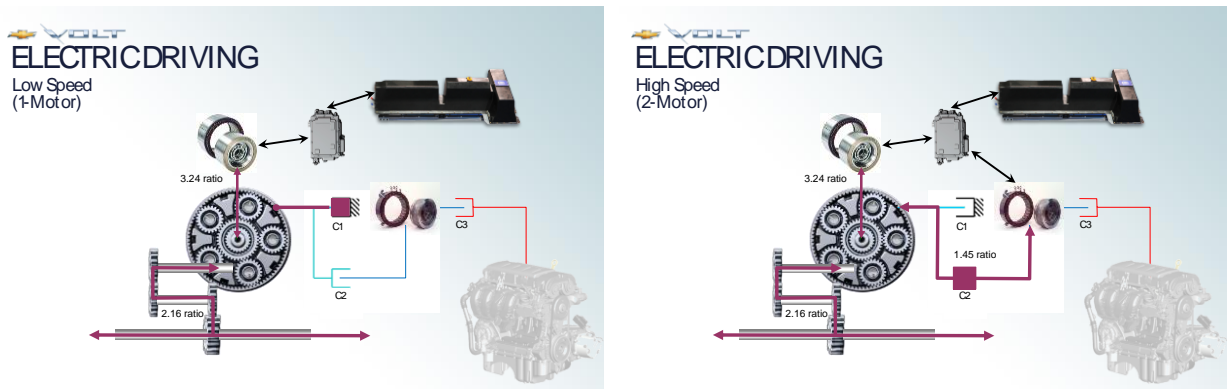
1. One-motor EV: The single-speed EV drive power-flow, which provides more tractive effort at lower driving speeds
2. Two-motor EV: The output power split EV drive power flow, which has greater efficiency than one-motor EV at higher speeds and lower loads

During extended-range (ER) operation:

3. One-motor ER (series): The series ER power flow, which provides more tractive effort at lower driving speeds
4. Combined two-motor ER (split): The output power split ER power-flow, which has greater efficiency than series at higher speeds and lighter loads

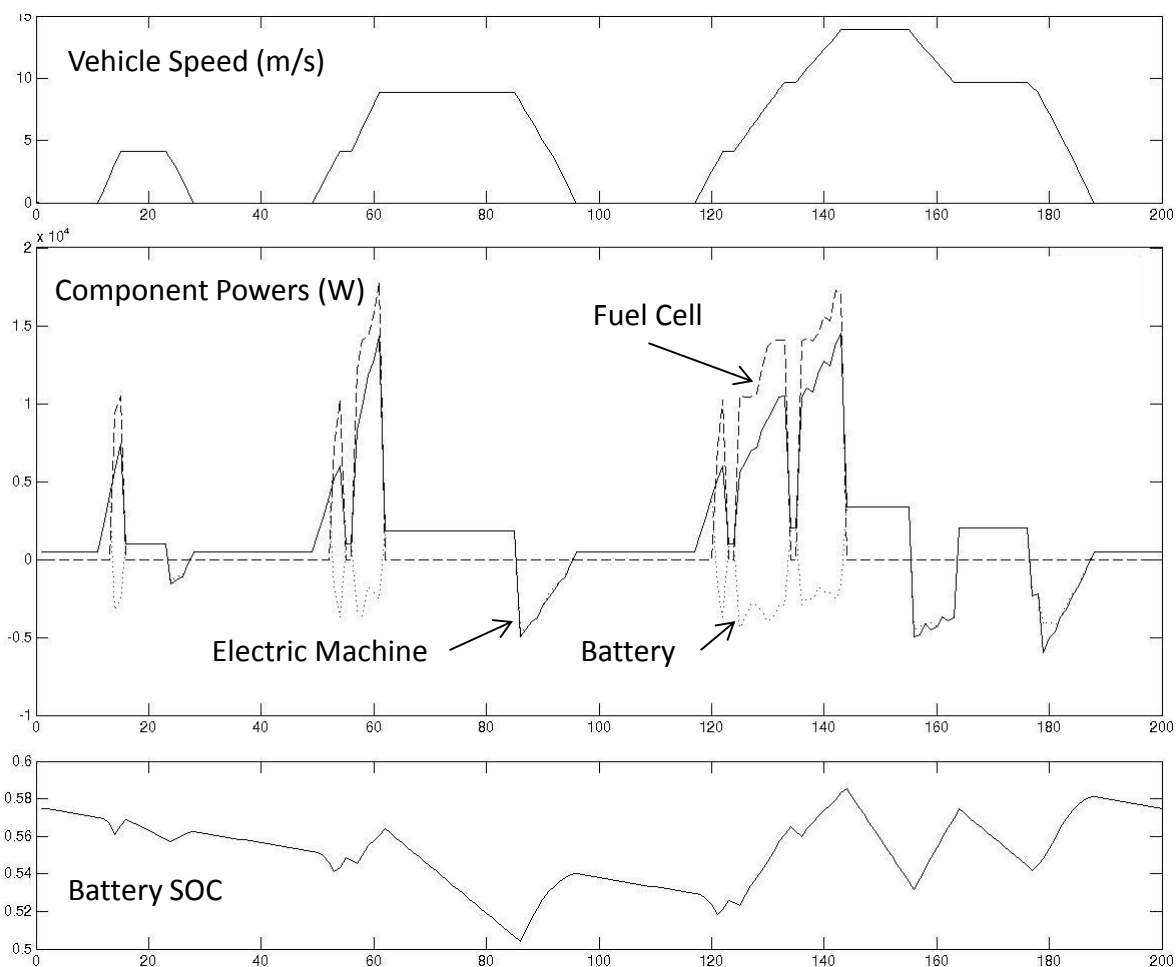
A vehicle-level control strategy was developed on the basis of vehicle test data to properly select each of the operating modes. The logic developed for the power split mode is similar to the one for the input split configuration discussed previously.

For the two-level EV mode, an algorithm has been developed to minimize the losses of both electric machines at every sample time on the basis of each component's efficiency map. For the series mode, the combination of the engine and electric machine losses is also minimized at every sample time. It is important to note that the engine is not operated at its best efficiency point, but rather along its best efficiency line for drive quality and efficiency reasons.



#### 6.9.4. Fuel Cell HEV

Unlike the other vehicle-level controls previously discussed, the algorithm for the fuel cell HEVs is not derived from test data, due to the lack of test vehicles. Instead, dynamic programming is used to define the optimum vehicle-level control algorithms for a fuel cell vehicle. A rule-based control is then implemented to represent the rules issued from the dynamic programming. Overall, owing to the high efficiency of the fuel cell system, energy storage only recuperates energy during deceleration and propels the vehicle under low-load operations — the fuel cell system does not recharge the battery. Unlike electric drive powertrains with an engine, the battery does not smooth the transient demands. An example of fuel cell hybrid operations is shown in Figure 40.



**Figure 40 – Component Operating Conditions of a Fuel Cell Vehicle on the Urban European Drive Cycle using Dynamic Programming**

## 6.10. Test Procedure and Consumption Calculations

All the simulations were performed under hot conditions. The cold-start penalties were assessed after the simulations, on the basis of test data collected at Argonne's APRF and a literature search. A two-cycle test procedure, based on the UDDS and HWFET drive cycles, was used.

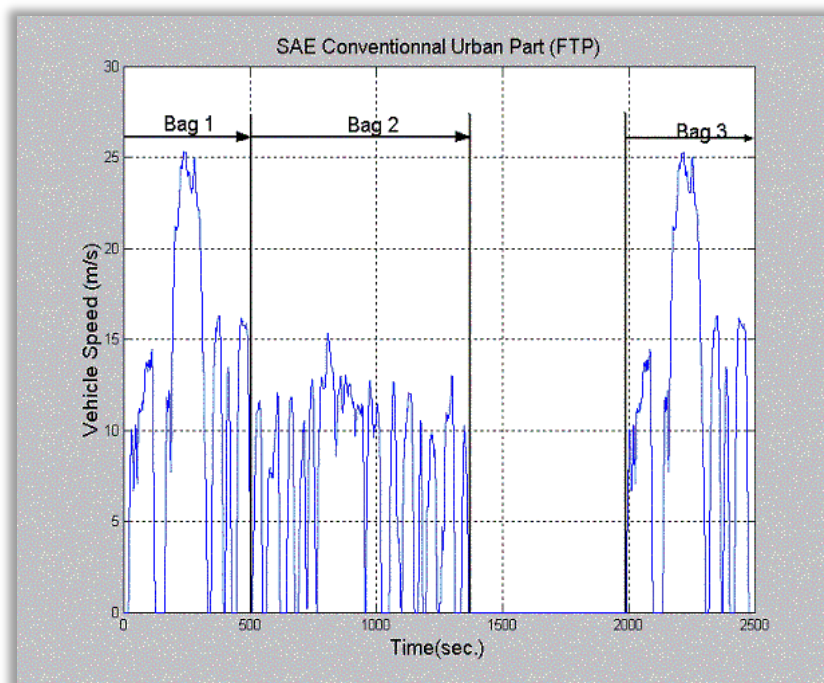
### 6.10.1. Conventional Vehicles

The conventional vehicle test procedure follows the current EPA two-cycle test procedure (EPA n.d.).

The urban cycle for a non-hybrid vehicle (Figure 68) is composed of four parts:

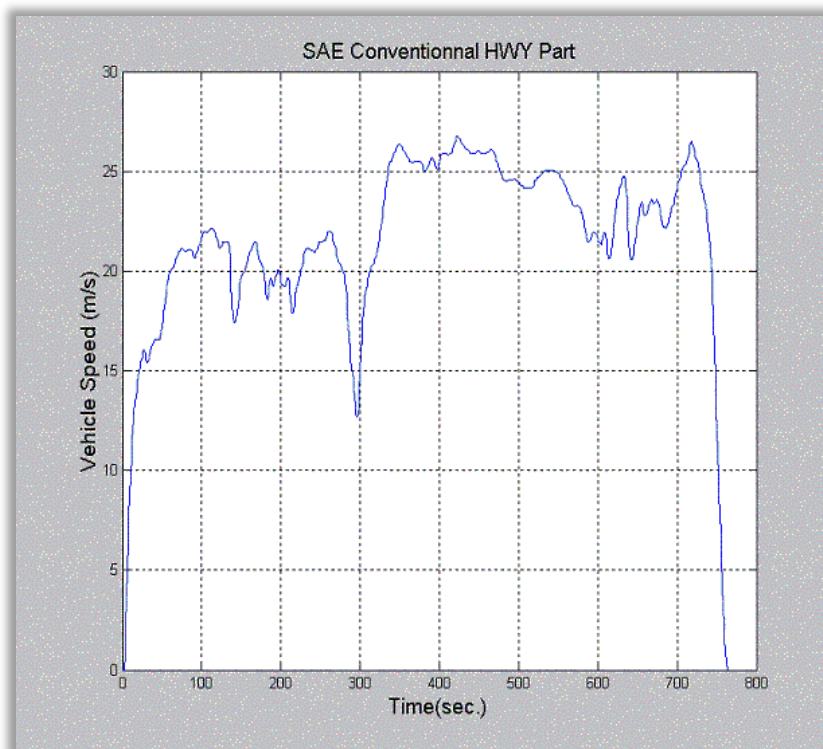
1. Bag 1: cold start
2. Bag 2: stop and go
3. Idling
4. Bag 3: hot start

The highway cycle for a non-hybrid vehicle is composed of only one part, the HWFET (Figure 69).



**Figure 41 - The urban cycle for a non-hybrid vehicle**

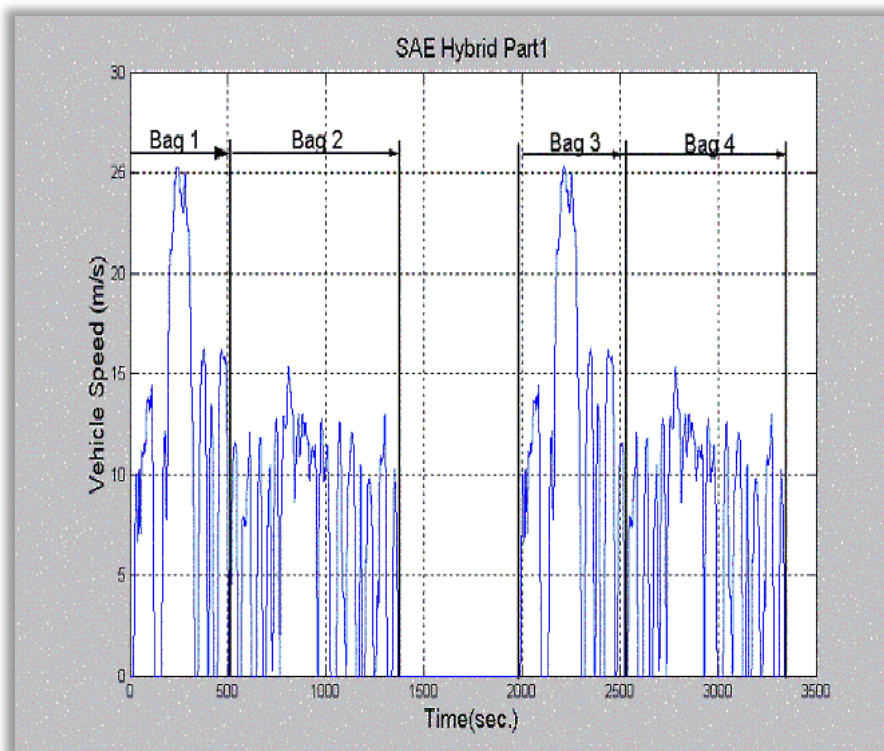




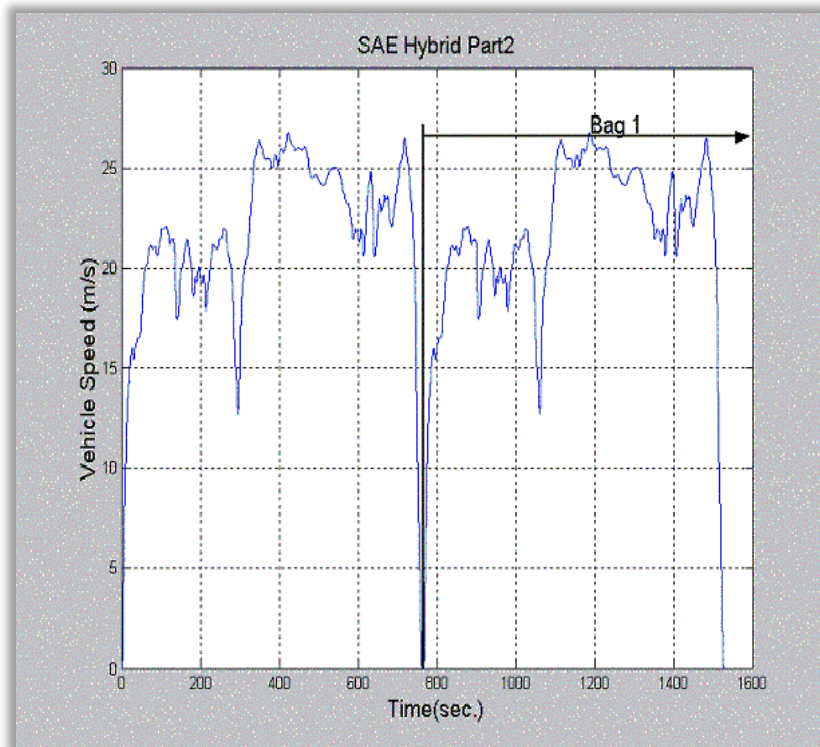
**Figure 42 - The highway cycle for a non-hybrid vehicle**

#### 6.10.2. Hybrid Electric Vehicles

The HEV procedure is similar to the conventional-vehicle procedure except that the drive cycles are repeated until the initial and final battery SOC's are within a tolerance of 0.5% (see Figures 70 and 71.)



**Figure 43 - The urban cycle for a hybrid vehicle**



**Figure 44 - The highway cycle for a hybrid vehicle (Only the results from the second cycle were used)**

#### 6.10.2.1. Two-Cycle Procedure Calculations for Conventional and Hybrid vehicles

##### **Fuel Consumption**

For the urban procedure, the fuel consumption was computed via Equation (1):

$$(1) \quad FC = 0.43 \frac{V_{Fuel}^1 + V_{Fuel}^2}{Dist_1 + Dist_2} + 0.57 \frac{V_{Fuel}^3 + V_{Fuel}^Z}{Dist_3 + Dist_Z}$$

Where

- $V_{Fuel}^y$  = volume of fuel from Bag y,
- $Dist_y$  = distance driven by the vehicle for the Bag y part of the cycle, and
- $Z$  = Bag 2 for a non-hybrid vehicle and Bag 4 for a hybrid.

The same equation was used to compute the gas-equivalent fuel consumption as well as the SOC-adjusted fuel consumption by replacing  $V_{Fuel}$  with the corresponding physical quantity.

The highway procedure results were the same as for a simple cycle, except for the hybrid case, where only the results from Bag 1 were used to compute the values:

$$(2) \quad FC = \frac{V_{Fuel}^2}{Dist}$$

##### **Combined Fuel Consumption**

The combined fuel consumption is a weighted value lying between the urban and highway cycles:

$$(3) \quad FC^{combined} = 0.55 \times FC^{urban} + 0.45 \times FC^{highway}$$

#### 6.10.3. Plug-in Hybrid Electric Vehicles

This section describes the methodology currently implemented in Autonomie to support the Government Performance and Results Act (GPRA). The implementation is based on the J1711 procedure. The procedure is divided into several phases, as described below.

##### 6.10.3.1. Charge-Sustaining on the UDDS Cycle

1. Set battery SOC to charge-sustaining (CS) value.
2. Run UDDS.
3. 10-minute soak with the key off.
4. Run UDDS.
5. Assume the cycle charge is balanced. Display warning if it does not meet 1%.

Weightings and cold factor correction:

The following equations demonstrate the cold compensation:

$$(4) \quad M_{0-505}^* = \frac{M_{0-505}}{1 - CF_{75F}}$$

Where

$M_{0-505}$  = fuel mass consumed during the time window between 0 and 505 sec,

$CF_{75F}$  = cold-factor correction at 75°F, and

$M_{0-505}^*$  = cold-corrected mass of fuel.

$$(5) \quad Vol_{0-505}^* = \frac{M_{0-505}^*}{\delta_{gasoline}}$$

Where

$Vol_{0-505}^*$  = volume of fuel consumed during the time window between 0 and 505 sec, and

$\delta_{gasoline}$  = density of gasoline.

One can then calculate  $FC^{UDDS}$ , the fuel consumed on the UDDS cycle:

$$(6) \quad FC^{UDDS} = 0.43 \times \left( \frac{Vol_{0-505}^* + Vol_{506-1372}}{D_{0-505} + D_{506-1372}} \right) + 0.57 \times \left( \frac{Vol_{1972-2477} + Vol_{2478-3340}}{D_{1972-2477} + D_{2478-3340}} \right)$$

#### 6.10.3.2. Charge-Sustaining on the HWFET Cycle

1. Set battery SOC to CS value.
2. Run HWFET.

3. Wait 4 sec.
4. Run HWFET.
5. Assume the cycle is charge balanced.
6. Perform calculations on the second HWFET cycle.

$$(7) \quad FC^{HWFET} = \frac{Vol_{765-1529}}{D_{765-1529}}$$

Where

$Vol_{765-1529}$  = volume of fuel consumed during the time window between 765 and 1,529 sec,

$D_{765-1529}$  = distance traveled during the time window between 765 and 1,529 sec, and

$FC^{HWFET}$  = highway fuel consumption.

#### 6.10.3.3. Charge-Depleting on the UDDS and HWFET Cycles

1. The calculations are identical for the UDDS and HWFET cycles.
2. Set battery SOC to full charge test initial SOC.
3. Run UDDS (HWFET).
4. 10-minute soak with the key off (15-sec pause with key on).
5. Run UDDS (HWFET).
6. 10-minute soak with the key off (15-sec pause with key on).
7. Repeat until SOC reaches the CD/CS crossover point and the last cycle is completed.
8. Round down the number of cycles unless the CD range is less than one cycle. In that case, round up the number of cycles. At least 1 CD cycle is required to run the analysis.

Cold weighting calculation:

The user specifies the number of cycles over which to apply the cold correction factor:

$$(8) \quad N_{cold} = \min(N_{cold}^{user}, N_{cd})$$

$$(9) \quad N_{hot} = N_{cd} - N_{cold}$$

Where

$N_{cold}$  = number of cold cycles,

$N_{hot}$  = number of hot cycles,

$N_{cold}^{user}$  = number of user-specified cold cycles, and

$N_{cd}$  = total number of CD cycles.

$$(10) \quad M_{cd} = \left[ \frac{\alpha_{cold} M_{cd-cold}^1}{1 - CF_{75F}}, \dots, \frac{\alpha_{cold} M_{cd-cold}^{N_{cold}}}{1 - CF_{75F}}, \alpha_{hot} M_{cd-hot}^1, \dots, \alpha_{hot} M_{cd-hot}^{N_{hot}} \right]^T$$

Where

$M_{cd-cold}^1$  = mass of fuel consumed during the first cold CD cycle,

$M_{cd-cold}^{N_{cold}}$  = mass of fuel consumed during the last cold CD cycle,

$CF_{75F}$  = cold-start fuel economy penalty at 75°F,

$M_{cd-hot}^1$  = mass of fuel consumed during the first hot CD cycle,

$M_{cd-hot}^{N_{hot}}$  = mass of fuel consumed during the last hot CD cycle,

$\alpha_{cold}$  = user-specified cold weighting factor (default value = 0.43),

$\alpha_{hot}$  = user-specified hot weighting factor (default value = 0.57), and

$M_{cd}$  = column vector of cold-corrected fuel mass.

$$(11) \quad Vol_{cd} = \frac{M_{cd}}{\delta_{gasoline}}$$

Where

$Vol_{cd}$  = column vector of cold-corrected fuel volumes.

Note that each element in the  $Vol_{cd}$  vector is divided by its respective distance:

$$(12) \quad FC_{cd} = \frac{Vol_{cd}}{D_{udds}}$$

Where

$FC_{cd}$  = column vector of cold-corrected fuel consumptions.

The net battery energy used was calculated for each cycle using the open-circuit voltage and the current.

$$(13) \quad \text{for } i = 1, \dots, N_{cd}; E_{cd}^i = \int_{(i-1)T_{udds}}^{(i)T_{udds}+t} V_{oc}(\tau) * I(\tau) d\tau$$

Where

$E_{cd}^i$  = net battery energy used during the  $i^{th}$  CD cycle,

$T_{udds}$  = duration of the UDDS cycle + soak time or (HWFET + 15 sec),

$i$  = index of the CD cycle,

$N_{cd}$  = total number of CD cycles,

$V_{oc}$  = open-circuit voltage as a function of time during the cycle, and

$I$  = battery current as a function of time during the cycle.

$$(14) \quad E_{cd} = [E_{cd}^1, \dots, E_{cd}^{N_{cd}}]^T$$

Where

$E_{cd}$  = column vector of net battery energy used on each cycle.

Note that each element in the  $E_{cd}$  vector is divided by its respective distance.

$$(15) \quad EC_{cd} = \frac{E_{cd}}{D_{udds} * \eta_{chg}^{ess} * \eta_{charger}}$$

Where

$EC_{cd}$  = column vector of electrical-energy consumption in AC-Joules (wall outlet),

$D_{udds}$  = distance traveled on a UDDS (or HWFET –  $D_{HWFET}$ ) cycle,

$\eta_{chg}^{ess}$  = user-definable efficiency of the battery during charging (default value = 0.99), and

$\eta_{charger}$  = user-definable efficiency of the charger (wall or in-vehicle) (default value = 0.88).

$$(16) \quad \text{for } i = 1, \dots, N_{cd}; \mu_i = \mu(i * D_{udds}^i) - \mu(i - 1) * D_{udds}^i$$

$$\mu_{cd} = [\mu_1, \dots, \mu_{N_{cd}}]$$

Where

$\mu_{cd}$  = row vector of utility factors,

$\mu_1$  = utility factor on the first CD cycle,

$\mu_i$  = utility factor on the  $i^{th}$  CD cycle,

$\mu_{N_{cd}}$  = utility factor on the last CD cycle, and

$\mu$  = fleet Mileage Fraction Utility Factor as a function of distance.

$$(17) \quad FC = \mu_{cd}FC_{cd} + \left(1 - \sum_i^{N_{cd}} \mu_i\right)FC_{cs}$$

Where

$FC$  = fuel consumed on the city or highway portion of the PHEV procedure.

$$(18) \quad EC = \mu_{cd}EC_{cd}$$

Where

$EC$  = electrical energy consumed during the city or highway portion of the PHEV procedure.

Consumption adjustment factors:

Although *only unadjusted values were used to support NEMS (National Energy Modeling System), MARKAL, and SEDS (State Energy Data System)*, this section describes the adjusted fuel-consumption values provided.

$$(19) \quad FE_{adj}^{udds} = 0.003259 + 1.1805 * FE^{udds}$$

$$(20) \quad FE_{adj}^{hwfet} = 0.001376 + 1.3466 * FE^{hwfet}$$

$$(21) \quad FC_{adj}^{combined} = 0.55 * FC_{adj}^{udds} + 0.45 * FC_{adj}^{hwfet}$$



Electrical consumption (corrected) = 0.7 \* electrical consumption, per communication with EPA.

#### 6.10.4. Electric Vehicles

Start the battery at full SOC and run until minimum SOC is reached:

$$(22) \quad C = \frac{\int V_{oc} * I_{ess}}{\eta_{ess} \eta_{charger}}$$

Where

$\eta_{ess}$  = efficiency of the battery while charging,

$\eta_{charger}$  = average efficiency of the charger while charging,

$V_{oc}$  = open-circuit voltage as a function of time over the cycle, and

$I_{ess}$  = current as a function of time over the cycle.

#### 6.10.5. Cold-Start Penalty

A cold start penalty of 12% was applied for the fuel consumption of the FTP for conventional vehicles, HEVs, and PHEVs; 0% for BEVs.

## 7. Individual Vehicle Setup Process

The Large-Scale Simulation Process was developed by Argonne to run a very large number of vehicles/simulations in a fast and flexible way. It allows Argonne to quickly respond to Volpe and DOT/NHTSA requests to be able to simulate any technology combination in any vehicle class. The following subsections describes the different steps of the process.

### 7.1. Vehicle Spreadsheet Definition

A template spreadsheet contains the basic information of a vehicle such as vehicle name, vehicle class, and vehicle technology, as well as components information such as battery technology, engine technology, and transmission type.

The template spreadsheet contains seven tabs: Vehicle, Parameter, Control, Sizing, Run, Translation, and Assumptions. In each tab, columns outline vehicle configurations. Four columns refer to the four low-electrification-level vehicles and 11 columns refer to the high-electrification-level vehicles.

ANL - VOLPE\_Template - Conventional.xlsx - Excel

Moawad, Ayman

FILE HOME INSERT PAGE LAYOUT FORMULAS DATA REVIEW VIEW

Clipboard Font Alignment Number Styles Cells Editing

C2 : X ✓ fx Conventional

		Conventional	Micro Hybrid	Mild Hybrid BISG	Mild Hybrid CISG
Run Number	1	4	10	13	
Template Vehicle ("a_vehicle)	conv_midsize_au_2wd_VOLPE	par_micro_HEV_midsize_au_2wd_VOLPE	par_bisg_midsize_au_2wd_VOLPE	par_cisg_midsize_au_2wd_VOLPE	
AUTONOMIE Vehicle File ("a_vehicle)	conv_EngName_TransName_MFName_RollName_AERDname_au_a_vehicle	micro_EngName_TransName_MFName_RollName_AERDname_au_a_vehicle	bisg_EngName_TransName_MFName_RollName_AERDname_au_a_vehicle	cisg_EngName_TransName_MFName_RollName_AERDname_au_a_vehicle	
eng_ctrl.trn	preproc				
eng_ctrl.cmd	model				
eng_ctrl.cmd	init				
eng_ctrl.cmd	preproc				
eng_plant	model				
eng_plant	init				
eng_plant	scale				
eng_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	
eng_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	
exh_plant	model				

ANL - VOLPE\_Template - Hybrid.xlsx - Excel

Moawad, Ayman

FILE HOME INSERT PAGE LAYOUT FORMULAS DATA REVIEW VIEW

Clipboard Font Alignment Number Styles Cells Editing

A1 : X ✓ fx

	Split SI	Split SI - 10mi AER	Split SI - 20mi AER	Erev SI - 30mi AER	Erev SI - 40mi AER	FC HEV	BEV-100
Run Number	19	22	25	28	33		
Template Vehicle ("a_vehicle)	split_midsize_HEV_2wd_single_mode_VOLPE	split_midsize_PHEV_2wd_single_mode_VOLPE	split_midsize_PHEV_2wd_single_mode_VOLPE	split_midsize_PHEV_2wd_single_mode_VOLPE	split_midsize_PHEV_2wd_single_mode_VOLPE		
AUTONOMIE Vehicle File ("a_vehicle)	split_MFName_RollName_AERDname_au_a_vehicle	split_MFName_RollName_AERDname_au_a_vehicle	split_MFName_RollName_AERDname_au_a_vehicle	split_MFName_RollName_AERDname_au_a_vehicle	split_MFName_RollName_AERDname_au_a_vehicle		
eng_ctrl.trn	preproc						
eng_ctrl.cmd	model						
eng_plant	eng_plant						
eng_plant	scale						
eng_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m		
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m		
exh_plant	model						
exh_plant	init						
exh_plant	preproc						
exh_plant	model						
exh_plant	init						
exh_plant	scale						
exh_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m		
exh_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m		
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m		
exh_plant	model						
exh_plant	init						
exh_plant	preproc						
exh_plant	model						
exh_plant	init						
exh_plant	scale						
exh_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m		
exh_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m		
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m		
exh_plant	model						
exh_plant	init						
exh_plant	preproc						
exh_plant	model						
exh_plant	init						
exh_plant	scale						
exh_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m		
exh_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m		
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m		
exh_plant	model						
exh_plant	init						
exh_plant	preproc						
exh_plant	model						
exh_plant	init						
exh_plant	scale						
exh_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m		
exh_plant	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m	eng_plant_preproc.m		
exh_plant	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m	eng_sl_cost_preproc.m		
exh_plant	model						
exh_plant	init						
exh_plant	preproc						
exh_plant	model						
exh_plant	init						
exh_plant	scale						
exh_plant	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_plant_s_pwm_lin.m	eng_pl		

### Figure 45 – Vehicle Setup – Vehicle Tab

### 7.1.2. Parameter Tab

The Parameter tab, shown in Figure 46, defines the values of the components specific to the vehicle designated (e.g., powers, masses, performance constraints).

The screenshot displays the ANL - VOLPE\_Template - Conventional.xlsx Excel spreadsheet. The interface shows the ribbon with tabs: FILE, HOME, INSERT, PAGE LAYOUT, FORMULAS, DATA, REVIEW, and VIEW. The active sheet is 'Conventional'. The spreadsheet is organized into several sections:

- Configuration:** Includes fields for Start Date (39904), Customer (Aymeric Rousseau), Responsible (6.2 SP1), PSAT version (P:\projects\PSAT\_studies\FY09\0901-PDS), and Location of results.
- Sizing:** Includes fields for Run Number (16), Split SI (19), Split SI - 10mi AER (22), Split SI - 20mi AER (25), Erev SI - 30mi AER (28), Erev SI - 40mi AER (75), FC HEV (93), and BEV 100.
- Constraints:** Includes fields for AutoSizing (Yes), Report (No), Vehicle Class (ClassName), Vehicle Config (Split HEV), Fuel Type (Gasoline), Transmission Type (Planetary), Engine Type (Prius2010), Mass Reduction Type (MRName), AERO Type (AEROName), and Rolling Resistance Type (RollName).
- Performance:** Includes fields for Acceleration (0.2), Shifting Time (0.2), and Passing Acceleration (0.2).

The spreadsheet also includes a 'Parameter' tab at the bottom, which is currently selected. The status bar at the bottom indicates the file is 'READY' and the zoom level is 85%.

Figure 46 – Vehicle Setup – Parameter Tab

## 7.1.3. Control Tab

The Control tab, shown in Figure 47, selects the appropriate controller for the designated vehicle.

ANL - VOLPE\_Template - Conventional.xlsx - Excel

		Conventional	Micro Hybrid	Mild Hybrid BISG	Mild Hybrid CISG
1					
2	Run Number	1	4	10	13
39	ev_only	gb.ctrl.dmd			
40	perfo	vpc.prop	vpc.prop.init.perfo_force_start	vpc.prop.init.perfo_force_start	vpc.prop.init.perfo_force_start
41	perfo	vpc.prop	1	1	1
42	perfo	vpc.prop	vpc.prop.init.ess_percent_pwr_charged	vpc.prop.init.ess_percent_pwr_charged	vpc.prop.init.ess_percent_pwr_charged
43	perfo	lvna.ess			

ANL - VOLPE\_Template - Hybrids.xlsx - Excel

		Split SI	Split SI - 10mi AER	Split SI - 20mi AER	EREV SI - 30mi AER	EREV SI - 40mi AER	FC HEV	BEV - 100
1								
2	Run Number	16	19	22	25	28	76	93
3	AUTONOMIE Vehicle File (i.e. vehicle)	split_EngineName_TransName_MName_Bs_PT_10AER_vehicle	split_EngineName_TransName_MName_Bs_PT_10AER_vehicle	split_EngineName_TransName_MName_Bs_PT_20AER_vehicle	erev_EngineName_TransName_MName_Bs_PT_30AER_vehicle	erev_EngineName_TransName_MName_Bs_PT_40AER_vehicle	series_EngineName_TransName_MName_Bs_PT_20hp_3s_on	ev_EngineName_TransName_MName_Bs_100_Roadgear
4	conso	vpc.prop	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc
5	conso	vpc.prop	0	0	0	0	1	1
6	conso	vpc.prop	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc		
7	conso	vpc.prop	1	1	1	1		
8	conso	vpc.prop	vpc.prop.init.whi_pwr_above_eng_turn_on_maxpropinit.whi_pwr_above_eng_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.whi_pwr_above_eng_turn_on_maxpropinit.whi_pwr_above_eng_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.whi_pwr_above_eng_turn_on_maxpropinit.whi_pwr_above_eng_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.whi_pwr_above_eng_turn_on_maxpropinit.whi_pwr_above_eng_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit		
9	conso	vpc.prop	0.25	0.25				
10	conso	gb.ctrl.trs					gb.ctrl.trs.pwr_gb_t_shift	
11	conso	gb.ctrl.trs					0.5	
12	conso	gb.ctrl.trs					gb.ctrl.trs.int_gear_maintain_down_eff	
13	conso	gb.ctrl.trs					1	
14	conso	gb.ctrl.trs					gb.ctrl.trs.int_gear_maintain_up_eff	
15	conso	gb.ctrl.trs					1	
16	conso	gb.ctrl.trs					gb.ctrl.trs.int_gear_initial	
17	conso	gb.ctrl.trs					0	
18	conso	gb.ctrl.dmd					gb.ctrl.dmd.int_gear_initial	
19	conso	gb.ctrl.dmd					0	
20	conso	gb.ctrl.dmd						vpc.prop.init.stop_at_low_soc
21	conso	gb.ctrl.dmd						1
22	ev_only	vpc.prop	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only		
23	ev_only	vpc.prop	1	1	1	1		
24	ev_only	vpc.prop	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc		
25	ev_only	vpc.prop	1	1	1	1		
26	ev_only	vpc.prop						
27	ev_only	vpc.prop						
28	ev_only	gb.ctrl.trs						
29	ev_only	gb.ctrl.trs						
30	ev_only	gb.ctrl.trs						
31	ev_only	gb.ctrl.trs						
32	ev_only	gb.ctrl.trs						
33	ev_only	gb.ctrl.trs						
34	ev_only	gb.ctrl.trs						
35	ev_only	gb.ctrl.trs						
36	ev_only	gb.ctrl.trs						
37	ev_only	gb.ctrl.trs						
38	ev_only	gb.ctrl.dmd						
39	ev_only	gb.ctrl.dmd						
40	perfo	vpc.prop	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only	vpc.prop.init.ev_only		
41	perfo	vpc.prop	0	0	0	0	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc
42	perfo	vpc.prop	1	1	1	1		
43	perfo	vpc.prop	vpc.prop.init.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit	vpc.prop.init.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.eng_pwr_vhi_above_turn_on_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit.whi_pwr_below_eng_turn_off_maxpropinit		
44	perfo	vpc.prop	0	0	0	0		
45	perfo	vpc.prop	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc	vpc.prop.init.stop_at_low_soc		
46	perfo	vpc.prop	1	1	1	1		
47	perfo	vpc.prop						
48	perfo	vpc.prop						
49	perfo	gb.ctrl.trs					gb.ctrl.trs.pwr_gb_t_shift	
50	perfo	gb.ctrl.trs					0.5	
51	perfo	gb.ctrl.trs					gb.ctrl.trs.int_gear_maintain_down_eff	
52	perfo	gb.ctrl.trs					1	
53	perfo	gb.ctrl.trs					gb.ctrl.trs.int_gear_maintain_up_eff	
54	perfo	gb.ctrl.trs					1	
55	perfo	gb.ctrl.trs					gb.ctrl.trs.int_gear_initial	
56	perfo	gb.ctrl.trs					0	
57	perfo	gb.ctrl.trs					gb.ctrl.dmd.int_gear_initial	
58	perfo	gb.ctrl.trs					0	
59	perfo	gb.ctrl.trs						vpc.prop.init.stop_at_low_soc
60	perfo	gb.ctrl.trs						1

READY

Figure 47 – Vehicle Setup – Control Tab



### 7.1.4. Sizing Tab

The Sizing tab selects the appropriate sizing rule and algorithms with which to run the vehicle performance test (Figure 48).

The screenshot displays the 'Sizing' tab in the ANL - VOLPE\_Template - Conventional.xlsx Excel spreadsheet. The spreadsheet is organized into columns for different vehicle types: Conventional, Micro Hybrid, Mild Hybrid BISG, and Mild Hybrid CISG. The rows are categorized into several sections: Run Number, Rule, rule file, Power, Grade, Acceleration, Regen, EV Only, Energy, Sizing Range, Name, File, Repair, Scale, Grade, Sizing Cycle for Regen / EV Only / Fuel, and CD Range. The Sizing Range section is highlighted in green, showing various engine and transmission configurations for different vehicle types. The spreadsheet is divided into columns for different vehicle types: Conventional, Micro Hybrid, Mild Hybrid BISG, and Mild Hybrid CISG. The rows include parameters like Run Number, Rule, rule file, Power, Grade, Acceleration, Regen, EV Only, Energy, Sizing Range, Name, File, Repair, Scale, Grade, Sizing Cycle for Regen / EV Only / Fuel, and CD Range. The Sizing Range section is highlighted in green, showing various engine and transmission configurations for different vehicle types.

	A	B	C	D	E	F
1			Conventional	Micro Hybrid	Mild Hybrid BISG	Mild Hybrid CISG
2		Run Number	1	4	10	13
3		AUTONOMIE Vehicle File (*.a_vehicle)	conv_EngName_TransName_MRname_9s_si_au	conv_EngName_TransName_MRname_9s_ci_au	conv_EngName_TransName_MRname_9s_eth_au	conv_EngName_TransName_MRname_9s_cng_au
4		Rule				
5		rule file	rule_file	conv_accel_eng_pwr_passing	conv_accel_eng_pwr_passing	conv_accel_eng_pwr_passing
6		Power				
7		Grade	grade_compo	eng	eng	eng
8		Acceleration	accel_compo	eng	eng	eng
9		Regen				
10		EV Only				
11		Energy				
12		Sizing Range				
13		Regen				
14		Name				
15		File				
16		Repair				
17		Scale				
18		Grade				
19		Sizing Cycle for Regen / EV Only / Fuel				
20		CD Range				
21		Name				
22		File				
23		Repair				
24		Scale				
25		Grade				
26		Sizing Cycle for Regen / EV Only / Fuel				
27		CD Range				
28		Name				
29		File				
30		Repair				
31		Scale				
32		Grade				
33		Sizing Cycle for Regen / EV Only / Fuel				
34		CD Range				
35		Name				
36		File				
37		Repair				
38		Scale				
39		Grade				
40		Sizing Cycle for Regen / EV Only / Fuel				
41		CD Range				
42		Name				
43		File				
44		Repair				
45		Scale				
46		Grade				
47		Sizing Cycle for Regen / EV Only / Fuel				
48		CD Range				
49		Name				
50		File				
51		Repair				
52		Scale				
53		Grade				
54		Sizing Cycle for Regen / EV Only / Fuel				
55		CD Range				
56		Name				
57		File				
58		Repair				
59		Scale				
60		Grade				
61		Sizing Cycle for Regen / EV Only / Fuel				
62		CD Range				
63		Name				
64		File				
65		Repair				
66		Scale				
67		Grade				
68		Sizing Cycle for Regen / EV Only / Fuel				
69		CD Range				
70		Name				
71		File				
72		Repair				
73		Scale				
74		Grade				
75		Sizing Cycle for Regen / EV Only / Fuel				
76		CD Range				
77		Name				
78		File				
79		Repair				
80		Scale				
81		Grade				
82		Sizing Cycle for Regen / EV Only / Fuel				
83		CD Range				
84		Name				
85		File				
86		Repair				
87		Scale				
88		Grade				
89		Sizing Cycle for Regen / EV Only / Fuel				
90		CD Range				
91		Name				
92		File				
93		Repair				
94		Scale				
95		Grade				
96		Sizing Cycle for Regen / EV Only / Fuel				
97		CD Range				
98		Name				
99		File				
100		Repair				
101		Scale				
102		Grade				
103		Sizing Cycle for Regen / EV Only / Fuel				
104		CD Range				
105		Name				
106		File				
107		Repair				
108		Scale				
109		Grade				
110		Sizing Cycle for Regen / EV Only / Fuel				
111		CD Range				
112		Name				
113		File				
114		Repair				
115		Scale				
116		Grade				
117		Sizing Cycle for Regen / EV Only / Fuel				
118		CD Range				
119		Name				
120		File				
121		Repair				
122		Scale				
123		Grade				
124		Sizing Cycle for Regen / EV Only / Fuel				
125		CD Range				
126		Name				
127		File				
128		Repair				
129		Scale				
130		Grade				
131		Sizing Cycle for Regen / EV Only / Fuel				
132		CD Range				
133		Name				
134		File				
135		Repair				
136		Scale				
137		Grade				
138		Sizing Cycle for Regen / EV Only / Fuel				
139		CD Range				
140		Name				
141		File				
142		Repair				
143		Scale				
144		Grade				
145		Sizing Cycle for Regen / EV Only / Fuel				
146		CD Range				
147		Name				
148		File				
149		Repair				
150		Scale				
151		Grade				
152		Sizing Cycle for Regen / EV Only / Fuel				
153		CD Range				
154		Name				
155		File				
156		Repair				
157		Scale				
158		Grade				
159		Sizing Cycle for Regen / EV Only / Fuel				
160		CD Range				
161		Name				
162		File				
163		Repair				
164		Scale				
165		Grade				
166		Sizing Cycle for Regen / EV Only / Fuel				
167		CD Range				
168		Name				
169		File				
170		Repair				
171		Scale				
172		Grade				
173		Sizing Cycle for Regen / EV Only / Fuel				
174		CD Range				
175		Name				
176		File				
177		Repair				
178		Scale				
179		Grade				
180		Sizing Cycle for Regen / EV Only / Fuel				
181		CD Range				
182		Name				
183		File				
184		Repair				
185		Scale				
186		Grade				
187		Sizing Cycle for Regen / EV Only / Fuel				
188		CD Range				
189		Name				
190		File				
191		Repair				
192		Scale				
193		Grade				
194		Sizing Cycle for Regen / EV Only / Fuel				
195		CD Range				
196		Name				
197		File				
198		Repair				
199		Scale				
200		Grade				
201		Sizing Cycle for Regen / EV Only / Fuel				
202		CD Range				
203		Name				
204		File				
205		Repair				
206		Scale				
207		Grade				
208		Sizing Cycle for Regen / EV Only / Fuel				
209		CD Range				
210		Name				
211		File				
212		Repair				
213		Scale				
214		Grade				
215		Sizing Cycle for Regen / EV Only / Fuel				
216		CD Range				
217		Name				
218		File				
219		Repair				
220		Scale				
221		Grade				
222		Sizing Cycle for Regen / EV Only / Fuel				
223		CD Range				
224		Name				
225		File				
226		Repair				
227		Scale				
228		Grade				
229		Sizing Cycle for Regen / EV Only / Fuel				
230		CD Range				
231		Name				
232		File				
233		Repair				
234		Scale				
235		Grade				
236		Sizing Cycle for Regen / EV Only / Fuel				
237		CD Range				
238		Name				
239		File				
240						

### 7.1.5. Run Tab

The Run tab selects the drive cycle/procedure that needs to be run (Figure 49).

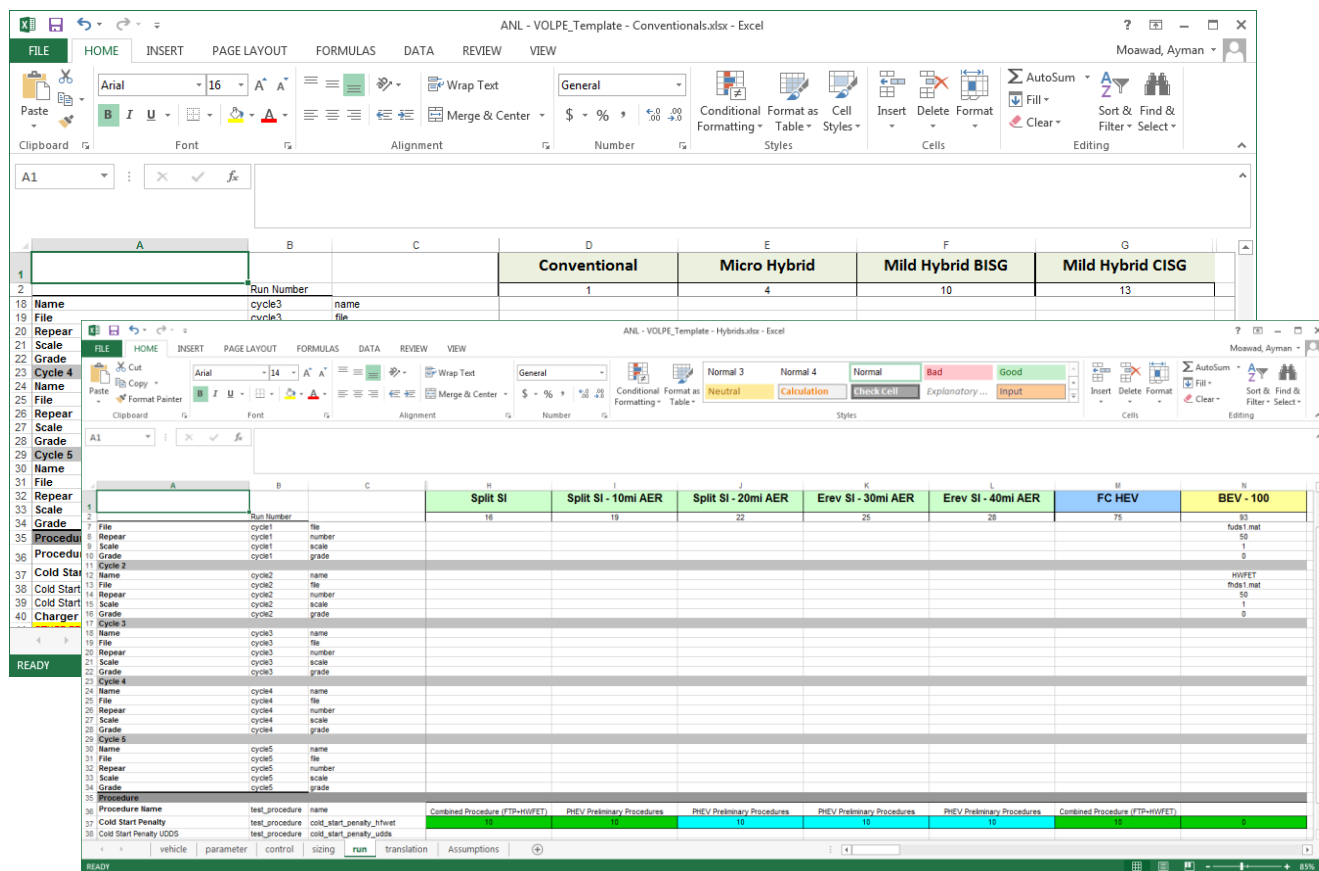


Figure 49 – Vehicle Setup – Run Tab



### 7.1.6. Translation Tab

The Translation tab, shown in Figure 50, translates and transfers every input into Autonomie to build the vehicle model.

	location	component	variable type	variable name	location	component	variable type	variable name
<b>Sizing</b>								
AutoSizing								
Report	sizing	report	report_enable					
Vehicle Class	sizing	type_class	step					
Vehicle Config	sizing	type_config	step					
Fuel Type	sizing	type_fuel	step					
Transmission Type	sizing	type_transmission	step					
Engine Type	sizing	type_engine	step					
Mass Reduction Type	sizing	type_MR	step					
AERO Type	sizing	type_AERO	step					
Rolling Resistance Type	sizing	type_ROLL	step					
<b>Constraints</b>								
Acceleration								
From	sizing	accel	from					
To	sizing	accel	to					
In	sizing	accel	time					
Shifting Time	sizing	accel	shift_time					
<b>Passing Acceleration</b>								
Passing From	sizing	passing	from					
Passing To	sizing	passing	to					
Passing In	sizing	passing	time					
<b>Perc of peak power required to meet VTS</b>								
Engine or fuel cell sized to meet X%	sizing	constraints	peak_pwr_perc					
<b>Grade</b>								
Minimum	sizing	grade	percent					
At Speed	sizing	grade	speed					
Use Grade Mass equation	sizing	grade	use_gvw_equation					
Additional Cargo/Trailer Mass	sizing	grade	add_mass					
<b>Maximum Speed</b>								
Max Vehicle Speed must be at least	sizing	speed	max					
<b>Range</b>								
UDDS Fuel Range	sizing	range	fuel					
UDDS EV Range	sizing	range	ev					
<b>SOC</b>								
Electrical Only								
EV SOC Init ESS #1	sizing	soc	ev_max					
EV SOC Final ESS #1	sizing	soc	ev_min					
<b>Charge Depleting / Blending Mode</b>								
CD SOC Init ESS #1	sizing	soc	cd_max					
CD SOC Final ESS #1	sizing	soc	cd_min					
<b>Charge Sustaining</b>								
CS SOC Max ESS #1	sizing	soc	cs_max					
CS SOC Init ESS #1	sizing	soc	cs_min					

Figure 50 – Vehicle Setup – Translation Tab

### 7.1.7. Assumption Tab

The Assumption tab describes the vehicle and component assumptions used to define the Vehicle tab (Figure 51).

The screenshot displays the 'Assumptions' tab in an Excel spreadsheet titled 'ANL - VOLPE\_Template - Conventions.xlsx'. The spreadsheet is organized into several sections for vehicle and component assumptions.

**Vehicle Section (Rows 13-38):**

- Vehicle Parameters:** Wheel mass (35), Wheel radius (0.31725), Body mass reduction (%), Chassis mass reduction (%), Rest Weight (%), Glider mass (kg), Glider cost (\$), Frontal Area (m<sup>2</sup>), Frontal Area increase (%), Drag Coefficient (C<sub>d</sub>), CD Reduction (%), CDFA Change (%), Rolling resistance (R<sub>rr</sub>), Electrical A/C Load Conventional Config (W/hp), Electrical A/C Load All Other Config (W/hp), Conventional A/C (W/hp), Electrical A/C (W/hp), Fuel Tank Size for Conventional (gal), Fuel Tank Size for Splr (gal), Fuel Tank Cost (\$/gal), Cost (???), Extra Electrical A/C Load for cooling for EV & PHEV (W/hp).
- Vehicle Costs:** LOW COST, AVE COST, HIGH COST.

**Driveline Section (Rows 39-48):**

- Driveline Parameters:** Automatic Trans, DCT, Planetary gearset, Final Drive Peak Efficiency (%), Transmission cost (\$), Transmission type (conventional), Transmission Ratio (conventional), File name for Gearbox, File name for Final drive, Ratio of Final drive, Early lockup.
- Driveline Costs:** LOW COST, AVE COST, HIGH COST.

**Battery Section (Rows 49-63):**

- High Power APPLICATIONS (REV):** Technology, Energy Oversize (%), Power Oversize (%), SOC Max, SOC Init, Specific Power @ 70% SOC (W/kg), Power Density (W/L), Cost (\$/kWh), Technology, Energy Oversize (%).
- High Energy APPLICATIONS (PHEV):** Technology, Energy Oversize (%).

**Gearbox Section (Rows 64-68):**

- Gearbox Parameters:** Gearbox, Final drive.
- Updated battery weight calculations:** (cell to pack ratio varies as a function of the application & use the specific power and energy values).

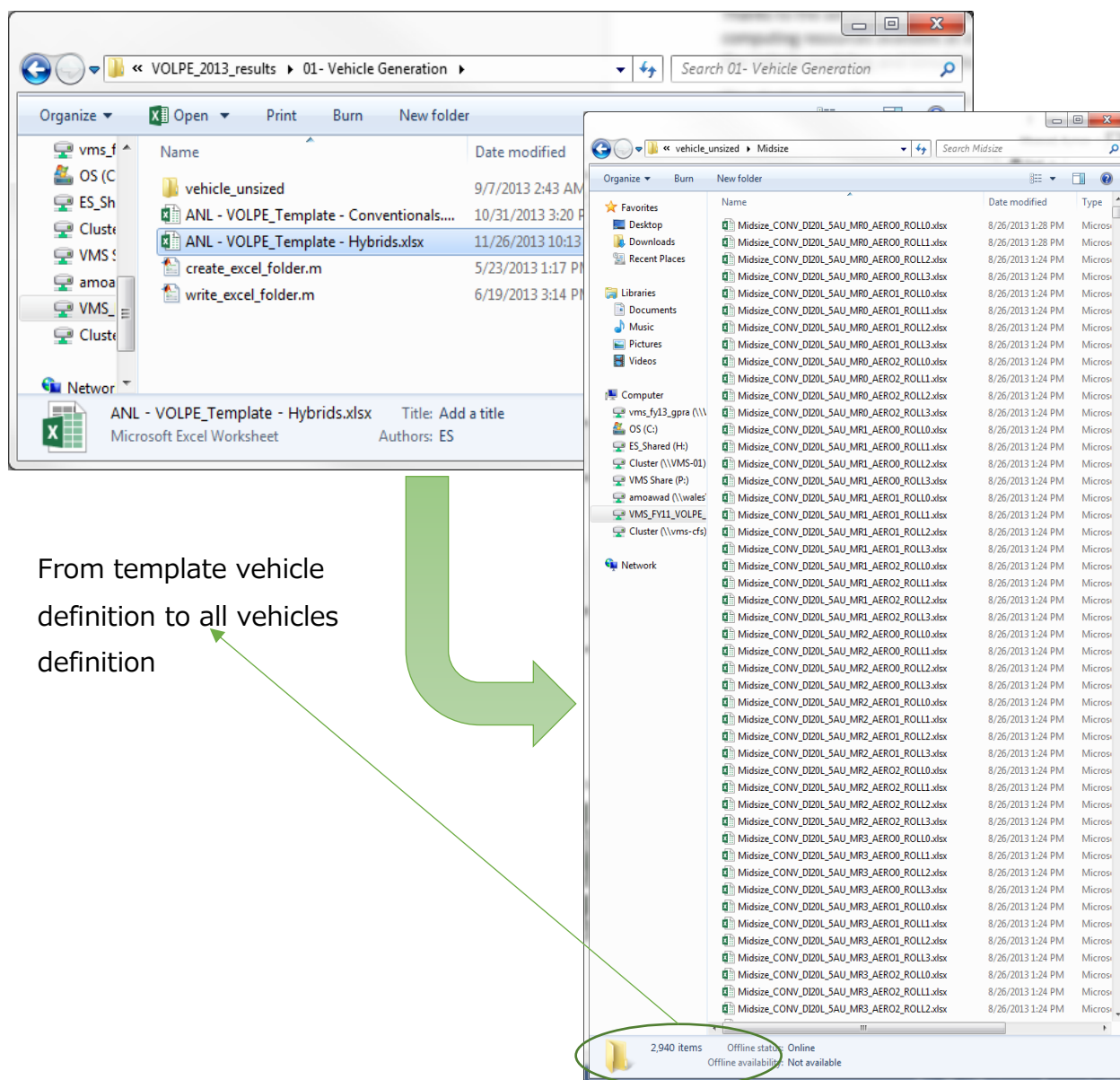
The spreadsheet includes a 'TEMPLATE' section at the top (Rows 6-12) and a 'Gearbox' section at the bottom right (Rows 64-68). The bottom status bar shows 'READY' and '59%' zoom.

Figure 51 – Vehicle Setup – Assumption Tab

## 7.2. Multi-Spreadsheet Expansion/Duplication

After the Large-Scale Simulation Process defines the spreadsheet with all the component and vehicle inputs, a multiplier code, shown in Figure 52, expands the reference/template spreadsheet into as many spreadsheets as needed to define the vehicle's technological combinations based on the decision trees' input.

The template spreadsheet is duplicated, multiplied, and expanded to define the complete combination tree equivalent to a total of 27,600 vehicles created.



**Figure 52 – Multi Spreadsheet Expansion/Duplication**

## 8. Distributed Computing Process

At that stage of the large scale simulation process, all the vehicles are created and ready to be sized and simulated in Autonomie. Running 27,600 vehicles requires more than 250,000 simulations, from sizing algorithms — imposing recurrence and iteration/looping — to vehicle simulation on cycles and combined or PHEV procedures.

With the multitude of technology combinations to simulate, the usual computing resources are no longer practical. Running all of the simulations on one computer would take several months or years before any analysis could be completed. Thanks to advances in distributed computing, simulation time can be greatly reduced. Among the computing resources available at Argonne National Laboratory is a cluster of 160 worker nodes dedicated to the System Modeling and Control Group. A larger computing facility could be used in the future to further accelerate the simulations.

### 8.1. Setup

The researchers of the System Modeling and Control Group use Autonomie as the simulation framework, synchronized by a cluster head node computer. The head computer extracts the data from the Excel files describing the different technology pathways and distributes it to the researchers, as diagrammed in Figure 53. An algorithm optimizes the distribution of jobs for vehicle simulations and parametric studies. The total simulation time for the 27,600 vehicles is about 115 hours (~5 days).

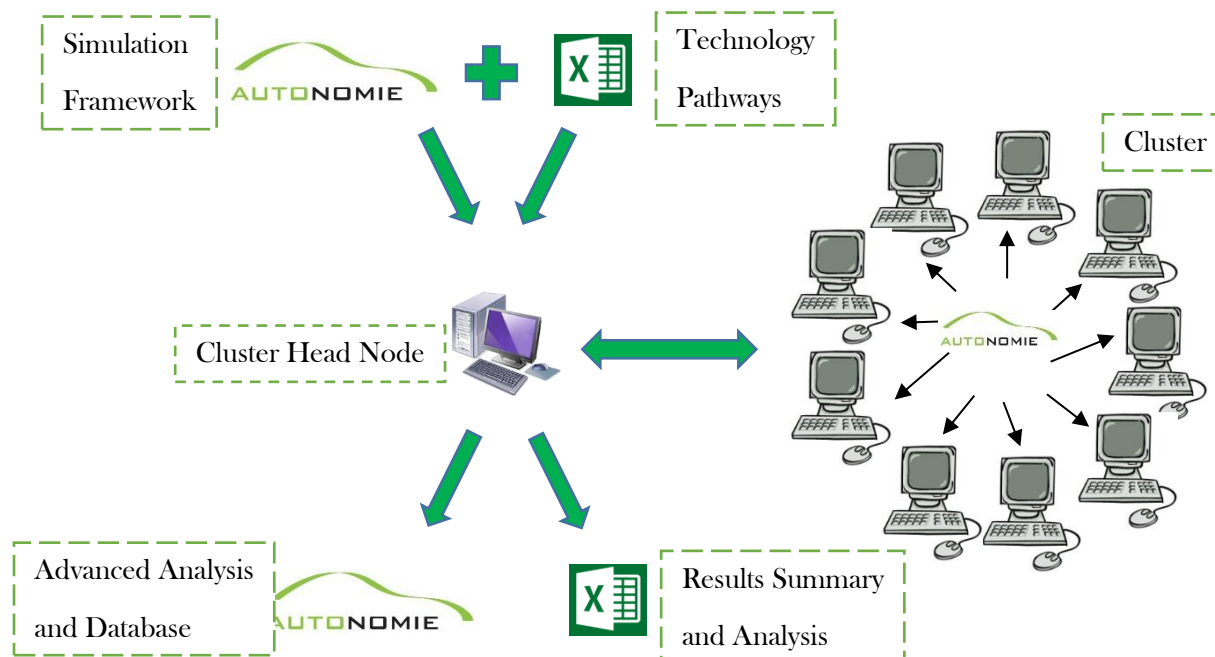


Figure 53 – Diagram of Distributed Computing Process

## 8.2. Distributed Computing Flexibility

One of the biggest advantages of the distributed computing is that it facilitates the quick rerun of simulations, which occurred many times during this study. This experience allowed Argonne to develop a new process: an ultimate Large-Scale Simulation Process (LSSP) that is functional, smooth, and flexible, with the ability to easily and quickly add and rerun as many vehicles and new technologies as needed. The generic process will be able to automatically handle the additional technologies without any code modification. As a result, the CAFE model's future technological needs will be easily and quickly integrated at any time and proceed to new runs in order to feed the model for CAFE rulemaking analyses.

## 9. Vehicle Sizing Process

### 9.1. Vehicle Technical Specifications

To compare different vehicle technology-configuration-powertrain combinations, all selected vehicles to be sized are designed to meet the same requirements. Note that not all vehicles are sized as explained in 5.3 – 5.4 – 5.5.

- Initial vehicle movement to 60 mph in 9 sec  $\pm$  0.1 sec
- Maximum grade (gradability) of 6% at 65 mph at GVW
- Maximum vehicle speed >100 mph

These requirements are a good representation of the current American automotive market and of American drivers' expectations. The relationship between curb weight and GVW for current technology-configuration-powertrain combinations was modeled and forms the basis for estimating the GVWs of future vehicle scenarios.

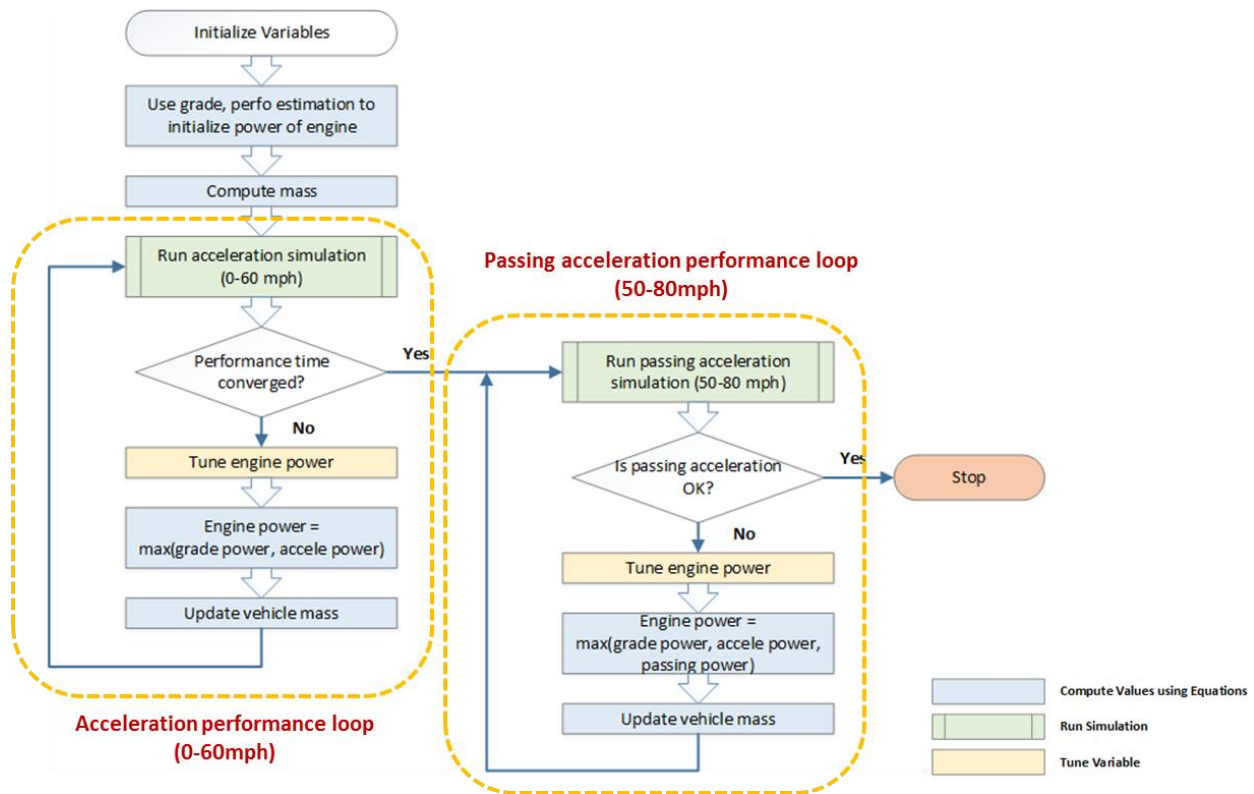
### 9.2. Component Sizing Algorithms

Owing to the impact of the component maximum torque shapes, maintaining a constant power-to-weight ratio for all configurations leads to an erroneous comparison between technologies because of different vehicle performances (i.e. 0-60mph). Each vehicle should be sized independently to meet the vehicle technical specifications.

Improperly sizing the components will lead to differences in fuel consumption and will influence the results. On this basis, we developed several automated sizing algorithms to provide a fair comparison between technologies. Algorithms have been defined depending on the powertrain (e.g., conventional, power split, series, electric) and the application (e.g., HEV, PHEV).

All algorithms are based on the same concept: the vehicle is built from the bottom up, meaning each component assumption (e.g., specific power, efficiency) is taken into account to define the entire set of vehicle attributes (e.g., weight). This process is always iterative in the sense that the main component characteristics (e.g., maximum power, vehicle weight) are changed until all vehicle technical specifications are met. The transmission gear span or ratios are currently not modified to be matched with specific

engine technologies. On average, the algorithm takes between five and 10 iterations to converge. Figure 54 shows an example of the iterative process for a conventional vehicle.



**Figure 54 – Conventional Powertrain Sizing Algorithm**

Since each powertrain and application is different, the rules are specific:

- For HEVs, the electric-machine and battery powers are determined in order to capture all of the regenerative energy from an FTP cycle. The engine and the generator are then sized to meet the gradeability and performance (initial vehicle movement to 60 mph) requirements.
- For PHEV20s, the electric machine and battery powers are sized to follow the FTP cycle in electric-only mode (this control is only used for the sizing; a blended approach is used to evaluate consumptions). The battery's usable energy is defined to follow the FTP drive cycle for 20 miles, depending on the requirements. The engine is then sized to meet both performance and gradeability requirements (usually, gradeability is the determining factor for PHEVs).
- For PHEV40s, the main electric-machine and battery powers are sized to be able to follow the aggressive US06 drive cycle (duty cycle with aggressive highway driving) in electric-only mode. The battery's usable energy is defined to follow the FTP drive cycle for 40 miles, depending on the



requirements. The genset (engine + generator) or the fuel cell systems are sized to meet the gradeability requirements.

- For BEVs, the electric machine and energy storage systems are sized to meet all of the vehicle technical specifications.

The micro-HEV, BISG, and CISG have sizing results very similar to their conventional counterparts because they all use the same sizing rule.

## 10. Vehicle Simulation Process

Once the vehicles are sized to meet the same vehicle technical specifications, they are simulated following the appropriate standard driving cycles (27,600 vehicles or >250,000 runs for this example). It is important to properly store individual results as structured data because they will be reused to support database generation (see Section 11).

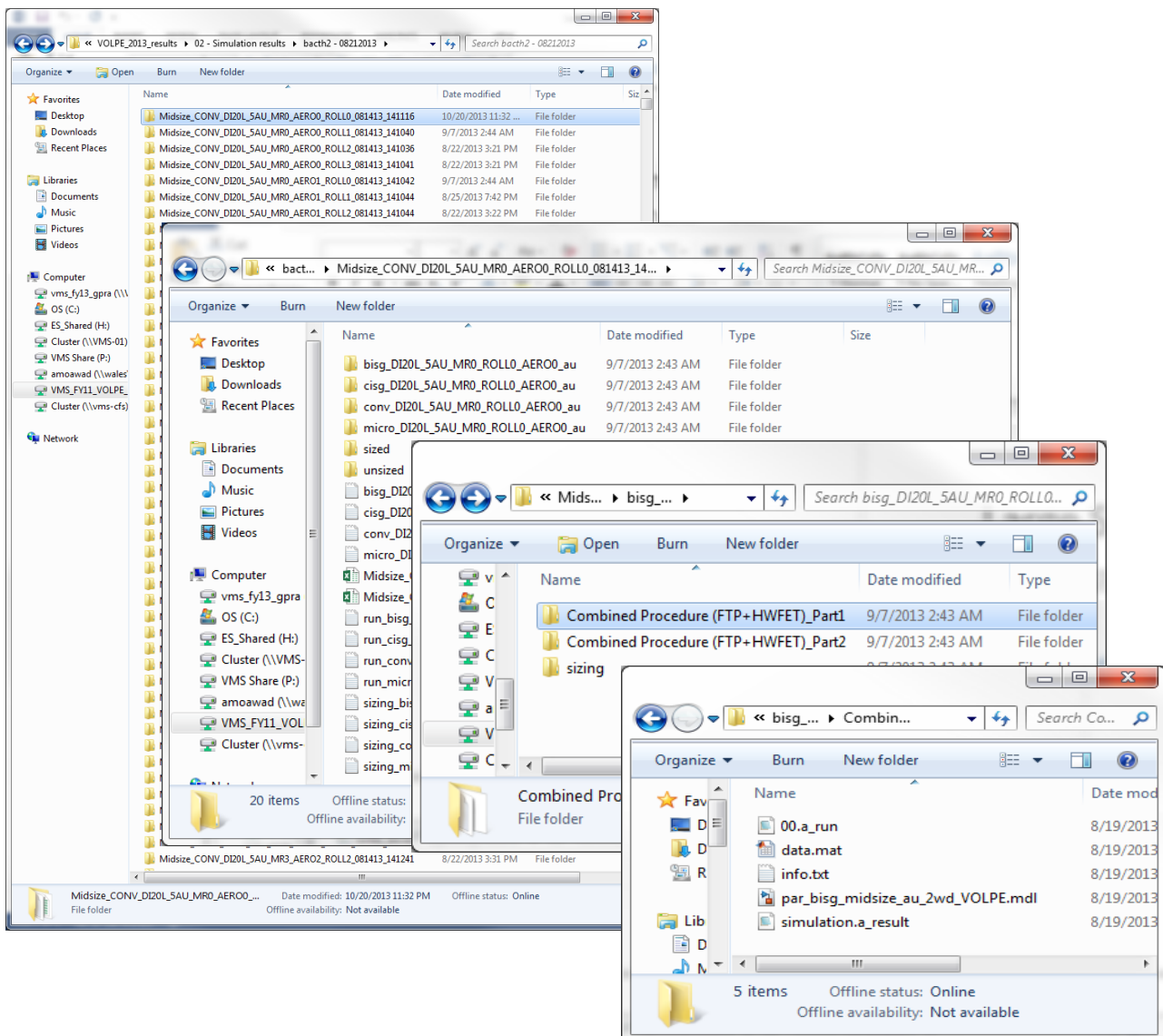
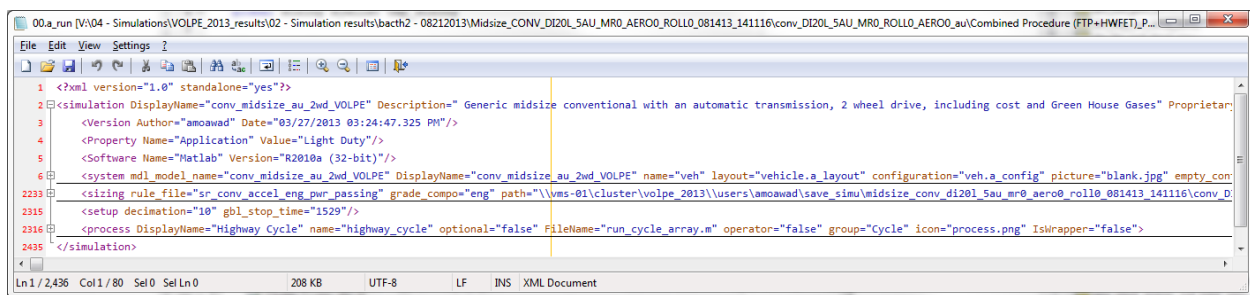


Figure 55 – Results Folder Organization for Individual Simulations

Figure 55 shows the folder organization for each individual simulation. Each folder contains the results for one combination and characterizes one branch/path of the tree. Folders can contain up to five directories, depending on the vehicle technology and the type of run performed. Results are divided into directories representing the cycle or procedure simulated. For example, the combined procedure for conventional vehicles has two parts separating the FTP and HFET run, and the PHEV procedure has four parts separating the FTP and HFET runs as well as the charge-sustaining and charge-depleting modes. The last directory is the sizing structure (performance test).

## 10.1. Run File

“xx.a\_run” includes all the information of the vehicle as well as a cycle/procedure as shown in Figure 56. This file allows us to reproduce the simulation in the future if modifications or changes are to occur.



**Figure 56 – Autonomie Run File**

## 10.2. Data.mat File

“data.mat” is the results file containing all of the vehicle parameters and all of the time-based signals. A sample of signals and parameters included in data.mat is shown in Figure 57.

Name	Value	Min	Max
drv	<1x1 struct>		
drv_accel_dmd_simu	<15291x1 double>	0	0.7650
drv_brk_dmd_simu	<15291x1 double>	-1	0
drv_ctrl_pwr_dmd_simu	<15291x1 double>	-3.443...	8.6684...
drv_key_on_dmd_simu	<15291x1 double>	1	1
drv_lin_spd_dmd_simu	<15291x1 double>	0	26.7777
drv_trq_dmd_simu	<15291x1 double>	-583.9...	1.5855...
eng	<1x1 struct>		
eng_ctrl_cmd_fuel_off_simu	<15291x1 double>	0	1
eng_ctrl_cmd_on_simu	<15291x1 double>	0	1
eng_ctrl_cmd_simu	<15291x1 double>	0	1
eng_ctrl_cstr_trq_hot_max_simu	<15291x1 double>	181.86...	231.95...
eng_ctrl_cstr_trq_hot_min_simu	<15291x1 double>	-37.43...	-13.56...
eng_ctrl_dmd_trq_simu	<15291x1 double>	-25.12...	221.81...
eng_ctrl_trs_fuel_cut_off_simu	<15291x1 double>	0	1
eng_ctrl_trs_on_simu	<15291x1 double>	0	1
eng_ctrl_trs_trq_simu	<15291x1 double>	-25.08...	221.77...
eng_plant_cmd_simu	<15291x1 double>	0	1
eng_plant_co_simu	<15291x1 double>	0	0
eng_plant_eff_total_simu	<15291x1 double>	0	2.4487
eng_plant_energy_in_total_simu	<15291x1 double>	0	5.4631...
eng_plant_energy_loss_total_simu	<15291x1 double>	-361.4...	4.0809...
eng_plant_energy_out_total_simu	<15291x1 double>	0	1.3872...
eng_plant_ex_equiv_simu	<15291x1 double>	0	1
eng_plant_ex_gas_flow_simu	<15291x1 double>	-4.629...	0.0962
eng_plant_ex_gas_temp_simu	<15291x1 double>	NaN	NaN
eng_plant_exterior_temp_simu	<15291x1 double>	90	90
eng_plant_fuel_cum_simu	<15291x1 double>	0	1.2746
eng_plant_fuel_rate_simu	<15291x1 double>	0	0.0039
eng_plant_hc_simu	<15291x1 double>	0	0
eng_plant_htrej_cum_simu	<15291x1 double>	0	0
eng_plant_htrej_rate_simu	<15291x1 double>	0	0
eng_plant_nox_simu	<15291x1 double>	0	0
eng_plant_o2_simu	<15291x1 double>	0	0

Figure 57 – Autonomie data.mat File

### 10.3. Vehicle Model

“\*.mdl” represents the complete vehicle model as shown in Figure 58. Saving each vehicle model ensures that any simulation can be replicated at any time.

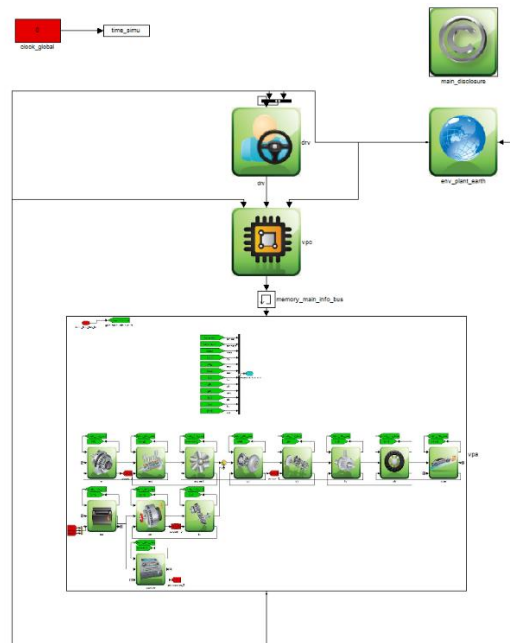
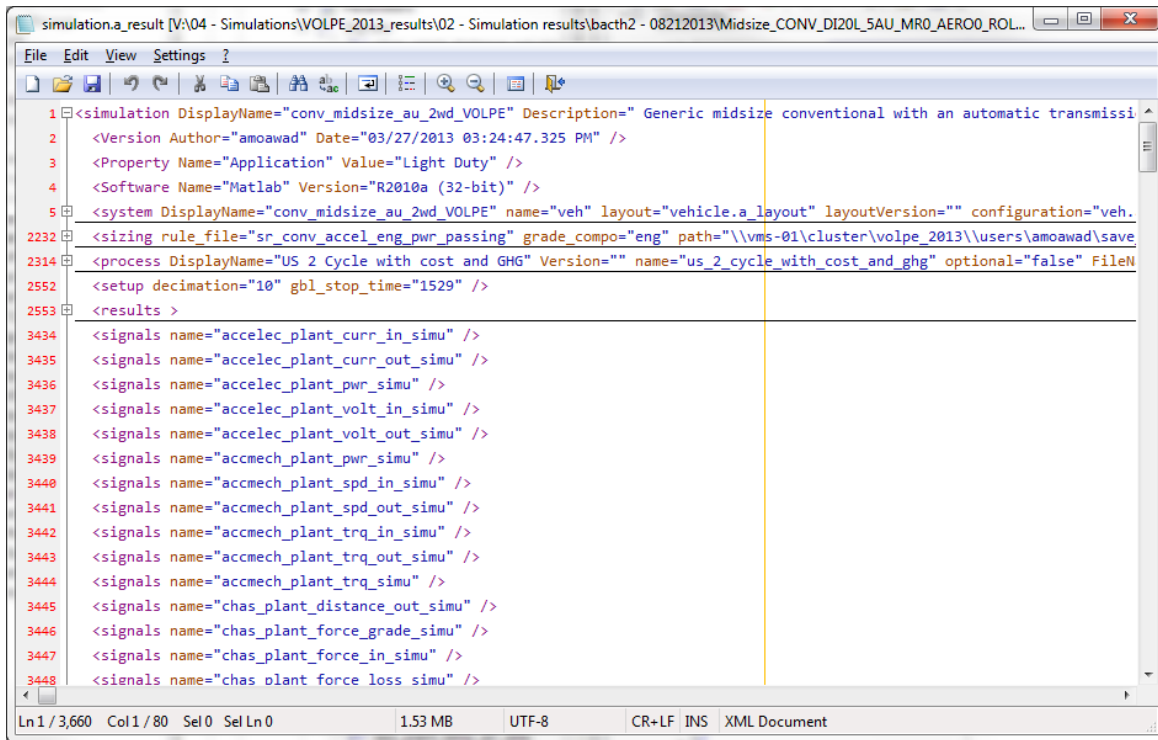


Figure 58 – Autonomie Conventional Vehicle

## 10.4. Results XML File

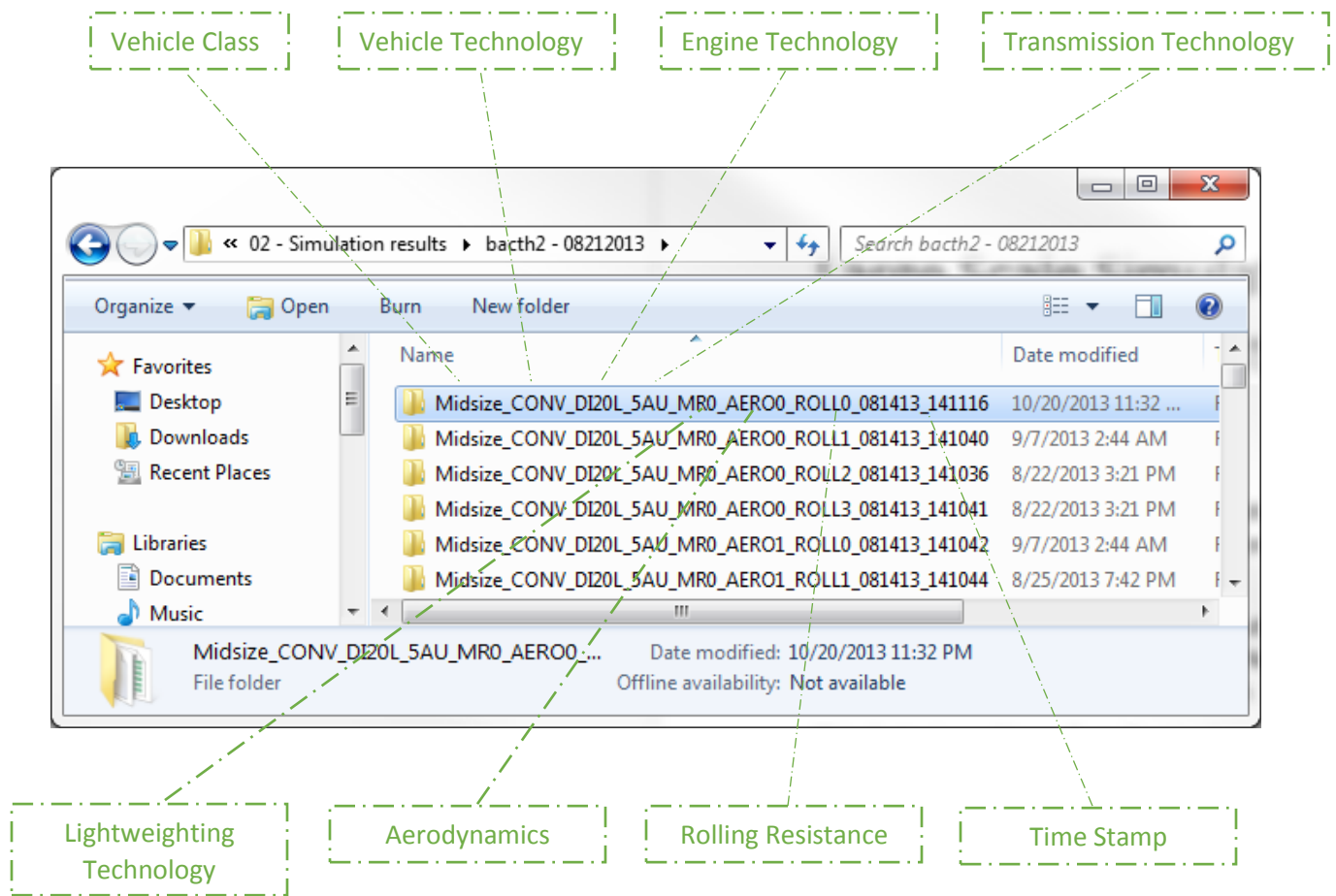
As shown in Figure 59, “simulation.a\_result” is an XML version of the results file that includes the main simulation inputs and outputs. This file is later used to generate the complete MySQL database.



**Figure 59 – Autonomie Results XML File**

## 10.5. Folder Nomenclature

The MySQL database created and used by the CAFE model required a searchable list of parameters from which to retrieve information about a particular vehicle. Because some of these parameters did not come from Autonomie, a folder nomenclature was adopted as shown in Figure 60.



**Figure 60 – Folder Nomenclature**

The naming conventions are similar to the preliminary acronyms that may be used in the decision trees by the CAFE model. For example, the transmission technology acronyms are:

- 5AU — 5-speed automatic transmission
- 6AU — 6-speed automatic transmission
- 8AU — 8-speed automatic transmission
- 6DCT — 6-speed dual-clutch transmission
- 8DCT — 8-speed dual-clutch transmission
- 5DM — 5-speed manual transmission
- 6DM — 6-speed manual transmission
- 7DM — 7-speed manual transmission

The lightweighting acronyms are:

- MR0 — glider mass reduction of 0%
- MR1 — glider mass reduction of 1.5%
- MR2 — glider mass reduction of 7.5%
- MR3 — glider mass reduction of 10%
- MR4 — glider mass reduction of 20%

The aerodynamics acronyms are:

- AERO0 — aerodynamics reduction of 0%
- AERO1 — aerodynamics reduction of 10%
- AERO2 — aerodynamics reduction of 20%

The rolling resistance acronyms are:

- ROLL0 — rolling resistance reduction of 0%
- ROLL1 — rolling resistance reduction of 5%
- ROLL2 — rolling resistance reduction of 10%
- ROLL3 — rolling resistance reduction of 20%

Since the engine technologies were not all represented to demonstrate the process, the following acronyms were selected:

- PFI20L — 2.0-liter naturally aspirated engine, port fuel injection, variable valve timing
- DI20L — 2.0-liter naturally aspirated engine, variable valve timing, direct injection
- DIVVL20L — 2.0-liter naturally aspirated engine, variable valve timing, variable valve lift, direct injection
- TDI16L — 1.6-liter turbo charged engine, direct fuel injection, variable valve timing
- TDIVVL16L — 1.6-liter turbo charged engine, direct fuel injection, variable valve timing and lift
- TDIVVL12L — 1.2-liter turbo charged engine, direct fuel injection, variable valve timing

## 10.6. Individual Vehicle Validation

Once the individual simulations are completed, Autonomie provides the ability to analyze them at both a high level (i.e., fuel economy) and a low level (i.e., time-based engine power) through its graphical user

interface. An algorithm is also used to automatically flag any potential issues within a simulation (i.e., too many shifting events on a specific cycle).

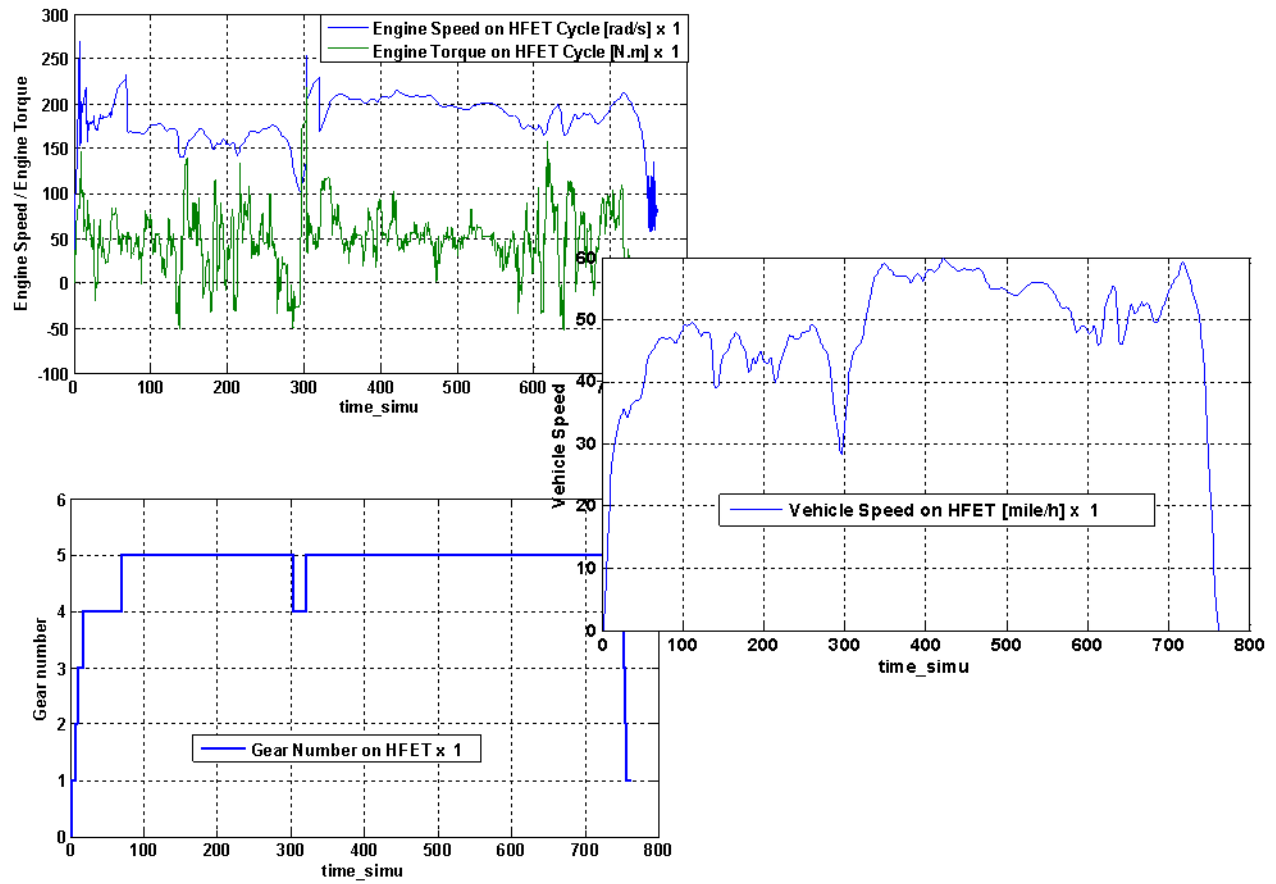
Figure 61 shows a sample of parameter outputs from Autonomie provided for every vehicle among the 27,600 vehicles simulated. The list of output parameters generated for the CAFE model is detailed in Section 11.

Summary Warnings Cost GREET Emissions			
Name		Unit	conv_midsize_au_2wd_VOLPE
Summary			
Adjusted Using Y2008 5 Cycle Equivalent Fuel Economy			
[A]	[A]	[A]	
City Adjusted Y2008	mile/gallon		22.18
Combined 55/45 Adjusted Y2008	mile/gallon		25.04
Highway Adjusted Y2008	mile/gallon		29.73
Combined 47/53 Adjusted Y2008	mile/gallon		25.63
Adjusted Using Pre Y2008 (0.9*UDDS,0.78*HWFET) Fuel Economy			
[A]	[A]	[A]	
City Adjusted	mile/gallon		25.31
Highway Adjusted	mile/gallon		32.45
Combined 55/45 Adjusted	mile/gallon		28.19
Combined 47/53 Adjusted	mile/gallon		28.75
Unadjusted Fuel Economy			
[A]	[A]	[A]	
City Unadjusted	mile/gallon		28.22
Highway Unadjusted	mile/gallon		41.74
Combined 55/45 Unadjusted	mile/gallon		33.03
Combined 47/53 Unadjusted	mile/gallon		34.07
Name		Unit	conv_midsize_au_2wd_VOLPE
Vehicle			
Energy			

**Figure 61 – Example Baseline Conventional Vehicle Outputs**

Numerous predefined plots are also available to analyze any time-based parameter from the simulation. Figure 62 shows an example of engine speed, vehicle speed, and gear number for a conventional vehicle.





**Figure 62 – Engine Speed, Engine Torque, Gear Number, and Vehicle Speed Time-Based Signals on HFET Cycle.**

## 11. Vehicle Database

CAFE model requirements require the user to tackle two complicated problems simultaneously:

1. A vehicle simulation tool must be used to quickly and properly estimate energy consumption of extremely large numbers of specific vehicle, powertrain, and component technologies.
2. The user must easily access and analyze information across large amounts of data.

As discussed in Section 10, a process for performing large-scale simulation with Autonomie is now in place. With it, a simulation can be quickly validated, or any discrepancies in the results can be examined in detail. Additionally, Autonomie is fully integrated with distributed computing, making extremely large numbers of simulations, such as the quantity required for full CAFE analysis, feasible.

However, Autonomie was not originally designed to analyze such large sets of data. Such analyses impose data management concerns (numbers of files, disk sizes, access times); require the ability to run post-processing calculations without the time cost of rerunning all of the simulations; and involve plots, calculations, and other analytical tools for looking at high-level indicators and spotting overall trends. In response, Argonne's new process allows the detailed simulation results provided by Autonomie to either be distilled into a format that can be easily distributed and analyzed horizontally across many simulations, or examined via a deep, vertical dive into one simulation. Both aspects are critical for the full-scale vehicle analysis that Volpe requires.

The output of the simulations includes everything necessary for Autonomie to analyze or recreate an individual simulation, including the Simulink model representing the vehicle, a metadata file containing simulation results \*.a\_result file, and a data.mat file containing all of the time-based signal data. These results can be archived for full traceability and reproducibility of all simulations. However, it is currently not feasible to share or analyze these data. For example, 27,600 simulation results resulted in 2 TB of disk space usage. It's simply not scalable to pass this much information around, much less the number of simulations required for Volpe. Additionally, each simulation has individual files storing the results, so just managing or indexing the sheer number of files becomes an issue. Most of the information contained in those results files, however, is not necessary for the Volpe analysis (i.e., second-by-second fuel or electrical consumption values). Therefore, a subset of the data is collected into a portable, user-friendly database.

## 11.1. Database Creation

Argonne's database creation process works from an input sheet that specifies which input and output parameters should be included in the database. The process scans all of the simulation results files, extracts the specified parameters, and stores them in a single, specialized database file. This allows us to exclude irrelevant information not needed for cross-cutting analyses, while leaving the full results archived, just in case. Figure 63 lists the input and output parameters currently included in the database.



**Figure 63 – Inputs and Outputs from Simulation Can Be Saved to the Database**

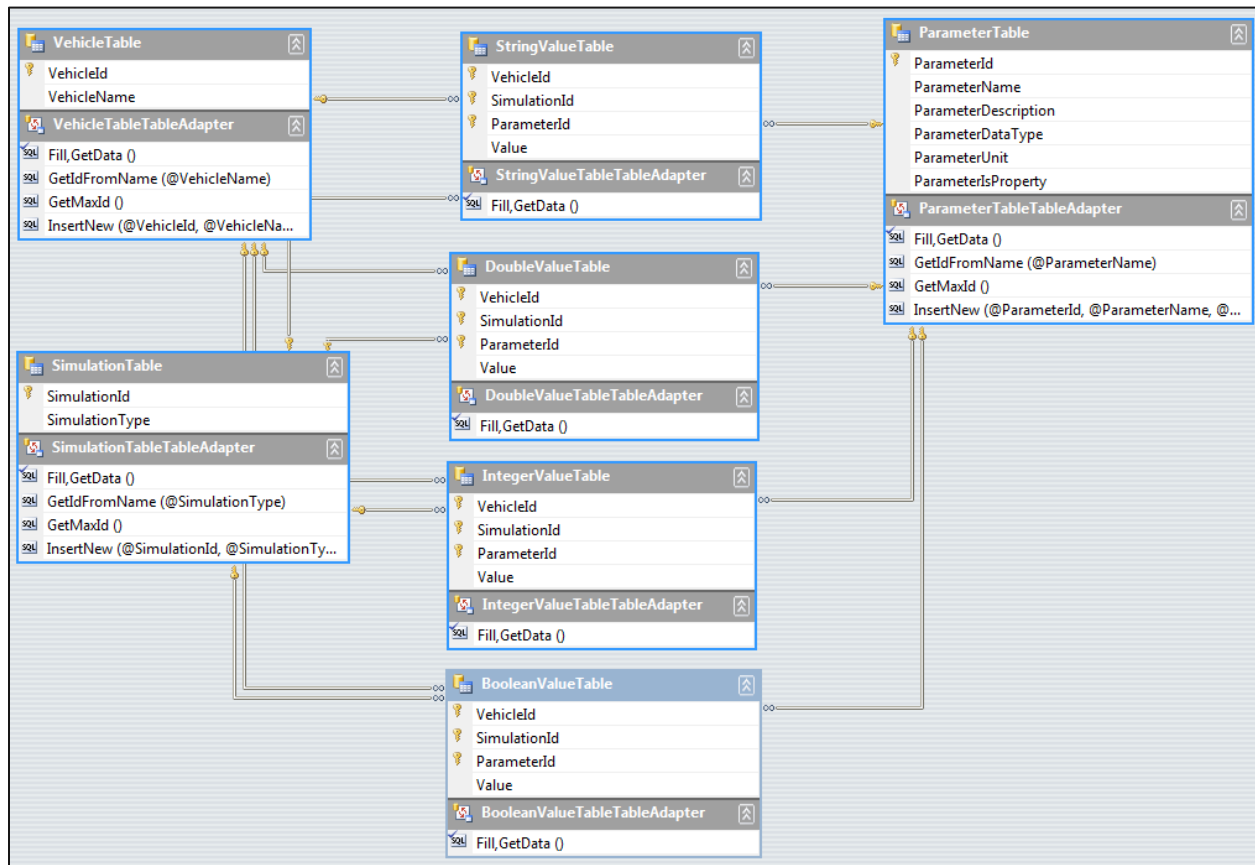
A single database file is easy to redistribute. The aforementioned 2 TB of data was compressed into 180 MB of data, and took 7 hours to generate from the original simulation results. Additionally, the database is developed using the MS SQL Express 2012 format, which is free and easily accessed by standard structured query language tools.

## 11.2. Database Structure

As shown in Figure 64, the database is structured to be generic, so that any simulation input parameter, result, or descriptive property can be stored. This allows maximum flexibility in the type of data that can be stored. The tables are structured to allow logical grouping of data, maximize retrieval speed, and minimize disk space.

Vehicles and the references to their parameters are stored separately from parameters specific to the type of simulation, because the same vehicle can be run on multiple procedures or cycles. For example, one vehicle may be run on an acceleration test and a fuel consumption test, such as a combined cycle procedure. Each simulation may produce a fuel consumption, which would then be linked to that simulation record. However, parameters common across both simulation runs, such as the coefficient of drag of the vehicle, would be linked to the vehicle record. Not all vehicles and simulations have the same parameters; for example, motor parameters are only available for a vehicle with an electric power path (e.g., EVs, HEVs, PHEVs), and fuel consumption is only available for simulations with an engine or fuel cell, which excludes EVs.

Each parameter stores name, description, data type (i.e., string, double, integer, Boolean), and unit. The values themselves are organized into tables by data type for disk size optimization.



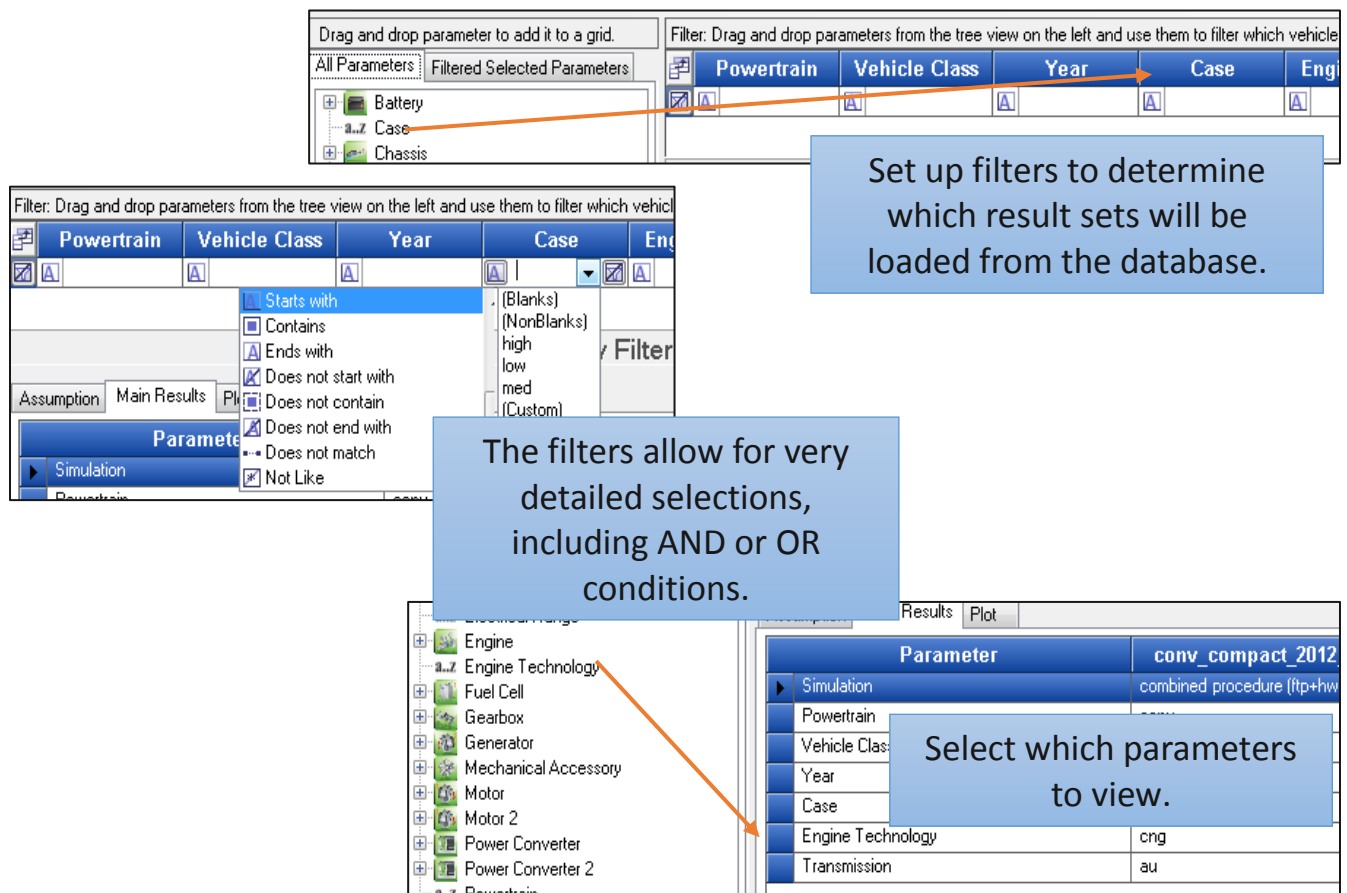
**Figure 64 – Database Structure**

### 11.3. User Interface

Although the database is accessible by any tool or programming language that can interact with databases, Argonne has also developed a tool to easily visualize and analyze the data (Figure 65). This tool provides a quick and intuitive way for users to quickly select subsets of simulation results of interest, select which parameters to view, modify assumptions, perform additional post-processing calculations on the data retrieved from the database, and view plots to better visualize the data.

Additionally, the user interface provides some advanced features that allow users to import their own plots and analysis functions; save “projects” of filters, parameters, and overridden assumptions; or export subsets of the data to Excel for additional data analysis or redistribution.

This tool allows users who are not familiar or comfortable with direct database access to perform the analysis necessary for Volpe.



**Figure 65– Database Analysis Tool**

## 11.4. CAFE Model Access to the Database

A critical part of the process validation was to ensure that the CAFE model can access any information from the database. That step was successfully validated by the Volpe developers using a complete MySQL database provided by Argonne.

## 12. QA/QC Process

The large number of results could lead to an increase in number of iterations, in erroneous results propagating to further steps of the study and could introduce delays in generating results.

In order to improve accuracy and reduce iterations a QA/QC (Quality Assurance/Quality Check) process has been developed at ANL to perform checks on simulation results. This automated checking process can greatly reduce simulation iterations and improve quality of results.

Fields of interest are extracted from simulation results and imported into the database where an interactive report is generated listing the results that need to be examined. Statistical procedures are applied to flag erroneous results, also current methods are developed to have the ability to trace invalid results to cause of error (Figure 69).

Cycle	Powertrain	Engine	Transmission	Speed	Component	Data Count	Average Value	Minimum Value	Maximum Value	Units	Mean + 3 Std. Deviation	Mean - 3 Std. Deviation	Number Of Outliers	Distribution Plot
city	All Powertrains	All Engines	All Transmissions	All Speeds	Percent Time Traced By More Than 2mph	23870	0.26418	0	3.2394	%	-1.6147	2.1431	742	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Elec Energy City	54	226.4349	199.6087	253.4433	W.h/mile	190.3235	262.5462	0	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Elec Energy Hghway	54	218.3397	189.456	247.9443	W.h/mile	176.3241	260.9554	0	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	EV Range City	54	203.3613	202.1033	204.5632	mile	201.7396	204.9831	0	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	EV Range Highway	54	211.3263	200.7997	221.568	mile	192.2601	229.9925	0	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Percentage Regeneration Recovered	14256	29.3109	0.98079	61.364	%	-32.035	90.658	0	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Vehicle Mass	23870	1504.3263	1376	2023	kg	1250.6099	1758.0429	299	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Percentage ON	23762	69.7956	4.2246	82.804	%	28.9729	110.5782	1189	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Num Of Starts	23762	26.5647	2	128	N/A	-30.3296	82.8889	254	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Rated Power	23762	117.8465	76.306	144.242	kW	76.32	159.373	18	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Max Speed	23762	2780.2431	1778.7584	6005.4728	rpm	112.5969	5447.8893	949	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Min Speed	23762	-3.143	-689.6065	0	rpm	-87.4373	81.1114	146	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Torque Max	23762	187.5724	133.343	382.859	Nm	97.5495	277.9564	279	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Torque Min	23762	-15.034	-32.4738	-5.213	Nm	-32.2166	2.1486	6	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Engine Avg Efficiency	23762	26.605	19.996	37.368	%	17.0066	36.2033	329	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	Delta SOC	23870	0.0018084	-0.95	0.13044	N/A	-0.26138	0.268	424	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	ESS Max Power Out	23870	9.3205	0.24	201.142	kW	-33.4813	49.4913	379	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	ESS Min Power Out	23870	-5.4636	-57.1725	-0.38247	kW	-26.0842	15.1569	439	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	ESS Max Power In	23870	10.2157	0.25075	256.668	kW	-34.2842	54.7156	423	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	ESS Min Power In	23870	-5.1921	-44.5693	-0.32562	kW	-24.7733	14.3992	435	<a href="#">Click for distribution</a>
city	All Powertrains	All Engines	All Transmissions	All Speeds	ESS Max Power Out	23870	84.434	14.7556	1243.8	V	-184.2797	357.1477	102	<a href="#">Click for distribution</a>

Figure 69 – Example of QA/QC report

An exhaustive list of parameters are extracted and checked for each vehicle simulation:

- Trace
- Vehicle Mass
- Engine Percentage ON
- Engine Number of Starts
- Engine/Fuel Cell Average Efficiency
- Engine/Fuel Cell Power
- Engine Speed
- Motor Average Efficiency

- Motor Power
- Motor Speed
- Motor Max Current
- Number of Shifts
- Time Fraction in Top Gear
- Battery SOC
- HEV Delta SOC
- Percentage Regeneration Recovered
- Electric Consumption
- Fuel Economy ratios
- :
- :

Distribution plots are generated as part of the report for visual perspectives (Figure 66)

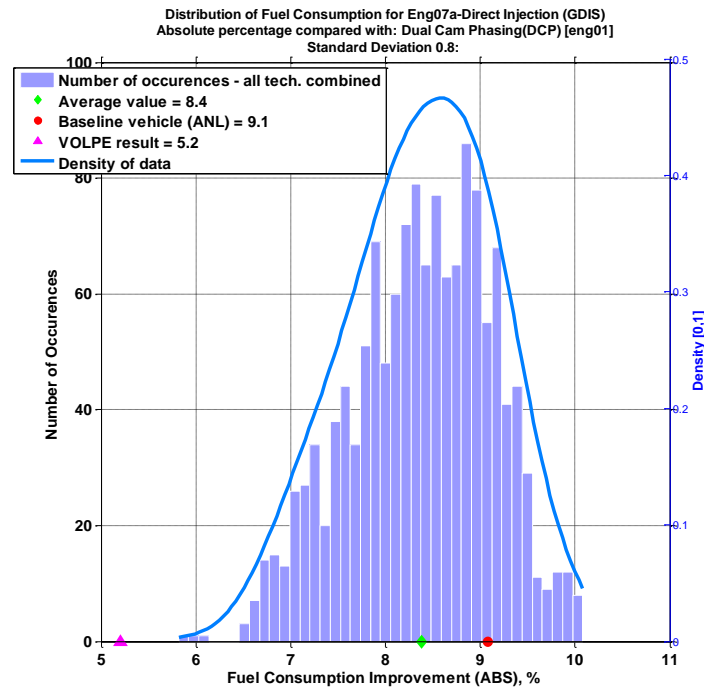


Figure 66 – Example of QA/QC distribution plot



## 13. Summary

The objective of the project was to develop and demonstrate a process to replace the current decision trees and synergies by individual results generated from detailed vehicle simulations.

This report described the process developed, including the generation of the MySQL database that will be accessible by the CAFE model. The process was validated by running numerous simulations representing most of the vehicle, powertrain, and component technologies currently included in the decision trees. The process efficiently simulates hundreds of thousands of vehicles to model anticipated future vehicle technologies and more than a million simulations including sizing iterative algorithms and standard procedure runs. A statistical model has been established in order to find relationships and reduce the necessary number of runs. Also a QA/QC process was thoroughly developed in order to assure the accuracy and validity of the results. Figure 67 shows a visual summary of the entire process.

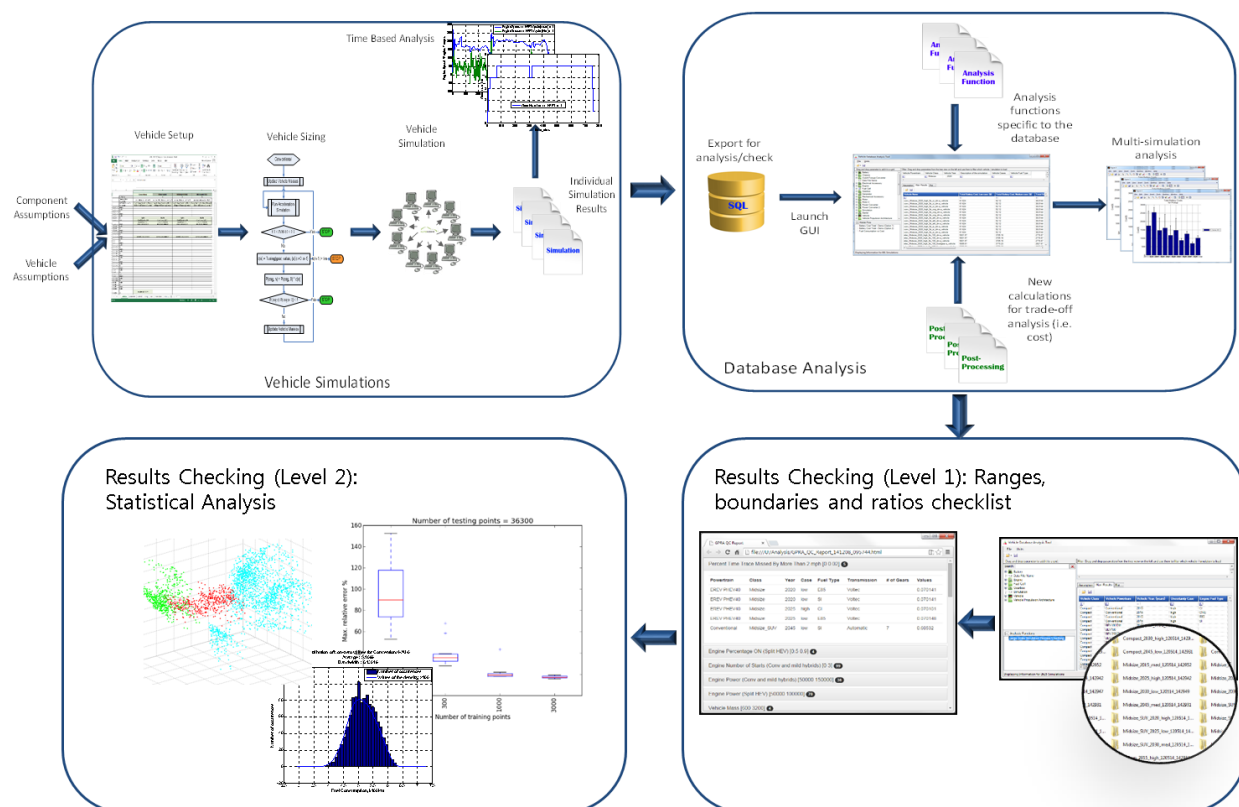


Figure 67 – Large Scale Simulation Process Summary



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